

Subject: Fwd: Combined KYC list and priorities [I]  
From: Kimberly Hart [REDACTED]  
Date: Thu, 21 Feb 2019 18:17:56 -0500  
To: Funda Bozkurt [REDACTED]

Do these numbers make sense to you?

Kimberly Hart  
Managing Director | Divisional Control Officer

Wealth Management Americas

Deutsche Bank Trust Company Americas  
345 Park Avenue, New York, NY 10154

Securities offered through Deutsche Bank Securities Inc

Begin forwarded message:

From: Ian Salters [REDACTED]  
Date: February 21, 2019 at 6:13:45 PM EST  
To: Oliver Esslinger [REDACTED]  
Cc: Kimberly Hart [REDACTED], Steven Mattus [REDACTED]  
[REDACTED], Thomas Klemm [REDACTED], Victoria Bruce [REDACTED]  
[REDACTED], Bill King [REDACTED], Olivier Poncet [REDACTED]  
Subject: FW: Combined KYC list and priorities [I]

FYI

Sent with BlackBerry Work  
(www.blackberry.com)

From: Ian Salters <ian.salters@db.com>  
Date: Friday, Feb 15, 2019, 17:31  
To: Olivier Poncet [REDACTED], Bill King [REDACTED]  
Davide-A Sferrazza [REDACTED]  
Cc: Alastair Mackintay [REDACTED], Nina Tona [REDACTED]  
[REDACTED]

Subject: FW: Combined KYC list and priorities [I]

Classification: For internal use only

FYI

From: Ian Salters

Sent: Friday, February 15, 2019 5:31 PM

To: Victoria Bruce [REDACTED]; Thomas Klemm

Cc: Ian Salters [REDACTED]

Subject: Combined KYC list and priorities [I]

Classification: For internal use only

I have just received an updated file from JAX and the results are a substantial improvement. They have rechecked every client in the dbCAR database and the results are as follows. Many of the names did not come up originally because they were off by a slash, comma, periods, spaces, and other characters.

IWP Onboarded = 43 (further 7 to be prioritized)

WM Onboarded = 53 (further 12 to be prioritized)

I will have both businesses review the results.

Regards

Ian