

Subject: FW: Combined KYC list and priorities [I]
From: Funda Bozkurt <[REDACTED]>
Date: Wed, 27 Feb 2019 11:04:41 -0500
To: Ian Salters <[REDACTED]>
Cc: Kimberly Hart <[REDACTED]>

Classification: For internal use only

Hi Ian,

I sent random 18 clients -where it says "Yes" on the attached file from you - to a friend of mine in Jax to check and here are the results. Please see the second attachment.

I am a bit nervous with the results as maybe I am not on board with understanding the difference between fully on boarded vs Full GM KYC.

Let's discuss,

Thanks,

Funda Bozkurt

Deutsche Bank Trust Company Americas.
WM-Divisional Control Office

345 Park Avenue, 10154-0004 New York, NY, USA
Tel. [REDACTED]
Email [REDACTED]

From: Ian Salters <[REDACTED]>
Date: February 21, 2019 at 6:13:45 PM EST
To: Oliver Esslinger <[REDACTED]>
Cc: Kimberly Hart <[REDACTED]>, Steven Mattus
<[REDACTED]>, Thomas Klemm <[REDACTED]>, Victoria Bruce
<[REDACTED]>, Bill King <[REDACTED]>, Olivier Poncet
<[REDACTED]>

Subject: FW: Combined KYC list and priorities [I]

FYI

Sent with BlackBerry Work
(www.blackberry.com)

From: Ian Salters <[REDACTED]>

Date: Friday, Feb 15, 2019, 17:31

To: Olivier Poncet <[REDACTED]>, Bill King <[REDACTED]>, Davide-A Sferrazza <[REDACTED]>

Cc: Alastair Mackinlay <[REDACTED]>, Nina Tona <[REDACTED]>

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FYI

From: Ian Salters
Sent: Friday, February 15, 2019 5:31 PM
To: Victoria Bruce <[REDACTED]>; Thomas Klemm <[REDACTED]>
Cc: Ian Salters <[REDACTED]>
Subject: Combined KYC list and priorities [I]

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I have just received an updated file from JAX and the results are a

substantial improvement. They have rechecked every client in the dbCAR database and the results are as follows. Many of the names did not come up originally because they were off by a slash, comma, periods, spaces, and other characters.

IWP Onboarded = 43 (further 7 to be prioritized)

WM Onboarded = 53 (further 12 to be prioritized)

I will have both businesses review the results.

Regards

Ian