

Subject: Re: Past due KYC temporary exception [I]
From: Amanda Kirby <[REDACTED]>
Date: Tue, 03 Dec 2013 16:50:02 -0500
To: Kimberly Hart <[REDACTED]>

Classification: For internal use only

OK. Will do so ASAP

Best,
Amanda Kirby

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Amanda Kirby
Associate

Deutsche Bank Trust Company Americas
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From: Kimberly Hart/db/dbcom

To: Amanda Kirby/db/dbcom@DBAMERICAS,

Date: 12/03/2013 04:49 PM

Subject: Re: Past due KYC temporary exception [I]

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You should speak to the KYC team to figure out what they would accept in lieu of an operating agreement.

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Kimberly Hart

Managing Director

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From: Amanda Kirby/db/dbcom

To: Kimberly Hart/db/dbcom@DBAmericas,

Date: 12/03/2013 04:29 PM

Subject: Re: Past due KYC temporary exception [I]

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Sorry this slipped my mind. I spoke with the client and they are almost positive they do not have the Operating Agreement but is double checking and will confirm in the am. We have the Articles of Organization and a letter stating that it is a single member LLC and that he is the sole owner. Is there someone I can speak to to ask for a permanent exception if in fact he cannot find the Operating Agreement?

Best,
Amanda Kirby

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Amanda Kirby
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From: Kimberly Hart/db/dbcom

To: Amanda Kirby/db/dbcom@DBAMERICAS,

Date: 12/02/2013 07:28 PM

Subject: Past due KYC temporary exception [I]

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Can you please provide an update regarding the past due temporary exception associated with KYC 01133377 in the name of Darren Indyke? This is past due and will appear on the monthly report if not addressed immediately.

Thanks,
Kim

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Kimberly Hart
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