

Subject: Fw: October 2014 AML/KYC Escalation Reports [I]
From: Kimberly Hart <[REDACTED]>
Date: Tue, 04 Nov 2014 09:16:50 -0500
To: Armen Brash <[REDACTED]>
Cc: [REDACTED]

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Armen -
Can you call or stop by to discuss HRIP meetings? A bunch of new past due HRIP meetings appeared on the report this month. I do not want these reported to BRC since we were never made aware of these required meetings. If they need to be reported, it should be footnoted that front office was just told of the requirement to meet these customers this month.

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----- Forwarded by Kimberly Hart/db/dbcom on 11/04/2014 09:13 AM -----

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> |11/03/2014 05:30 PM
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> |October 2014 AML/KYC Escalation Reports [I]

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All,

Included below is the monthly AML/KYC escalation report for overdue items (the file has several sheets). Please review and address accordingly. Thank you.

(See attached file: COVERAGE_Domestic.xlsx) (See attached file: Account Opening - COVERAGE-Domestic.xlsx)

Regards,

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Melisa Venegas

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