

Subject: Re: October 2014 AML/KYC Escalation Reports [I]
From: Kimberly Hart <[REDACTED]>
Date: Tue, 04 Nov 2014 09:20:06 -0500
To: [REDACTED]
Cc: Armen Brash <[REDACTED]>

Classification: For internal use only

A number of the account opening items are associated with IA - not coverage.
Can you follow-up with them directly?

Kimberly Hart
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Securities offered through Deutsche Bank Securities Inc.

{Inactive hide details for Melisa Venegas---11/03/2014 05:30:30 PM---
Classification: For internal use only All, Included below i}Melisa
Venegas---11/03/2014 05:30:30 PM---Classification: For internal use only
All, Included below is the monthly AML/KYC escalation report f

From:

Melisa Venegas/db/dbcom

To:

Andrew Gallivan/db/dbcom@DBAMERICAS, Kimberly Hart/db/dbcom@DBAMERICAS,
Michael-J Davis/db/dbcom@DBAMERICAS, Michael S Jacoby/db/dbcom@DBAMERICAS,

Cc:

Armen Brash/db/dbcom@DBAMERICAS

Date:

11/03/2014 05:30 PM

Subject:

October 2014 AML/KYC Escalation Reports [I]

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All,

Included below is the monthly AML/KYC escalation report for overdue items (the file has several sheets). Please review and address accordingly. Thank you.

(See attached file: COVERAGE_Domestic.xlsx)(See attached file: Account Opening - COVERAGE-Domestic.xlsx)

Regards,

Melisa Venegas

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