

Classification: For internal use only

Hi Amanda,
Please find the below approved clearance for [REDACTED]
Kind regards,
Andrew Kisz

Andrew Kisz
Associate | AML Compliance Officer
DB Services New Jersey, Inc.
Asset & Wealth Management
5022 Gate Parkway, Suite 400, 32256 Jacksonville, USA
Tel. [REDACTED]

----- Forwarded by Andrew Kisz/db/dbcom on 12/04/2013 01:58 PM -----From:

Jacqueline
Lightbody/db/dbcom

To:
Cc:

Date:

Subject:

Andrew Kisz/db/[REDACTED],

PWMUS AMLKYC/db/[REDACTED]

12/04/2013 01:45 PM

Re: [REDACTED] (I)

Classification: For internal use only

Hi Andrew,

In reviewing the alert against [REDACTED] and the previous attached approval by senior management for

Jeffrey Epstein, I agree with your assessment . This alert can be cleared.

I also recommend that the relationship be marked as "High Risk" to be consistent with the existing relationship with Jeffrey Epstein.

Thanks.

Kind regards,

Jacqueline Lightbody

Jacqueline Lightbody

Vice President | AML Compliance Officer
Deutsche Bank Trust Company Americas
PWM AML Compliance
23rd Floor
60 Wall Street, 10005-2836 New York, NY, USA

[REDACTED]
From: [REDACTED]
To: [REDACTED]
Cc: [REDACTED]
Date: [REDACTED]
Subject: PWMUS AMLKYC/db/dbcom
Jacqueline Lightbody/db/[REDACTED],
PWMUS AMLKYC/db/[REDACTED]
12/03/2013 12:18 PM

[REDACTED] [I]

Classification: For internal use only

Hi Jackie,

This is an escalation for negative media pertaining to [REDACTED]. [REDACTED] is a beneficiary of a trust wherein Jeffrey Epstein is the grantor. According to the business, [REDACTED] is not opening an account and has no authority on the trust account. The alert involves allegations against [REDACTED] of being a co-conspirator of several federal offenses. The same offenses resulted in Jeffrey Epstein being sent to jail, but the charges against [REDACTED] were dropped. The on-boarding of Jeffrey Epstein was previously cleared by Chip Packard (see attached) and subsequently cleared by AML Compliance based on that fact. Accordingly, AML Compliance is comfortable clearing this alert for the following reasons: 1)

The account is already marked as high risk; 2) Chip Packard has reviewed and approved the on-boarding of the relationship with Jeffrey Epstein and obtained clearance from a reputational and AML risk perspective.

Jeffrey Epstein Clearance.pdf

From: [REDACTED]
To: [REDACTED]
Date: [REDACTED]
Subject: PWMUS AMLKYC/db/dbcom
Jacqueline Lightbody/db/[REDACTED],
10/09/2013 05:53 PM

DRAFT: [REDACTED] [I]

Classification: For internal use only

Kind regards,

Andrew Kisz

Chip approval.pdf

Andrew Kisz

Associate | AML Compliance Officer
DB Services New Jersey, Inc.
Asset & Wealth Management

5022 Gate Parkway, Suite 400, 32256 Jacksonville, USA

Tel. [REDACTED]
[REDACTED]

From:

To:

Cc:

Date:

Subject:

Amanda Kirby/db/dbcom

PWMUS AMLKYC/db/[REDACTED],

Andrew Kisz/db/[REDACTED], PWMUS AMLKYC/db/[REDACTED]

10/09/2013 04:50 PM

Re: Fw: RDC ALERT - [REDACTED] [I]

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Hi Andrew,

This is part of the Jeffrey Epstein relationship. She is named as one of the beneficiaries of a trust that he

is the Grantor of (Butterfly Trust). She is not opening an account and has no authority on the trust account

Best,

Amanda Kirby

Amanda Kirby

Associate

Deutsche Bank Trust Company Americas

345 Park Avenue, 27th Fl

New York, NY, USA, 10154-0004

Tel. [REDACTED]
[REDACTED]
[REDACTED]

From:

To:

Cc:

Date:

Subject:

PWMUS AMLKYC/db/dbcom

Amanda Kirby/db/[REDACTED],

PWMUS AMLKYC/db/[REDACTED]

10/09/2013 04:44 PM

Re: Fw: RDC ALERT - [REDACTED] [I]

Classification: For internal use only

Hi Amanda,

Is this part of the Jeffrey Epstein relationship or is [REDACTED] opening a new account?

Kind regards,

Andrew Kisz

Andrew Kisz
Associate | AML Compliance Officer
DB Services New Jersey, Inc.
Asset & Wealth Management
5022 Gate Parkway, Suite 400, 32256 Jacksonville, USA
Tel. [REDACTED]

From:
To:
Cc:
Date:
Subject:
Amanda Kirby/db/dbcom
PWMUS AMLKYC/db/[REDACTED];
Michael Vila/db/[REDACTED], Andrew Kisz/db/[REDACTED], Andrea Klingenberg/db/[REDACTED]
10/08/2013 01:29 PM
Fw: RDC ALERT - [REDACTED] [I]
Classification: For internal use only

Hi,
The attached alert does pertain to our client. [REDACTED] was accused as a co-conspirator in a case but she was never brought to trial nor ever convicted. She will also never have her own personal account here at DB. The account for which she will be associated is a trust account which names her as a beneficiary. She will have no authority over this account and will not be a client of the bank. Please clear this alert or let me know if you require further information (i.e. trust agreement)
Best,
Amanda Kirby

Amanda Kirby
Associate
Deutsche Bank Trust Company Americas
345 Park Avenue, 27th Fl
New York, NY, USA, 10154-0004
Tel. [REDACTED]
[REDACTED]

----- Forwarded by Amanda Kirby/db/dbcom on 10/08/2013 01:25 PM -----

From:
To:
Cc:
Date:
Subject:
PWM BIS-Research/db/[REDACTED]
[REDACTED],
PWMUS AMLKYC/db/[REDACTED]
10/07/2013 03:52 PM
RDC ALERT - [REDACTED] [I]
Classification: For internal use only

Hello,
Attached is an alert for the party mentioned above. Please note that clearance from compliance is required prior to KYC approval.

Please follow the instructions below:

Forward explanation of whether the alert pertains to your client directly to compliance.

Receive confirmation from compliance that alert can be removed from the queue.

Include copies of all responses along with your KYC.

[attachment "[REDACTED] Alert 1.pdf" deleted by Amanda Kirby/db/dbcom] [attachment "[REDACTED] Alert.pdf" deleted by Amanda Kirby/db/dbcom]

[attachment "[REDACTED] Alert 1.pdf" deleted by Jacqueline Lightbody/db/dbcom] [attachment "[REDACTED] Alert.pdf" deleted by Jacqueline Lightbody/db/dbcom]

Thanks,
Ahsan Manzoor