

Subject: Re: KPMG Request - PWM Deposit Confirmations [I]
From: Kimberly Hart <[REDACTED]>
Date: Tue, 11 Feb 2014 09:40:09 -0500
To: [REDACTED]
Cc: "Apkarian, Brian C" <[REDACTED]>, Julie Cataudella <[REDACTED]>, "Hines, Monique" <[REDACTED]>, "Totino, Michael" <[REDACTED]>

Classification: For internal use only

Just tried to reach you.

Can you please provide reason for the change to positive consent? Also, we need the final drafts of letters (with signature) for M&I and Global Plus so they can be reviewed and approved by SPG. You are only sending a letter to one MMDA client?

Also, can you please confirm the following:

- KPMG will be preparing data file for mail merge - address reformatted to init caps, proper salutations
- KPMG will be producing and mailing letters
- Addresses will be replaced with email addresses for international clients, if necessary
- Letters will be sent to business for final review

What is the target date for mailing? Do you have the required envelopes?

Please call when you have a minute.

Thanks,
Kim

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Kimberly Hart
Managing Director

Deutsche Bank Trust Company Americas
Private Wealth Management
345 Park Avenue, 27th Floor
New York, NY 10154
Tel. [REDACTED]
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Securities offered through Deutsche Bank Securities Inc.

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| "Greenberg, Rachel R"
<[REDACTED]> |

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| To: |
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| Julie Cataudella/db/dbcom@DBAmericas, Kimberly Hart/db/-
dbcom@DBAmericas, |

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| Cc: |
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| "Totino, Michael M" <[REDACTED]>, "Apkarian, Brian C"
<[REDACTED]>, "Hines, Monique"
<[REDACTED]> |

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|KPMG Request - PWM Deposit
Confirmations
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Julie/Kim,

Please see attached for the PWM Deposits samples we have selected to confirm for our year-end audit. Similar to prior year, we will be sending out confirmations for all accounts selected.

Please note the following change for 2013:
Last year, we sent out confirmations using the "negative confirmation" format (where confirmers respond only if the amount per confirmation is incorrect). This year, we will be adjusting the confirmations to a "positive confirmation" format, in which the confirmers are requested to respond indicating whether balance presented is correct or incorrect.

Attachments:

1. List of samples selected from Metavante (75 sample) & Global Plus populations (1 sample). Please let me know ASAP if the information provided is not enough to complete the confirmation templates.
2. Example of prior-year format – M&I Deposit. (Please replicate this format, however just adding the bottom query to make it a positive confirmation). Input 2013 & respective client info/balance.
3. Example of prior-year format – GPlus MMDA. (Please replicate this format, however just adding the bottom query to make it a positive confirmation).). Input 2013 & respective client info/balance.
4. Example of positive confirmations utilized by KPMG for other confirmations, for your reference.

Please feel free to give me a call with any questions. As we need to get the confirmations completed as soon as possible, we appreciate the immediate attention. Thank you!

Kind regards,
Rachel

Rachel R. Greenberg | KPMG LLP
Senior Associate | Financial Services - Audit
345 Park Avenue | New York, NY 10154
@DB: [REDACTED] | [REDACTED]

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***** (See attached file: PWM Deposits Sample_DB 2013.xlsx) (See attached file: Deposit Account Confirm_Example 2012.docx) (See attached file: MMDA Account Confirm_Example 2012.docx) (See attached file: Positive confirmation template example.docx)