

Subject: RE: KYC remediation [I]
From: Davide-A Sferrazza [REDACTED]
Date: Thu, 28 Feb 2019 10:50:17 -0500
To: Nina Tona [REDACTED]
Ian Salters [REDACTED]
Cc: Bill King <[REDACTED]>

Classification: For internal use only

{cid:image003.png@01D4CE87.149B83F0}

Cohen – Josh

Belford – I do not see anything setup in dbCAR (would be Billy)

Euro-Partners Fund – Josh

Guy Wyser-Pratte – Josh

Southern Financial – In process of being offboarded (would be Martin)

Lamia – Billy/Martin

Vanguardia – Billy/Martin

From: Nina Tona
Sent: Wednesday, February 27, 2019 10:28 AM
To: Ian Salters [REDACTED]
Cc: Bill King [REDACTED]; Davide-A Sferrazza [REDACTED]
[REDACTED]

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Ian – I have added the below to our SharePoint tracker, to also include Bill's.

@Davide- please advise who in IWP will be responsible for ours.

@Bill- please cc me on your email with the onboarding team so I can update the status on our tracker.

Thank you

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Nina Tona

Deutsche Bank Wealth Management

Institutional Wealth Partners – Business Manager Americas

Deutsche Bank (Americas) Ltd.

5022 Gate Parkway, Jacksonville FL 32256



deutschewealth.com

#Positive Impact

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From: Ian Salters
Sent: Monday, February 25, 2019 4:00 PM
To: Brian Serino [REDACTED]; Kareen Johnson [REDACTED]
Cc: Bill King [REDACTED]; Davide-A Sferrazza [REDACTED]
[REDACTED]; Nina Tona [REDACTED] Ian Salters
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Brian/Kareen - As previously discussed, the priority list is less than I thought, please see attached clients that need to be onboarded with CIB as a priority:

Bill - can you please take the lead on the ISG clients (this ties into the 32 clients you previously advised of):

Nina / Davide – can you please work together on the IWP clients (understand that Southern Financial is going away):

A) Wealth Management

{cid:image002.png@01D4CE87.149B83F0}

B) IWP

{cid:image003.png@01D4CE87.149B83F0}

Kind regards,
Ian Salters

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Ian Salters
C00 Americas - Institutional Wealth Partners

DB Securities Inc
Deutsche Bank Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA

Visit us: <http://deutschewealth.com>

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