

Subject: Re: DB Force Case# 01146170: Darren K. Indyke PLLC [I]
From: Amanda Kirby <[REDACTED]>
Date: Fri, 01 Nov 2013 13:07:20 -0400
To: Pema Sherpa <[REDACTED]>
Cc: Dhruv Yadav <[REDACTED]>, Margarita Perez <[REDACTED]>, PWM AOG <[REDACTED]>

Classification: For internal use only

Thank you very much, Pema

Best,
Amanda Kirby

(Embedded image moved to file: pic05391.gif)

Amanda Kirby
Associate

Deutsche Bank Trust Company Americas
345 Park Avenue, 27th Fl
New York, NY, USA, 10154-0004
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

(Embedded image moved to file: pic00908.gif)

From: Pema Sherpa/db/dbcom

To: Amanda Kirby/db/[REDACTED],

Cc: Dhruv Yadav/db/[REDACTED], Margarita Perez/db/[REDACTED], PWM AOG/db/[REDACTED]

Date: 11/01/2013 01:06 PM

Subject: Re: DB Force Case# 01146170: Darren K. Indyke PLLC [I]

Classification: For internal use only

We will open the account as a C Corporation and provide the account open coding via the case. Please provide us with an updated W-9 by next week.

Kind regards,
Pema Sherpa

(Embedded image moved to file: pic03880.gif)

Pema Sherpa

Deutsche Bank Trust Company Americas
60 Wall Street, New York, NY 10005-2836
Tel. [REDACTED]
Fax [REDACTED]
Mobile [REDACTED]

Email [REDACTED]

(Embedded image moved to file: pic01414.gif)

From: Amanda Kirby/db/dbcom

To: Pema Sherpa/db/[REDACTED],

Cc: Dhruv Yadav/db/[REDACTED], Margarita Perez/db/[REDACTED], PWM AOG/db/[REDACTED]

Date: 11/01/2013 01:03 PM

Subject: Re: DB Force Case# 01146170: Darren K. Indyke PLLC [I]

Classification: For internal use only

OK, I am sure he meant to write C Corporation instead of 'Sched C'. I can get a new one but can this please be approved right away with a temporary exception? This is an escrow account and the client has told me that a deal may not go through today if they cannot wire in funds. I will be able to get you an updated W-9 within 30 minutes but they desperately need to open these accounts.

I would be so appreciative if we could get these opened asap and I will follow up with the W-9 shortly

Best,
Amanda Kirby

(Embedded image moved to file: pic15241.gif)

Amanda Kirby
Associate

Deutsche Bank Trust Company Americas
345 Park Avenue, 27th Fl
New York, NY, USA, 10154-0004
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

(Embedded image moved to file: pic24606.gif)

From: Pema Sherpa/db/dbcom

To: Amanda Kirby/db/[REDACTED],

Cc: Dhruv Yadav/db/[REDACTED], Margarita Perez/db/[REDACTED], PWM AOG/db/[REDACTED]

Date: 11/01/2013 01:00 PM

Subject: Re: DB Force Case# 01146170: Darren K. Indyke PLLC [I]

Classification: For internal use only

Hi Amanda,

Schedule C is not the correct tax classification. It has to be either C Corporation, S Corporation or Partnership.

Kind regards,
Pema Sherpa

(Embedded image moved to file: pic10424.gif)

Pema Sherpa

Deutsche Bank Trust Company Americas
60 Wall Street, New York, NY 10005-2836

Tel. [REDACTED]

Fax [REDACTED]

Mobile +1 [REDACTED]

Email [REDACTED]

(Embedded image moved to file: pic19789.gif)

From: Amanda Kirby/db/dbcom

To: Pema Sherpa/db/[REDACTED],

Cc: Dhruv Yadav/db/[REDACTED], Margarita Perez/db/[REDACTED], PWM AOG/db/[REDACTED]

Date: 11/01/2013 12:55 PM

Subject: Re: DB Force Case# 01146170: Darren K. Indyke PLLC [I]

Classification: For internal use only

Pema,

You are correct. I am unsure why there are 2 separate W-9's since they are both the same company. The W-9 you just sent is correct

Best,
Amanda Kirby

(Embedded image moved to file: pic16404.gif)

Amanda Kirby
Associate

Deutsche Bank Trust Company Americas
345 Park Avenue, 27th Fl
New York, NY, USA, 10154-0004
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

(Embedded image moved to file: pic29467.gif)

From: Pema Sherpa/db/dbcom

To: Amanda Kirby/db/[REDACTED],

Cc: Dhruv Yadav/db/[REDACTED], PWM AOG/db/[REDACTED], Margarita Perez/db/[REDACTED]

Date: 11/01/2013 12:52 PM

Subject: Re: DB Force Case# 01146170: Darren K. Indyke PLLC [I]

Classification: For internal use only

Hi Amanda,

Is it a sole proprietor then? I looked into the KYC to get more details on the LLC and found a W-9 (attached) which has LLC checked off and refers to Schedule C which is filed out by a Sole Proprietor,

[attachment "Darren K. Indyke PLLC W-9.pdf" deleted by Amanda Kirby/db/dbcom]

Kind regards,
Pema Sherpa

(Embedded image moved to file: pic28961.gif)

Pema Sherpa

Deutsche Bank Trust Company Americas
60 Wall Street, New York, NY 10005-2836
Tel. [REDACTED]
Fax [REDACTED]
Mobile [REDACTED]
Email [REDACTED]

(Embedded image moved to file: pic17969.gif)

From: Amanda Kirby/db/dbcom

To: PWM AOG/db/[REDACTED],

Cc: Dhruv Yadav/db/[REDACTED], Pema Sherpa/db/[REDACTED], PWM AOG/db/[REDACTED]

Date: 11/01/2013 10:59 AM

Subject: Re: DB Force Case# 01146170: Darren K. Indyke PLLC [I]

Classification: For internal use only

HI,

Here are the updated docs. For the W-9 it checked Other because it is a "PLLC" (Professional LLC) does not file under an LLC C,S or P.

[attachment "0609_001.pdf" deleted by Pema Sherpa/db/dbcom]

Best,
Amanda Kirby

(Embedded image moved to file: pic23630.gif)

Amanda Kirby
Associate

Deutsche Bank Trust Company Americas
345 Park Avenue, 27th Fl
New York, NY, USA, 10154-0004
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

(Embedded image moved to file: pic04607.gif)

From: PWM AOG/db/dbcom

To: Pema Sherpa/db/[REDACTED],

Cc: Amanda Kirby/db/[REDACTED], PWM AOG/db/[REDACTED]

Date: 11/01/2013 10:47 AM

Subject: Re: DB Force Case# 01146170: Darren K. Indyke PLLC [I]

Classification: For internal use only

Hi Pema,

FYI

Thanks,
Dhruv Yadav

PWM AOG
DBOI Global Services Private Limited
Email : [REDACTED]

From: PWM AOG/db/dbcom

To: Amanda Kirby/db/[REDACTED],

Cc: PWM AOG/db/[REDACTED]

Date: 11/01/2013 10:42 AM

Subject: DB Force Case# 01146170: Darren K. Indyke PLLC [I]

Classification: For internal use only

Hi Amanda,

While reviewing the account opening docs, we came across the below issues:

1. On Account Opening Application, both DB Private Wealth Online Plus and Link to Existing Online Relationship have been selected.

Please select the correct one only.

(Embedded image moved to file: pic08450.gif)

2. This entity is Limited Liability Corporation, however on W-9, Federal Tax Classification is selected as Other: Atty Tust Account.

Please correct the same to LLC along with the Tax Classification (S,C or P)

(Embedded image moved to file: pic23333.gif)

3. KYC is in pending status.

Please let us know, once it is approved.

Thanks,
Dhruv Yadav

PWM AOG
DBOI Global Services Private Limited
Email : [REDACTED]

