

Norkom 6.1.0 - Dow Jones Details

Page 1 of 2

Dow Jones Details

Home Page Requestor Menu

Show Debug Info

Details

Alert ID:

Score:

Best matches:

A130512230572

100%

In FACTIVA PFA: 04_gender

= M Exact Match M

03_name = Jeffrey Epstein

Fuzzy Match >= 85% Jeffrey

Epstein

Name:

DOB/DOI:

Nationality:

Gender:

Country of Birth/Branch:

Country of Residence:

United States

Male

United States

United States

Dow Jones Association Details

no rows found

Dow Jones Details

Title:

First Name:

Middle Name:

Last Name:

Full Name:

Gender:

Deceased:

Record Type:

Names

no rows found

Dates

no rows found

Jeffrey Epstein

Street/Number:

Zip Code/City:

PEP Indicator:

Customer ID:

Alerted Customer ID:

Comments:

8000000015357152

null

Alert Date:

Detection Check:

Data Source:

29/05/2013

djw names

Customer_NCA_web

-

UID:

Jeffrey

S.

Epstein

Jeffrey S. Epstein

Male

No

Individual

Active Status:

Entity Name:

Address Country:

Address City:

Address Line:

Entered:

Updated:

1150901

Active

-

USA

Framingham, Massachusetts

-

03/08/2009 00:00:00

03/08/2009 00:00:00

Countries

Type

Country

Citizenship

Resident of

Description1

Description2

Not Known

United States

Description3

https://aml.cit.gto.intranet.db.com/norkom_pcr_de/screen/view.do?csrftkn=QtoL7XUhl... 5/29/2013

Norkom 6.1.0 - Dow Jones Details

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Description 1

Special Interest

Person (SIP)

Roles

no rows found

Profile Notes

..:

SECURITIES AND EXCHANGE COMMISSION NOTES:

Litigation Rel. No. 18675 / April 22, 2004

SEC Charges Former Director of Banknorth Group, Inc. and MetroWest Bank In Settled

Insider Trading Case

SEC v. Allen M. Glick et al. (United States District Court for the District of Massachusetts,

C.A. No. 04-CV-10801(MEL)

The Commission today filed a settled civil fraud action against Allen M. Glick, a former

director of both MetroWest Bank and Banknorth Group, Inc., and four of his associates –

Arthur H. Freedman, Jeffrey S. Epstein, Steven T. Moore and Romeo J. Pendolari – for

illegal insider trading in three publicly-traded Massachusetts banks – MetroWest Bank,

Medford Bancorp and Warren Bancorp. Without admitting or denying the Commission's

allegations, Glick, Freedman, Epstein, Moore and Pendolari consented to the entry of final

judgments permanently enjoining them from violating the antifraud provisions of the federal

securities laws. The defendants also agreed to pay a total of over \$437,000 in disgorgement

of trading profits, prejudgment interest and civil penalties, and Glick consented to a

permanent bar from serving as an officer or director of any publicly-traded company.

External Sources

External Source

<http://www.sec.gov/litigation/litreleases/litrelarchive/litarchive2004.shtml>

<http://www.sec.gov/litigation/litreleases/lr18675.htm>

<http://www.sec.gov/litigation/complaints/comp18675.htm>

Images

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States

Status

Current

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DB_scr_FactivaDetails

Description 2

Other Official Lists

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