

Subject: Fw: RDC ALERT - [REDACTED] [I]
From: Amanda Kirby [REDACTED]
Date: Tue, 08 Oct 2013 13:29:34 -0400
To: PWMUS AMLKYC [REDACTED]
Cc: Michael Vila [REDACTED]
Andrew Kisz <[REDACTED]>
Andrea Klingenberg <[REDACTED]>

Classification: For internal use only

Hi,

The attached alert does pertain to our client. [REDACTED] was accused as a co-conspirator in a case but was never brought to trial nor ever convicted. She will also never have her own personal account here at DB. The account for which she will be associated is a trust account which names her as a beneficiary. She will have no authority over this account and will not be a client of the bank. Please clear this alert or let me know if you require further information (i.e. trust agreement)

Best,
Amanda Kirby

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Amanda Kirby
Associate

Deutsche Bank Trust Company Americas

[REDACTED]
Tel. [REDACTED]
Fax + [REDACTED]
Email [REDACTED]

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----- Forwarded by Amanda Kirby/[REDACTED] on 10/08/2013 01:25 PM -----

From: PWM BIS-Research/[REDACTED]
To: [REDACTED],
Cc: PWMUS AMLKYC/[REDACTED]
Date: 10/07/2013 03:52 PM
Subject: RDC ALERT - [REDACTED] [I]

Classification: For internal use only

Hello,

Attached is an alert for the party mentioned above. Please note that clearance from compliance is required prior to KYC approval.

Please follow the instructions below:

Forward explanation of whether the alert pertains to your client directly to compliance.

Receive confirmation from compliance that alert can be removed from the queue. Include copies of all responses along with your KYC.

(See attached file: [REDACTED] Alert 1.pdf) (See attached file: [REDACTED]
[REDACTED] Alert.pdf)

Thanks,
Ahsan Manzoor