

Subject: RE: CLEARED: FW: Portfolio Alert - Butterfly Trust [I]
From: Amanda Kirby <[REDACTED]>
Date: Thu, 19 Feb 2015 16:49:30 -0500
To: PWMUS AMLKYC <[REDACTED]>
Cc: Paul Morris <[REDACTED]>

Classification: For internal use only

Thank you

From: Dimitra Manley On Behalf Of PWMUS AMLKYC
Sent: Thursday, February 19, 2015 4:49 PM
To: Amanda Kirby
Cc: PWMUS AMLKYC
Subject: CLEARED: FW: Portfolio Alert - Butterfly Trust [I]

Classification: For internal use only

Hello,

AML Compliance reviewed the alerts pertaining to Ghislaine Maxwell. She is a beneficiary of a trust wherein Jeffrey Epstein is the grantor. According to the business, Ms. Maxwell is only a beneficiary and is not a client. She has no authority on the trust account. The alert involves allegations against Ms. Maxwell of being a co-conspirator in Epstein's illegal activities.

Given that the on-boarding of Jeffrey Epstein has been previously cleared, AML Compliance is comfortable clearing this alert as the account is already marked as high risk and has been cleared from a reputational and AML risk perspective.

Kind regards,
Dimitra Manley

{cid:image001.gif@01D04C64.060AB530}

Dimitra Manley
AML Compliance Officer

Deutsche Bank Securities Inc
[REDACTED]

Email

{cid:image002.gif@01D04C64.060AB530}

{Inactive hide details for Amanda Kirby---02/19/2015 03:51:48 PM---
Classification: For internal use only Hi,}Amanda Kirby---02/19/2015 03:51:48
PM---Classification: For internal use only Hi,

{cid:image005.png@01D04C64.060AB530}

From:

{cid:image006.png@01D04C64.060AB530}
Amanda Kirby/db/dbcom@DBC0EX

{cid:image005.png@01D04C64.060AB530}

To:

{cid:image006.png@01D04C64.060AB530}
PwMUS AMLKYC/db/dbcom@DBAmericas,

{cid:image005.png@01D04C64.060AB530}

Date:

{cid:image006.png@01D04C64.060AB530}
02/19/2015 03:51 PM

{cid:image005.png@01D04C64.060AB530}

Subject:

{cid:image006.png@01D04C64.060AB530}
FW: Portfolio Alert - Butterfly Trust [I]

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Hi,

EFTA01400664

This alert is in regards to Ghislaine Maxwell in approved KYC # [REDACTED]
Butterfly Trust was an existing account and the KYC was done to update the trustees (she was approved in the previous KYC # [REDACTED]). Ghislaine is one of the beneficiaries of the trust and is not our client. These alerts do pertain to her but only mention that she has allegedly aided Jeffrey Epstein (who is our client). She has never been charged nor convicted. Please clear as she does not have a relationship with the bank, is only a beneficiary and has not been charged.

Best,
Amanda

From: Paul Morris
Sent: Thursday, February 19, 2015 11:25 AM
To: Amanda Kirby
Subject: Fw: Portfolio Alert - Butterfly Trust [I]

Classification: For Internal Use Only

From: PWM BIS-Research
Sent: Wednesday, February 18, 2015 02:20 PM
To: Paul Morris
Cc: PWMUS AMLKYC
Subject: Portfolio Alert - Butterfly Trust [I]

Classification: For internal use only

Hello

Attached is lookback alert for the party mentioned below.

(See attached file: grid_alert_DB12000P_2686835_11547720_20150109_000030.pdf)-
(See attached file: grid_alert_DB12000P_2694212_11547720_20150112_035400.pdf)

Thanks

Prachi(See attached file:
grid_alert_DB12000P_2686835_11547720_20150109_000030.pdf)(See attached file:
grid_alert_DB12000P_2694212_11547720_20150112_035400.pdf)