

Subject: Fw: Richard Kahn rdc and pcr alert [I]  
From: Amanda Kirby <[REDACTED]>  
Date: Fri, 14 Nov 2014 10:58:07 -0500  
To: PWMUS AMLKYC <[REDACTED]>  
Cc: PWM BIS-Research <pwm.bis-[REDACTED]>

Classification: For internal use only

This search was requested to a date range beginning in September of 2013. This alert is prior to 2013 and was previously cleared. Please see the attached clearance below and if there is any further action necessart

(See attached file: Richard Kahm Email Confirmation for Compliance.pdf) (See attached file: Richard Kahn RDC Alert CLEARANCE 2013.pdf)

Best,  
Amanda Kirby

---

(Embedded image moved to file: pic18797.gif)

Amanda Kirby

Deutsche Bank Trust Company Americas  
Deutsche Asset & Wealth Management  
345 Park Avenue, 10154-0004 New York, NY, USA  
Tel. [REDACTED]  
Fax [REDACTED]  
Email [REDACTED]

(Embedded image moved to file: pic08607.gif)

Securities offered through Deutsche Bank Securities Inc.

----- Forwarded by [REDACTED] on 11/14/2014 10:52 AM -----

From: PWM [REDACTED]

To: [REDACTED]

Cc: [REDACTED]

Date: 11/14/2014 10:08 AM

Subject: Richard Kahn rdc and pcr alert [I]

Classification: For internal use only

Hello

Attached is an alert for the party mentioned above. Please note that clearance from compliance is required prior to KYC approval.

Please follow the instructions below:

Forward explanation of whether the alert pertains to your client directly to compliance.

Receive confirmation from compliance that alert can be removed from the queue. Include copies of all responses along with your KYC.

(See attached file: Richard Kahn pcr alert.pdf) (See attached file: Richard Kahn pcr alert\_1.pdf) (See attached file: Richard Kahn rdc alert.pdf) (See attached file: Richard Kahn rdc alert\_1.pdf)

Regards  
Urvashi