

Norkom 6.1.0 - World Check Details

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World Check Details

Home Page Help Requestor Menu

Show Debug Info

Details

Alert ID:

Score:

Best matches:

A141120234116

100%

In WORLDCHECK: 03\_name

= Richard Kahn Fuzzy Match

>= 85% KAHN Richard

Name:

DOB/DOI:

Nationality:

Gender:

Country of Birth/Branch:

Country of Residence:

World Check Details

Title:

First Name:

Last Name:

Date of Birth:

Place of Birth:

Age:

Age (As of Date):

Date of Death:

Names

no rows found

Countries

Country

USA

Companies

Link

Company

PENNMONT SECURITIES

World Check Association

Link

-

Richard

KAHN

-

-

-

-

-

UID:

Category:

Sub Category:

Record Type:

SSN:

Position:

Entered:

Updated:

1809519

INDIVIDUAL

Male

-

-

01/08/2012

-

United States

Richard Kahn

06/12/1972

Alert Date:

Detection Check:

Data Source:

13/11/2014

wc names

Customer\_NCA\_web

Street/Number:

Zip Code/City:

PEP Indicator:

Customer ID:

Alerted Customer ID:

Comments:

8000000022906975

null

Locations

Location

~ State of Pennsylvania,~ USA

Passports

no rows found

Linked To

Keke KAHN

David MILLER

Virginia ATLEE

[https://aml.cit.gto.intranet.db.com/norkom\\_pcr\\_de/screen/view.do?csrftkn=VISHeJizl...](https://aml.cit.gto.intranet.db.com/norkom_pcr_de/screen/view.do?csrftkn=VISHeJizl...)

11/14/2014

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Joann DELPIZZO MILLER

William JOHNSTON

George SNYDER

Judith BUSCH

Elizabeth ATLEE CARAPICO

Cecilia CORAZA

Elaine ENGLISH

James ENGLISH CORAZA

George REIFF

Amy BECCONE

Mary CORAZA

Anthony MONTALBANO

Arland FRIEND

Judith FRIEND

Constance ATLEE JOHNSTON

Further Information

..:

[BIOGRAPHY] Limited partner with PennMont Securities. [IDENTIFICATION] To be determined. [REPORTS] Jan 2012 - found by the Business Conduct Committee to be in violation of NYSE Arca Equities Rule by failing to provide testimony as requested by FINRA.

Apr 2012 - to be permanently barred from NYSE Arca membership unless testimony is provided within required time frame.

External Sources

External Source

<http://www.nyse.com/pdfs/12-ARCA-3.pdf>

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DB\_scr\_world\_check

[https://aml.cit.gto.intranet.db.com/norkom\\_pcr\\_de/screen/view.do?csrftkn=VISHeJizl...](https://aml.cit.gto.intranet.db.com/norkom_pcr_de/screen/view.do?csrftkn=VISHeJizl...)  
11/14/2014