

Subject: Re: [C]  
From: Tazia Smith <[REDACTED]>  
Date: Wed, 29 Oct 2014 10:02:35 -0400  
To: jeevacation@gmail.com  
Cc: Richard Kahn <[REDACTED]>,  
Daniel Sabba <[REDACTED]>,  
Vahe Stepanian <[REDACTED]>,  
Paul Morris <[REDACTED]>,  
Stewart Oldfield <[REDACTED]>

Classification: Confidential

Jeffrey - done on 14,900 at 24.19. The size of the vwap order is lifting this bid. Suggest we work just 30-50k shares total on the day. Please let us know.

From: "jeffrey E." <jeevacation@gmail.com> To: [REDACTED]  
[REDACTED] Richard Kahn <[REDACTED]>, Date: 10/29/2014  
08:43 AM Subject: Re: [C]

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vwap ok

On Wed, Oct 29, 2014 at 8:39 AM, Tazia Smith <[REDACTED]> wrote:  
Classification: Confidential

Good Morning Jeffrey - Re-enter order?  
Use limit and/or participate % volume?

Thanks,  
Tazia

From: Tazia Smith/db/dbcom To: "jeffrey E." <jeevacation@gmail.com>, Cc:  
[REDACTED] Paul Morris, Richard Kahn

<[REDACTED]>, [REDACTED]  
[REDACTED] Date: 10/28/2014 04:14 PM Subject: Trade  
Report 10/28/14 [C]

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Classification: Confidential

Jeffrey -

Today you executed the following:

B 49,033 Citi 5.8% pfd at \$24.0205

This leaves 150,967 shares to buy. Re-enter tomorrow?  
Your other Citi pfd is held in Haze. Would you like this booked there as well?

Thank You,  
Tazia

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Tazia Smith  
Director | Key Client Partners - US

DB Securities Inc  
Deutsche Asset & Wealth Management  
345 Park Avenue, 10154-0004 New York, NY, USA  
Tel. [REDACTED]  
Fax [REDACTED]  
Mobile [REDACTED]  
Email [REDACTED]

From: Tazia Smith/db/dbcom To: "jeffrey E." <jeevacation@gmail.com>, Cc: [REDACTED] Paul Morris, Richard Kahn

<[REDACTED]>, [REDACTED]  
[REDACTED] Date: 10/28/2014 02:24 PM Subject: Re: [C]

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Classification: Confidential

Jeffrey - Thus far done on 36,300 Citi 5.8% pfd at \$23.9964. Participating 25% of the volume now. Please let us know if you would like us to be more aggressive. Not enough volume to get done on 200k in one day.

Tazia

WAP from time of Order Entry: \$24.0117

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Tazia Smith  
Director | Key Client Partners - US

DB Securities Inc  
Deutsche Asset & Wealth Management  
345 Park Avenue, 10154-0004 New York, NY, USA  
Tel. [REDACTED]  
Fax [REDACTED]  
Mobile [REDACTED]  
Email [REDACTED]

From: [REDACTED] To: "jeffrey E." <jeevacation@gmail.com>, Cc: [REDACTED] Paul Morris, Richard Kahn  
<[REDACTED]>, [REDACTED]

EFTA01400864

[REDACTED] Date: 10/28/2014 01:11 PM Subject: Re: [C]

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Classification: Confidential

Jeffrey - thus far done on 35,100 Citi 5.8% pfd at \$23.9945 working at 24.05 so to continue to participate. given low avg daily volume, unlikely we would get it all 200k done in one day, so working not to push price. please come back to me if you want to be more aggressive.

Thanks!  
Tazia

From: [REDACTED] To: "jeffrey E." <jeevacation@gmail.com>, Cc: [REDACTED] Paul Morris, Richard Kahn

<[REDACTED]>, [REDACTED]  
[REDACTED] Date: 10/28/2014 11:36 AM Subject: Re: [C]

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Classification: Confidential

Jeffrey - Thus far you have purchased 34,900 shares of Citi 5.8% pfd at \$23.9945; the pfd is presently \$24, we're working aggressively at that level. Please let us know if you want us to bid higher.

EFTA01400865

From: [REDACTED] To: "jeffrey E." <jeevacation@gmail.com>, Cc:  
[REDACTED] Paul Morris, Richard Kahn  
<[REDACTED]>, [REDACTED] Date:  
10/28/2014 11:06 AM Subject: Re: [C]

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Classification: Confidential

Jeffrey - How aggressive do you want us to be? Buying 200k shares, Citi  
5.8% pfd has traded 15.6k shares this morning, 28k dailyavg. Do you want to  
give us a limit?

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Tazia Smith  
Director | Key Client Partners - US

DB Securities Inc  
Deutsche Asset & Wealth Management  
345 Park Avenue, 10154-0004 New York, NY, USA  
Tel. [REDACTED]  
Fax [REDACTED]  
Mobile [REDACTED]  
Email [REDACTED]

From: [REDACTED] To: "jeffrey E."  
<jeevacation@gmail.com>@DEUBAINT, Cc: Richard Kahn  
<[REDACTED]>, [REDACTED]  
[REDACTED] Paul Morris Date: 10/28/2014 10:57 AM Subject: Re: [C]

EFTA01400866

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Classification: Confidential

Citi 5.8% Perpetual Preferred (cusip: 172967366) , trading ~23.92, buying \$5mm worth. got it.

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Tazia Smith  
Director | Key Client Partners - US

DB Securities Inc  
Deutsche Asset & Wealth Management  
345 Park Avenue, 10154-0004 New York, NY, USA  
Tel. [REDACTED]  
Fax [REDACTED]  
Mobile [REDACTED]  
Email [REDACTED]

From: "jeffrey E." <jeevacation@gmail.com> To: [REDACTED]  
[REDACTED] Richard Kahn <[REDACTED]>, Date: 10/28/2014  
10:54 AM Subject:

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buy \$5MM of this issue.

Citi 5.80% PFD

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