

Subject: Re: Fw: Epstein Accounts [I]
From: Amanda Kirby <[REDACTED]>
Date: Wed, 23 Apr 2014 12:31:47 -0400
To: Vahe Stepanian <[REDACTED]>
Cc: Jay Lipman <[REDACTED]>

Classification: For internal use only

FYI -- I have copies of most everything we've ever received in terms of account opening. So once you determine what is needed let me know and I will search through my inventory

Kind regards,
Amanda Kirby

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Amanda Kirby
Associate

Deutsche Bank Trust Company Americas
Deutsche Asset & Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

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Securities offered through Deutsche Bank Securities Inc.

From: [REDACTED]
To: [REDACTED]
Cc: [REDACTED]
Date: 04/22/2014 12:03 PM
Subject: Fw: Epstein Accounts [I]

Classification: For internal use only

JL - need your help to fix this please. The accounts currently have \$0.00 balance and we don't expect that change soon, but we need to get this done before month end to avoid sending statements (to the accountants) that say the accounts are closed.

To summarize, the accounts in question:

N4G025080
N4G025098
N4G025106
N4G025114

Please send Amanda and I a summary of what is missing before week's end so that we can get you the info. or documentation.

Thank you,
Vahe

----- Forwarded by [REDACTED] on 04/22/2014 11:59 AM -----

From: [REDACTED]

To: [REDACTED]

Cc: [REDACTED], [REDACTED], Mo New Accts, [REDACTED]

Date: 04/22/2014 11:57 AM

Subject: Re: Epstein Accounts [I]

Classification: For internal use only

They were closed due to 2111.

Kind regards,
Fran Wickman

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Fran Wickman

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From: [REDACTED]

To: [REDACTED]

Cc: [REDACTED], [REDACTED], Mo New Accts, [REDACTED]

Date: 04/22/2014 11:26 AM

Subject: Re: Epstein Accounts [I]

Classification: For internal use only

Hi Fran - can you please confirm that's why they were closed? We will remediate ASAP, but we want to make sure there isn't pending documentation, etc.

Thank you,
Vahe

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Vahe Stepanian
Associate | Wealth Investment Coverage

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From: [REDACTED]

To: [REDACTED]

Cc: [REDACTED], [REDACTED] Mo New Accts, [REDACTED]

Date: 04/22/2014 11:02 AM

Subject: Re: Epstein Accounts [I]

Classification: For internal use only

I believe they were closed due to failing 2111. Please review the accounts for missing info.

Kind regards,
Fran Wickman

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Fran Wickman

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Mobile
Email

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From:

To: Mo New Accts,

Cc:

Date: 04/21/2014 04:57 PM

Subject: Epstein Accounts [I]

Classification: For internal use only

Hello-

Please advise ASAP as to why the following accts. have been closed.

EPSTEIN	Close	TDS	SOUTHERN FINANCIAL LLC 6100 RED HOOK QUARTER B3 ST THOMAS VI 00802
EPSTEIN	Close	TDS	SOUTHERN TRUST COMPANY INC 6100 RED HOOK QUARTER B3 ST THOMAS VI 0080
2			
EPSTEIN	Close	TDS	SOUTHERN TRUST COMPANY INC 6100 RED HOOK QUARTER B3 ST THOMAS VI 0080
2			
EPSTEIN	Close	TDS	SOUTHERN TRUST COMPANY INC 6100 RED HOOK QUARTER B3 ST THOMAS VI 0080
2			

This client has > \$150mm in liquid assets with our team and this needs to be rectified ASAP.

Thank you,
Vahe

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Vahe Stepanian
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