

Subject: FW: [REDACTED] rdc alert [I]
From: Amanda Kirby [REDACTED]
Date: Fri, 06 Feb 2015 09:56:32 -0500
To: PWMUS AMLKYC <[REDACTED]>

Classification: For internal use only

These alerts are from 2008. I requested research after October 2013 as the below had already been cleared in the last KYC. Please clear again. Attached is the prior clearance.

From: Urvashi Lavania On Behalf Of PWM BIS-Research
Sent: Friday, February 06, 2015 9:52 AM
To: Amanda Kirby
Cc: PWMUS AMLKYC
Subject: [REDACTED] rdc alert [I]

Classification: For internal use only

Hello

Attached is an alert for the party mentioned above. Please note that clearance from compliance is required prior to KYC approval.

Please follow the instructions below:
Forward explanation of whether the alert pertains to your client directly to compliance.
Receive confirmation from compliance that alert can be removed from the queue.
Include copies of all responses along with your KYC.

(See attached file: [REDACTED] rdc alert.pdf)(See attached file: [REDACTED]
[REDACTED] rdc alert_1.pdf)(See attached file: [REDACTED] rdc alert_2.pdf)

Regards
Urvashi