
From:

To:

Cc:

Date:

Subject:

PWMUS AMLKYC/db/[REDACTED]

Amanda Kirby/db/[REDACTED]

PWMUS AMLKYC/db/[REDACTED], Jacqueline Lightbody/db/[REDACTED]

10/09/2013 03:49 PM

Re: Fw: [REDACTED] dc alert [I]

Classification: For internal use only

Hi Amanda,

AML Compliance reviewed the attached PCR alerts dated 10/9/2013 relating to [REDACTED]. The alert pertains to the wife of [REDACTED]. According to the business, our client is in her early 30's and lives in NYC; whereas, the alert pertains to a [REDACTED] that is in [REDACTED] 60's and lives in [REDACTED]. Accordingly, AML Compliance CLEARS this alert as a false positive.

Kind regards,

Andrew Kisz

Andrew Kisz

Associate | AML Compliance Officer

DB Services New Jersey, Inc.

Asset & Wealth Management

5022 Gate Parkway, Suite 400, 32256 Jacksonville, USA

[REDACTED]

Email [REDACTED]

From:

To:

Cc:

Date:

Subject:

Amanda Kirby/[REDACTED]

PWMUS AMLKYC/db/[REDACTED]

Andrew Kisz/db/dbcom/[REDACTED], Jacqueline Lightbody/db/[REDACTED], Paul

Morris/db/[REDACTED]

10/08/2013 12:42 PM

Fw: [REDACTED] dc alert [I]

Classification: For internal use only

Hi,

This PCR alert does not pertain to our client. This [REDACTED] is in [REDACTED] and lives in [REDACTED]

Our [REDACTED] is in [REDACTED] early 30's and lives in NYC. Please clear alert

Best,

Amanda Kirby

♀ Amanda Kirby

Associate

Deutsche Bank Trust Company Americas

345 Park Avenue, 27th Fl

New York, NY, USA, 10154-0004

Tel. [REDACTED]

Fax [REDACTED]

Email [REDACTED]

----- Forwarded by Amanda Kirby/db/dbcom on 10/08/2013 12:39 PM -----From:

To:

Cc:

Date:

Subject:

PWM

BIS-Research/db/db [REDACTED]

Amanda Kirby/db/d [REDACTED]

PWMUS AMLKYC [REDACTED]

10/08/2013 10:00 AM

[REDACTED] rdc alert [I]

Classification: For internal use only

Hello

Attached is an alert for the party mentioned above. Please note that clearance from compliance is required prior to KYC approval.

Please follow the instructions below:

Forward explanation of whether the alert pertains to your client directly to compliance.

Receive confirmation from compliance that alert can be removed from the queue.

Include copies of all responses along with your KYC.

[attachment "[REDACTED] pcr alert.pdf" deleted by Amanda Kirby/db/[REDACTED], attachment "[REDACTED]

[REDACTED] pcr alert_1.pdf" deleted by Amanda Kirby/d [REDACTED]

Regards

Urvashi