

Subject: RE: Southern Financial RDC Alerts [I]

From: Xavier Avila [REDACTED]

Date: Wed, 04 Apr 2018 17:18:47 -0400

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C
[REDACTED]

Nina told me it's me that I need to approve this instead of Martin, so I approve.

Matt, let's discuss this client and RDC Alerts, this client has gone through a tremendous amount of scrutiny at WM KYC level and management decision is that we are engaging the client.

Thanks

Xavi

From: Mathew Negus

Sent: Wednesday, April 04, 2018 1:57 PM

[REDACTED]

Subject: RE: Southern Financial RDC Alerts [I]

Yes

From: Martin Zeman

Sent: Tuesday, April 03, 2018 1:01 PM

[REDACTED]

Subject: RE: Southern Financial RDC Alerts [I]

Acknowledged. Please proceed with onboarding.

Mat – please confirm the same to Zack.

Martin

From: Zack Bunimovich
Sent: Tuesday, April 03, 2018 12:24 PM

[REDACTED]
Subject: Southern Financial RDC Alerts [I]

Mat/Xavier,

We received a few RDC alerts for Jeffery Epstein, sole shareholder of Southern Financial. Please see them attached.

Can you acknowledge these hits and confirm that you would like to proceed with onboarding the client?

Thanks,

{<https://brandportal.intranet.db.com/img/modules/logo.gif>}

Zack Bunimovich

Deutsche Bank Securities Inc.

Client Management & Client Due Diligence

