

Subject: RE: Southern Financial KYC docs [C]
From: Nina Tona <[REDACTED]>
Date: Thu, 08 Feb 2018 12:37:10 -0500
To: Davide-A Sferrazza <[REDACTED]>
Cc: Martin Zeman <[REDACTED]>, Liam Osullivan <[REDACTED]>

Classification: Confidential

Thank you, I've sent it to the team.

Nina Tona

From: Davide-A Sferrazza
Sent: Thursday, February 08, 2018 12:03 PM
To: Nina Tona <[REDACTED]>
Cc: Martin Zeman <[REDACTED]>; Liam Osullivan <[REDACTED]>
Subject: Southern Financial KYC docs [C]

Classification: Confidential

Nina,

Please see the below/attached.

If anything else is required, please let me know – thanks!

- Organization Chart
- o Per attachment "Southern Financial Operating Agreement" (pages 1, 16)
- § Southern Trust Company Inc. is the sole member of Southern Financial LLC
- § Jeffrey Epstein is the sole shareholder of Southern Trust Company Inc.

- UBOs (Owners) that own greater than 10%
- o Jeffrey Epstein is the sole UBO (see "Organization Chart" above)

- The source of funds for the UBOs (owners) that are greater than 10%

o Jeffery Epstein began his financial career in 1976 as an options trader at Bear Stearns and became a partner in 1980. In 1982, Epstein founded his own financial management firm, J. Epstein & Co., managing the assets of clients with more than a billion in net worth. In 1996, Epstein changed the name of his firm to The Financial Trust Company and based it on the island of St. Thomas in the US Virgin Islands, which merged into Southern Financial LLC. All of his clients were anonymous except for the very wealthy businessman Leslie Wexner. His wealth has come from his days at Bear Stearns and his financial management firms.

- List of Legal representatives

- o Per attachment "Southern Financial Operating Agreement" (pages 16)
- § Jeffrey Epstein, Darren K. Indyke, Richard Kahn

- Annual report

o See attachments "Southern Financial LLC Financials June 2017" and "Southern Trust Company Inc. Financials June 2017" for latest financial statements

Regards,
Davide

{cid:image001.gif@01D259D5.3E2DF0F0}

Davide Sferrazza
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