

Subject: FW: Southern Financial - KYC Docs. [I]
From: Martin Zeman <[REDACTED]>
Date: Mon, 09 Apr 2018 17:43:55 -0400
To: Liam Osullivan <[REDACTED]>,
Davide-A Sferrazza <[REDACTED]>

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Do we have this pls?

From: Zack Bunimovich
Sent: Monday, April 09, 2018 11:47 AM
To: Martin Zeman <[REDACTED]>; Nina Tona <nina.tona@db.com>
Subject: FW: Southern Financial - KYC Docs. [I]

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Hey Martin / Nina,

Southern Financial is with Verification but they noticed that we do not have a CRS Self Certification form on file anymore from previous onboardings. We are usually looking for something similar to the attached format, but it's possible that the client has provided this before and has their own template. Can this be requested from the client?

Thanks,

Zack

From: Anthony Lentini
Sent: Monday, April 09, 2018 10:51 AM
To: Zack Bunimovich <[REDACTED]>
Subject: Southern Financial - KYC Docs. [I]

Classification: For internal use only

Hi Zack,

An update on Southern Financial, it is with Verification and they are reviewing right now. While they were going through their review they noticed that the CRS Self Cert file from a previous onboarding is no longer on file/- in the system.

Could you please send over a new CRS Self Cert so they can proceed with their review?

Apologies for the inconvenience.

Kind Regards,

{cid:1__=0FBBF1B8DFF0A9818f9e8a93df9386909@db.com}

Anthony Lentini

Client Data Services | C00 DBUSA Core Corp.

5201 Gate Parkway

Jacksonville FL32256

Tel.: (1)904-645-1075

E-mail: [REDACTED] Vminute delay NA