

Subject: Fwd: Closed account [I]
From: Xavier Avila <[REDACTED]>
Date: Tue, 26 Feb 2019 10:11:37 -0500
To: Martin Zeman <[REDACTED]>,
Billy Obregon <[REDACTED]>

Xavier Avila Deutsche Bank Securities Inc
Mobile: [REDACTED]
Email: [REDACTED]

Begin forwarded message:

From: Ian Salters <[REDACTED]>
Date: February 26, 2019 at 07:34:44 CST
To: Rosemary Vrablic <[REDACTED]>, Russell Daulton
<[REDACTED]>, Bradley Gillin <[REDACTED]>, Sean Jules
<[REDACTED]>, Joshua Shoshan <[REDACTED]>, Xavier Avila
<[REDACTED]>, Eva Pace <[REDACTED]>, Brian P Convey
<[REDACTED]>, Douglas Robertson <[REDACTED]>,
Stewart Oldfield <[REDACTED]>
Cc: Ian Salters <[REDACTED]>
Subject: Closed account [I]

> Classification: For internal use only

Dear All

As part of a Brexit KYC review, we noticed the below clients may have previously contemplated trading with GM (derivatives), but do not have any KYC in place. My question is whether these account be closed process as part of our clean up exercise. Please let me know your thoughts.

WM Client Name

WM Contact/ RM Updated

509 Madison Avenue Associates LP

Rosemary Vrablic

Cascade Investment LLC

Russell Daulton

CHARLES M VON ARENTSCHILDT TR UAD 04/19/12 CHARLES & MEREDITH TTEES

Bradley Gillin

Heritage Investing Inc

Jimmy Benzaquen

James E Ferrell Revocable Trust Two

Sean Jules (RM) Josh Shoshan (KCP)

JOHN R MILLER ENTERPRISES LLC

Xavier Avila

Mantiqueira Overseas

Eva Pace

Meister, Keith A

Rosemary Vrablic (RM) Josh Shoshan (KCP)

PETER FINE

Brian Convey

Robert L Allbritton

Douglas Robertson

Robert Lewis Allbritton 1996 Trust

Douglas Robertson

SOUTHERN FINANCIAL LLC

Stewart Oldfield

Southern Trust Company Inc

Stewart Oldfield

The Shenandoah 2008 Trust

Rosemary Vrablic (RM) Josh Shoshan (KCP)

Kind regards,
Ian Salters

{<https://brandportal.intranet.db.com/img/modules/logogrey.gif>}

Ian Salters
COO Americas - Institutional Wealth Partners

DB Securities Inc
Deutsche Bank Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA
Tel. +1 212 250-0037
Mobile [REDACTED]
Email [REDACTED]

Visit us: <http://deutschewealth.com>

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