

Subject: RE: OD REFERRALS (06-02-2017) A/c # [REDACTED] [I]
From: Cynthia Rodriguez <[REDACTED]>
Date: Mon, 05 Jun 2017 13:36:36 -0400
To: Naveen Bagaria <[REDACTED]>,
Bradley Gillin <[REDACTED]>
Cc: Debra Jasper <[REDACTED]>, [REDACTED],
Oversight Control <[REDACTED]>

Classification: For internal use only

Kind regards,

Cynthia Rodriguez

{cid:image001.png@01D0778D.2D49CDD0}

Cynthia Rodriguez
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl 10154-0004 New York, NY, USA
Tel. (212)454-4577
Fax (646) 736-0721
Email [REDACTED]

From: Naveen Bagaria
Sent: Monday, June 05, 2017 1:35 PM
To: Cynthia Rodriguez; Bradley Gillin
Cc: Debra Jasper; [REDACTED];
Oversight Control
Subject: RE: OD REFERRALS (06-02-2017) A/c # [REDACTED] [I]

Classification: For internal use only

Hi Team,

Any update on the OD items.

Please be noted, if a decision is not received we'll return the items for the accounts have overdrawn.

Regards

Naveen Bagaria

PWM-US Ops – Banking

COO - Wealth Management Operations
DBOI Global Services Private Limited

A-1 tower - Evolve, 2nd Floor, Mahindra World City-SEZ, Jaipur (RAJ) 302029,
India

Tel: +44(207)3383887

Fax: +1(201)669-3854

Email [REDACTED]

Please send all Banking related queries to our new Group E-mail ID -
[REDACTED]

From: Shalini Goyal [mailto:[REDACTED]]

Sent: Monday, June 05, 2017 12:07 PM

To: Cynthia Rodriguez <[REDACTED]>; Bradley Gillin

<[REDACTED]>

Cc: Debra Jasper <[REDACTED]>;

[REDACTED],

<[REDACTED]>; Oversight Control

<[REDACTED]>

Subject: RE: OD REFERRALS (06-02-2017) A/c # [REDACTED] [I]

Classification: For internal use only

Hi team,

Please provide an update on below.

Kind regards,
Shalini Goyal

{cid:image001.png@01D03F0B.91BB3660}

Shalini Goyal
Analyst | Banking Transactions

DBOI Global Services Private Limited
COO Wealth Management Operations
A-1 & A-2, Evolve - Mahindra Technology Park, Inside Mahindra World City -
SEZ, 302029 Jaipur, India
Fax +1(201)469-3854

Email [REDACTED]

Please send all the Banking related Queires to our new Group E-mail ID
[REDACTED]

From: Shalini Goyal [mailto:[REDACTED]]
Sent: Monday, June 05, 2017 9:52 AM
To: Cynthia Rodriguez <[REDACTED]>; Bradley Gillin
<[REDACTED]>
Cc: Debra Jasper <[REDACTED]>;

[REDACTED],
<[REDACTED]>; Oversight Control
<[REDACTED]>
Subject: OD REFERRALS (06-02-2017) A/c # [REDACTED] [I]

Classification: For internal use only

Hi Team,

Please find the attached OD Referral for today and approve these with your decision hand-written on the OD referral form with two signatures (mandatory).

Please provide the below information on the form –

- Ok to pay / Not Ok to pay,
- Charge / Waive OD fee decision, and
- Reason on how and when the OD will be covered.

Kind regards,
Shalini Goyal

{cid:image001.png@01D03F0B.91BB3660}

Shalini Goyal
Analyst | Banking Transactions

DBOI Global Services Private Limited
COO Wealth Management Operations
A-1 & A-2, Evolve - Mahindra Technology Park, Inside Mahindra World City -
SEZ, 302029 Jaipur, India
Fax +1(201)469-3854

Email [REDACTED]

Please send all the Banking related Queires to our new Group E-mail ID [REDACTED]

This e-mail may contain confidential and/or privileged information. If you are not the intended recipient (or have received this e-mail in error) please notify the sender immediately and destroy this e-mail. Any unauthorized copying, disclosure or distribution of the material in this e-mail is strictly forbidden.

Privacy of communications

In order to monitor compliance with legal and regulatory obligations and our policies, procedures and compliance programs, we may review emails and instant messages passing through our IT systems (including any personal data and customer information they contain), and record telephone calls routed via our telephone systems. We will only do so in accordance with local laws and regulations. In some countries please refer to your local DB website for a copy of our Privacy Policy.

Please refer to <https://db.com/disclosures> for additional EU corporate and regulatory disclosures.

This e-mail may contain confidential and/or privileged information. If you are not the intended recipient (or have received this e-mail in error) please notify the sender immediately and destroy this e-mail. Any unauthorized copying, disclosure or distribution of the material in this e-mail is strictly forbidden.

Privacy of communications

In order to monitor compliance with legal and regulatory obligations and our policies, procedures and compliance programs, we may review emails and instant messages passing through our IT systems (including any personal data and customer information they contain), and record telephone calls routed via our telephone systems. We will only do so in accordance with local laws and regulations. In some countries please refer to your local DB website for a copy of our Privacy Policy.

Please refer to <https://db.com/disclosures> for additional EU corporate and regulatory disclosures.