

Subject: RE: OD Referral dated 05-17-2019 For AC # [REDACTED] [I]  
From: Brigid Macias <[REDACTED]>  
Date: Mon, 20 May 2019 13:55:14 -0400  
To: Rishabh Shah <[REDACTED]>,  
Stewart Oldfield <[REDACTED]>,  
Bradley Gillin <[REDACTED]>,  
Monica Gilkinson <[REDACTED]>,  
Rachel Wachs <[REDACTED]>,  
Natalie Barak <[REDACTED]>,  
Armen Brash <[REDACTED]>,  
Daphne Cales <[REDACTED]>  
Cc: Halina Laczny <[REDACTED]>,  
Debra Jasper <[REDACTED]>,  
[REDACTED],  
Oversight Control <[REDACTED]>

Please see attached.

Kind regards,  
Brigid Macias

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Brigid Macias  
Assistant Vice President

Deutsche Bank Trust Company Americas  
Deutsche Bank Wealth Management  
345 Park Avenue

24th Floor  
New York, NY 10154  
Tel. [REDACTED]  
Fax [REDACTED]  
Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

From: Rishabh Shah  
Sent: Monday, May 20, 2019 1:30 PM  
To: Stewart Oldfield <[REDACTED]>; Bradley Gillin <[REDACTED]>; Monica Gilkinson <[REDACTED]>; Rachel Wachs <[REDACTED]>; Brigid Macias <[REDACTED]>; Natalie Barak <[REDACTED]>; Armen Brash <[REDACTED]>; Daphne Cales <[REDACTED]>  
Cc: Halina Laczny <[REDACTED]>; Debra Jasper <[REDACTED]>; Oversight Control <[REDACTED]>  
Subject: RE: OD Referral dated 05-17-2019 For AC # [REDACTED] [I]

Classification: For internal use only

Please provide an update on below before 2:30 PM , else we'll return the transaction as per our default procedure.

Regards,

{[https://brandportal.intranet.db.com/img/modules/-DAWM\\_logo\\_metallic\\_46x46.gif](https://brandportal.intranet.db.com/img/modules/-DAWM_logo_metallic_46x46.gif)}

RISHABH SHAH

Wealth Management

(PWM US OPS - BANKING)

DBOI Global Services Pvt Ltd.

Deutsche Bank Group

A-1 & A-2, Evolve - Mahindra Technology Park,

EFTA01402127

Inside Mahindra World City - SEZ, 302029 Jaipur, India

From: Stewart Oldfield  
Sent: Monday, May 20, 2019 12:17 PM  
To: Rishabh Shah <[REDACTED]>; Bradley Gillin <[REDACTED]>; Monica Gilkinson <[REDACTED]>; Rachel Wachs <[REDACTED]>; Brigid Macias <[REDACTED]>; Natalie Barak <[REDACTED]>  
Cc: Halina Laczny <[REDACTED]>; Debra Jasper <[REDACTED]>; [REDACTED]; Oversight Control <[REDACTED]>  
Subject: RE: OD Referral dated 05-17-2019 For AC # [REDACTED] [I]

Classification: For internal use only

Brigid has the latest. Please keep her and Natalie on all of these emails.

Thanks

From: Rishabh Shah  
Sent: Monday, May 20, 2019 12:15 PM  
To: Stewart Oldfield <[REDACTED]>; Bradley Gillin <[REDACTED]>; Monica Gilkinson <[REDACTED]>; Rachel Wachs <[REDACTED]>  
Cc: Halina Laczny <[REDACTED]>; Debra Jasper <[REDACTED]>; [REDACTED]; Oversight Control <[REDACTED]>  
Subject: RE: OD Referral dated 05-17-2019 For AC # [REDACTED]

Classification: For internal use only

Please provide an update on below.

Regards,

{[https://brandportal.intranet.db.com/img/modules/-DAWM\\_logo\\_metallic\\_46x46.gif](https://brandportal.intranet.db.com/img/modules/-DAWM_logo_metallic_46x46.gif)}

RISHABH SHAH

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A-1 & A-2, Evolve - Mahindra Technology Park,

Inside Mahindra World City - SEZ, 302029 Jaipur, India

From: Rishabh Shah [mailto: ]  
Sent: Monday, May 20, 2019 10:16 AM  
To: Stewart Oldfield < >; Bradley Gillin  
< >; Monica Gilkinson < >; Rachel  
Wachs < >  
Cc: Halina Laczny < >; Debra Jasper  
< >;  
Oversight Control < >  
Subject: OD Referral dated 05-17-2019 For AC # 42953424

Classification: For internal use only

Hi Team,

Please find the attached OD Referral for today and approve these with your decision hand-written on the OD referral form with two signatures (mandatory).

Please provide the below information on the form -

1. Transactions - Ok to pay / Not Ok to pay
2. Reason on how and when the OD will be covered
3. Seek CRM Approval for any account if overdrawn USD 100K.

Note: If the form reflects an OD decrease then we don't need a decision but it does mean that the referred account is still in OD situation and needs to be covered.

Regards,

{[https://brandportal.intranet.db.com/img/modules/-DAWM\\_logo\\_metallic\\_46x46.gif](https://brandportal.intranet.db.com/img/modules/-DAWM_logo_metallic_46x46.gif)}

RISHABH SHAH

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Inside Mahindra World City - SEZ, 302029 Jaipur, India

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