

Norkom 6.1.0 - Dow Jones Details

Page 1 of 2

Dow Jones Details

Home Page Help Requestor Menu

Show Debug Info

Details

Alert ID:

Score:

Best matches:

A151024911635

100%

In FACTIVA PFA: 03_name

= Jeffrey Epstein Fuzzy

Match >= 85% Jeffrey

Epstein

Name:

DOB/DOI:

Nationality:

Gender:

Country of Birth/Branch:

Country of Residence:

United States

Jeffrey Epstein

Alert Date:

Detection Check:

Data Source:

02/10/2015

djw names

Customer_NCA_web

Street/Number:

Zip Code/City:

PEP Indicator:

Customer ID:

Virgin Islands

Dow Jones Association Details

no rows found

Dow Jones Details

Title:

First Name:

Middle Name:

Last Name:

Full Name:

Gender:

Deceased:

Record Type:

Names

no rows found

Dates

no rows found

-

Jeffrey

S.
Epstein
Jeffrey S. Epstein
Male
No
Individual
UID:
Active Status:
Entity Name:
Address Country:
Address City:
Address Line:
Entered:
Updated:
1150901
Active
-
USA
Framingham, Massachusetts
-
03/08/2009 00:00:00
03/08/2009 00:00:00
Alerted Customer ID:
Comments:
8000000028035102
Countries
Type
Country
Citizenship
Resident of
Description1
Description 1
Special Interest
Person (SIP)
Description2
Description 2
Other Official Lists
Not Known
United States
Description3
no rows found
https://aml.cit.gto.intranet.db.com/norkom_pcr_de/screen/view.do?csrfToken=4HgP_J4N...
10/5/2015

Norkom 6.1.0 - Dow Jones Details

Page 2 of 2

Roles

no rows found

Profile Notes

..:

SECURITIES AND EXCHANGE COMMISSION NOTES:

Litigation Rel. No. 18675 / April 22, 2004

SEC Charges Former Director of Banknorth Group, Inc. and MetroWest Bank In Settled

Insider Trading Case

SEC v. Allen M. Glick et al. (United States District Court for the District of Massachusetts,

C.A. No. 04-CV-10801(MEL)

The Commission today filed a settled civil fraud action against Allen M. Glick, a former

director of both MetroWest Bank and Banknorth Group, Inc., and four of his associates –

Arthur H. Freedman, Jeffrey S. Epstein, Steven T. Moore and Romeo J.

Pendolari – for

illegal insider trading in three publicly-traded Massachusetts banks –

MetroWest Bank,

Medford Bancorp and Warren Bancorp. Without admitting or denying the

Commission's

allegations, Glick, Freedman, Epstein, Moore and Pendolari consented to the entry of final

judgments permanently enjoining them from violating the antifraud provisions of the federal

securities laws. The defendants also agreed to pay a total of over \$437,000 in disgorgement

of trading profits, prejudgment interest and civil penalties, and Glick

consented to a

permanent bar from serving as an officer or director of any publicly-traded company.

External Sources

External Link

<http://www.sec.gov/litigation/litreleases/litrelarchive/litarchive2004.shtml>

<http://www.sec.gov/litigation/litreleases/lr18675.htm>

<http://www.sec.gov/litigation/complaints/comp18675.htm>

Images

no rows found

States

Status

Current

Copyright 1998-2010 Norkom Technologies Ltd. All rights reserved.

DB_scr_FactivaDetails

Since Date

To Date

https://aml.cit.gto.intranet.db.com/norkom_pcr_de/screen/view.do?csrfToken=4HgP_J4N...

10/5/2015