

Subject: RE: URGENT- Mort, Inc. Research [I]
From: Jj Litchford <[REDACTED]>
Date: Mon, 05 Oct 2015 10:13:28 -0400
To: Akshay Davera <[REDACTED]>, Anshu Parashar <[REDACTED]>
Cc: PWM BIS-Research <[REDACTED]>

Classification: For internal use only

I haven't received the PCR alert yet... any idea when I should expect that?

{cid:image001.gif@01D02B3A.F8AC3FC0}

JJ Litchford
Associate Banker

Deutsche Bank Trust Company Americas
Deutsche Asset & Wealth Management
345 Park Avenue, 24th Floor
New York, New York 10154
Tel. [REDACTED]
Mobile [REDACTED]
Email [REDACTED]

{cid:image002.gif@01D02B3A.F8AC3FC0}

From: Akshay Davera
Sent: Monday, October 05, 2015 10:12 AM
To: Jj Litchford; Anshu Parashar
Cc: PWM BIS-Research
Subject: RE: URGENT- Mort, Inc. Research [I]

Classification: For internal use only

Hi Jj,

All the research have been completed and sent back.

Kind regards,

{cid:image001.gif@01D0FFA5.E2A81F60}

Akshay Davera

Operations Analyst | Transaction Approval Group | Business Information Search

DBOI Global Services Private Limited

Private Wealth Management

A-1 & A-2, Evolve - Mahindra Technology Park, Inside Mahindra World City -
SEZ, 302029 Jaipur, India

Tel. + [REDACTED]

Mobile + [REDACTED]

Email [REDACTED]

{cid:image002.gif@01D0FFA5.E2A81F60}

From: Jj Litchford

Sent: Monday, October 05, 2015 7:04 PM

To: Anshu Parashar

Cc: PWM BIS-Research; Akshay Davera

Subject: RE: URGENT- Mort, Inc. Research [I]

Importance: High

Classification: For internal use only

Is there any update on this?

{cid:image001.gif@01D02B3A.F8AC3FC0}

JJ Litchford
Associate Banker

Deutsche Bank Trust Company Americas
Deutsche Asset & Wealth Management
345 Park Avenue, 24th Floor
New York, New York 10154
Tel. [REDACTED]
Mobile [REDACTED]
Email [REDACTED]

{cid:image002.gif@01D02B3A.F8AC3FC0}

From: Anshu Parashar
Sent: Friday, October 02, 2015 12:40 PM
To: Jj Litchford
Cc: PWM BIS-Research; Akshay Davera; Paul Morris
Subject: RE: URGENT- Mort, Inc. Research [I]

Sure !!

Thanks & Regards,

{cid:image005.png@01D04FA8.4EEC6680}

Anshu Parashar

DBOI Global Services Private Limited
PWM US Operations
A-1 & A-2, Evolve - Mahindra Technology Park, Inside Mahindra World City -
SEZ, 302029 Jaipur, India
Email [REDACTED]

{cid:image006.png@01D04FA8.4EEC6680}

From: Jj Litchford
Sent: Friday, October 02, 2015 10:03 PM
To: Anshu Parashar
Cc: PWM BIS-Research; Akshay Davera; Paul Morris
Subject: RE: URGENT- Mort, Inc. Research [I]

Classification: For internal use only

Thank you Anshu, will keep my eye out for it.

{cid:image001.gif@01D02B3A.F8AC3FC0}

JJ Litchford
Associate Banker

Deutsche Bank Trust Company Americas
Deutsche Asset & Wealth Management
345 Park Avenue, 24th Floor
New York, New York 10154
Tel. [REDACTED]
Mobile [REDACTED]
Email [REDACTED]

{cid:image002.gif@01D02B3A.F8AC3FC0}

From: Anshu Parashar
Sent: Friday, October 02, 2015 12:09 PM
To: Jj Litchford
Cc: PWM BIS-Research; Akshay Davera; Paul Morris
Subject: RE: URGENT- Mort, Inc. Research [I]

Hi,

It takes a day for the PCR result to come. We will send it to you by tomorrow.

Thanks & Regards,

{cid:image005.png@01D04FA8.4EEC6680}

Anshu Parashar

DBOI Global Services Private Limited

PWM US Operations

A-1 & A-2, Evolve - Mahindra Technology Park, Inside Mahindra World City -

SEZ, 302029 Jaipur, India

Email [REDACTED]

{cid:image006.png@01D04FA8.4EEC6680}

From: Jj Litchford

Sent: Friday, October 02, 2015 9:30 PM

To: Anshu Parashar

Cc: PWM BIS-Research; Akshay Davera; Paul Morris

Subject: Re: URGENT- Mort, Inc. Research [I]

Thank you for the update.

We are trying to reopen this account today to meet a closing deadline, is there any way to expedite the PCR investigation?

Thanks for your help,

JJ

On Oct 2, 2015, at 11:37 AM, Anshu Parashar <[REDACTED]> wrote:

Hi,

All the researches have been completed, except for Jeffrey Epstein.

It's PCR is under investigation, we will send it to you tomorrow.

Thanks & Regards,

{cid:image005.png@01D04FA8.4EEC6680}

Anshu Parashar

DBOI Global Services Private Limited

PWM US Operations

A-1 & A-2, Evolve - Mahindra Technology Park, Inside Mahindra World City -

SEZ, 302029 Jaipur, India

Email [REDACTED]

<image004.png>

From: Jj Litchford

Sent: Friday, October 02, 2015 8:51 PM

To: Anshu Parashar; PWM BIS-Research; Akshay Davera

Subject: RE: URGENT- Mort, Inc. Research [I]

Classification: For internal use only

Hi Anshu--

Do you have an ETA on when this will be done?

EFTA01402430

Thanks,

JJ

{cid:image001.gif@01D02B3A.F8AC3FC0}

JJ Litchford
Associate Banker

Deutsche Bank Trust Company Americas
Deutsche Asset & Wealth Management
345 Park Avenue, 24th Floor
New York, New York 10154
Tel. [REDACTED]
Mobile [REDACTED]
Email [REDACTED]

<image006.gif>

From: Anshu Parashar
Sent: Friday, October 02, 2015 9:06 AM
To: Jj Litchford; PWM BIS-Research; Akshay Davera
Subject: RE: URGENT- Mort, Inc. Research [I]

Hi Jj,

Below cases were duplicate, so we have rejected them. Will we send all the three researches to you ASAP.

Thanks & Regards,

{cid:image005.png@01D04FA8.4EEC6680}

Anshu Parashar

DBOI Global Services Private Limited

PWM US Operations

A-1 & A-2, Evolve - Mahindra Technology Park, Inside Mahindra World City -

SEZ, 302029 Jaipur, India

Email [REDACTED]

<image004.png>

From: Jj Litchford

Sent: Friday, October 02, 2015 3:35 AM

To: PWM BIS-Research

Subject: URGENT- Mort, Inc. Research [I]

Importance: High

Classification: For internal use only

Hi--

The following cases on the process portal have been rejected: 10348083, 082, 081 immediately after they were submitted.

These are urgently needed for a KYC that is already in "rush" status. I believe this likely happened in error, and AML/ Compliance has already said we need the research. Please look into this and revert back immediately.

Thanks,

JJ

{cid:image001.gif@01D02B3A.F8AC3FC0}

JJ Litchford

Associate Banker

Deutsche Bank Trust Company Americas

Deutsche Asset & Wealth Management

345 Park Avenue, 24th Floor

New York, New York 10154

Tel. [REDACTED]

EFTA01402432

Mobile [REDACTED]
Email [REDACTED]

<image006.gif>