

Subject: RE: URGENT: Account Re-opening - ref. case#1469681- urgent [I]
From: Halina Laczny <halina.laczny@db.com>
Date: Mon, 05 Oct 2015 15:48:56 -0400
To: Jj Litchford <jj.litchford@db.com>
Cc: Gaurav Bhargava <gaurav.bhargava@db.com>, 'pwmaog@list.db.com', Manish-m Gupta <manish-m.gupta@db.com>, Daphne Cales <daphne.cales@db.com>

Classification: For internal use only

JJ,

A/c#42959017 is Re-opened.

Thank you TAG and AOG team !

Kind regards, Halina

Mort, Inc

42959017 Demand Deposit Account

Action {https://10.159.69.21/opstopb1/images/menu_d_sm.gif} Admin
{https://10.159.69.21/opstopb1/images/menu_d_sm.gif} Window {https://10.159.69.21/opstopb1/images/menu_d_sm.gif}
Help {https://10.159.69.21/opstopb1/images/menu_d_sm.gif}

2:46:04 PM CDT

Show More

Account Identification {View Additional Identification Information}

Mort, Inc

Home:

000-000-0000

Tax ID:

66-0765963 F

6100 Red Hook Quarter, B3

Work:

212-971-1314

Last deposit:

210,000.00, 02/09/2015

St. Thomas

Branch:

5 - Core Franchise

Current bal:

0.00

00802

Prim officer:

81385 - Amanda Kirby

United States Virgin Islands

Overview

Account type:

10 - Business Checking

Account short name:

Mort, Inc

Deposit category:

D - Demand Deposit

Opened:

02/06/2015

Tax ID:

66-0765963

Zero balance:

10/05/2015

Tax ID owner:

1 - Name/address line 1

Entered:

02/06/2015

Birth date:

Reopened:

10/05/2015

Employee secured indicator:

No

Kind regards,

Halina Laczny
Assistant Vice President
DB Services New Jersey,
GTO Research & Investigations
100 Plaza One - 3rd Floor
Jersey City, NJ 07311-3901
MS : JCY03-0343
Phone #201-593-1932

Securities offered through Deutsche Bank Securities, Inc

From: Gaurav Bhargava
Sent: Monday, October 05, 2015 3:35 PM
To: Halina Laczny; 'pwmaog@list.db.com'; Manish-m Gupta
Subject: RE: URGENT: Account Re-opening - ref. case#1469681- urgent [I]

A0G part to provide approval was completed.

Thank you.

Kind regards,
Gaurav Bhargava

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Gaurav Bhargava
Process Supervisor | PWM US Operations

DBOI Global Services Private Limited
Global Business Services
A-1 & A-2, Evolve - Mahindra Technology Park, Inside Mahindra World City -
SEZ, 302029 Jaipur, India
Tel. +1(212)469-3275
Fax +000(1-646)-736-7295
Mobile +91 9828222490
Email gaurav.bhargava@db.com

{cid:image003.png@01D0D92E.ABE61510}

From: Halina Laczny
Sent: Tuesday, October 06, 2015 1:04 AM
To: 'pwmaog@list.db.com; Manish-m Gupta; Gaurav Bhargava
Subject: FW: URGENT: Account Re-opening - ref. case#1469681- urgent [I]

Classification: For internal use only

To AOG Team:

Case # 1469681 need AOG review. Please check below JJ's e-mail and complete your part.

JJ:

AOG approval should be attached to case#1469681

TAG DBOI:

After above is completed please approve case#1469681 and please ask AOG to enter case/reopen account.

Thank you all,

Kind regards,

Halina Laczny
Assistant Vice President
DB Services New Jersey,
GTO Research & Investigations
100 Plaza One - 3rd Floor
Jersey City, NJ 07311-3901
MS : JCY03-0343
Phone #201-593-1932

Securities offered through Deutsche Bank Securities, Inc

From: Jj Litchford
Sent: Monday, October 05, 2015 3:21 PM
To: Navin-Kumar Pankaj
Cc: Gwen Hill; 'pwmaog@list.db.com'; Prashant Mahendru; Halina Laczny;
Daphne Cales; Paul Morris
Subject: URGENT: Account Re-opening [I]
Importance: High

Classification: For internal use only

The necessary KYC to get this account open has been approved (KYC 01469735). Please approve ASAP, we are aiming to get a transaction done today.

Thanks, let me know if you have any questions.

JJ

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JJ Litchford
Associate Banker

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Deutsche Asset & Wealth Management
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Tel. +1.212.454.2879
Mobile +1.407.342.5115
Email jj.litchford@db.com

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From: Jj Litchford
Sent: Monday, October 05, 2015 1:35 PM
To: Navin-Kumar Pankaj
Cc: Gwen Hill; 'pwmaog@list.db.com'; Prashant Mahendru
Subject: RE: Account Re-opening [I]
Importance: High

Classification: For internal use only

We have submitted a rush KYC to deal with the below issue. The KYC is 01469735. When it is approved hopefully today, we are requesting a RUSH on this account re-opening. I will follow up as soon as the KYC is approved.

Thanks,

JJ

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JJ Litchford
Associate Banker

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Email jj.litchford@db.com

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From: Navin-Kumar Pankaj
Sent: Thursday, October 01, 2015 5:22 PM
To: Jj Litchford
Cc: Gwen Hill; 'pwmaog@list.db.com'; Prashant Mahendru
Subject: RE: Account Re-opening [I]

Hi Jj,

Since customer "Mort, Inc." is a prospect hence, we require KYC# within one year to approve this re-open request.

KYC #01195147 is more than one year old.

Kind regards,

Navin Kumar Pankaj

Please send all the PWM AOG- COB mail related Queires to our new Group E-mail ID

pwmaog@list.db.com

{cid:image001.png@01D06656.2B2B8F40}

Navin Kumar Pankaj

Analyst PWM US Operations

DBOI Global Services Private Limited

Global Business Services

A-1 & A-2, Evolve - Mahindra Technology Park, Inside Mahindra World City - SEZ, 302029 Jaipur, India

Tel. + 44207 3382739

Mobile +91 8741025953

Email navin-kumar.pankaj@db.com

From: Jj Litchford

Sent: Friday, October 02, 2015 2:18 AM

To: 'pwmaog@list.db.com'

Subject: Account Re-opening [I]

Classification: For internal use only

Hi--

Please re-open account 42959017 (Mort, Inc.). The client is looking to transfer money into the account (per the attached instruction). It was closed on 5/26/2015 and still appears on FIS Metavante. The client did not send a letter to close, and the account was recently opened on 2/6/2015. The KYC is still valid.

Thanks,

JJ

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JJ Litchford
Associate Banker

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