

Subject: RE: Gratitude/BV70 [I] [C]
From: Bradley Gillin <[REDACTED]>
Date: Fri, 16 Nov 2018 09:59:12 -0500
To: Vijay-A Sawant <[REDACTED]>
Cc: Vinita Advani <[REDACTED]>

Classification: Confidential

Thank you. Enjoy the weekend - GO LIVERPOOL!

Regards,
Brad

Bradley Gillin
Vice President
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl
New York, NY 10154
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

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-----Original Message-----

From: Vijay-A Sawant
Sent: Friday, November 16, 2018 9:57 AM
To: Bradley Gillin <[REDACTED]>
Cc: Vinita Advani <[REDACTED]>
Subject: RE: Gratitude/BV70 [I]
Importance: High

Hi Brad,

For the significant donor in this Foundation entity, we require the following docs-

Formation docs (COI, COGS, etc)
Constitutional docs (Operating agreement, etc) Ownership list (listing atleast those parties who own more than 10% in BV70) Register of Members/Directors

We should be able to determine the UBO/s in this entity along with the Legal Representatives who control the entity. Once the UBO is identified, ID docs will be required for him/her. The above is essentially what we would need to complete our review.

Let me know if any further queries, thanks.

Kind Regards,

Vijay Sawant

KYC Case Representative
Deutsche CIB Centre Private Limited - Deutsche Bank Group Wealth Management B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway Goregaon East, Mumbai - 400063 , India Tel. [REDACTED]
[REDACTED] Mobile + [REDACTED] [REDACTED]

-----Original Message-----

From: Bradley Gillin
Sent: Friday, November 16, 2018 7:37 PM
To: Vijay-A Sawant <[REDACTED]>
Subject: FW: Gratitude/BV70 [I]

Classification: For internal use only

Vijay,
See below from Stewart. Please advise, thank you.

Regards,
Brad

-----Original Message-----

From: Stewart Oldfield
Sent: Friday, November 16, 2018 9:05 AM

To: Bradley Gillin <[REDACTED]>
Subject: Gratitude/BV70

Can you tell me exactly what I need to get for the BV70 entity to satisfy the gratitude KYC? We need to only go to the client once for this. Thanks

Stewart Oldfield, CFA, CAIA
Director

Deutsche Bank Trust Company Americas
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