

Subject: RE: Epstein - negative media [I]  
From: Richard Iarossi <[REDACTED]>  
Date: Thu, 18 Oct 2018 17:12:06 -0400  
To: Bradley Gillin <[REDACTED]>

Classification: For internal use only

Hi Kim,

AML Compliance has requested that we get your approval to move forward on all Southern Financial Relationship KYCs due to this latest lawsuit Stewart has pointed out below. Per the attachment, this lawsuit has been dismissed on October 5th, 2018. As this occurred after your initial approval on October 2nd, we would ask that you please confirm again we are comfortable moving forward with this client.

Thank You,

Rich

Richard Iarossi  
Assistant Vice President

Deutsche Bank Wealth Management  
345 Park Avenue, 24th Floor  
New York, NY 10154  
[REDACTED]  
[REDACTED]

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From: Bradley Gillin  
Sent: Wednesday, October 10, 2018 2:06 PM  
To: Richard Iarossi <[REDACTED]>  
Subject: FW: Epstein - negative media [I]

Classification: For internal use only

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From: Kimberly Hart  
Sent: Tuesday, October 09, 2018 12:15 PM  
To: Stewart Oldfield <[REDACTED]>  
Cc: Bradley Gillin <[REDACTED]>  
Subject: RE: Epstein - negative media [I]

Classification: For internal use only

Was this returned as an RDC alert – or part of the regular Lexis/Nexis due diligence?

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Kimberly Hart  
Managing Director | Divisional Control Officer

Wealth Management Americas

Deutsche Bank Trust Company Americas  
345 Park Avenue, 14th floor, New York, NY 10154

[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]

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From: Stewart Oldfield  
Sent: Tuesday, October 09, 2018 11:03 AM  
To: Kimberly Hart <[REDACTED]>  
Cc: Bradley Gillin <[REDACTED]>  
Subject: Epstein - negative media

Kim,

This just surfaced in our latest KYC reviews. Let's discuss what info I need from the client and then next steps. Thanks

[http://www.classactionsreporter.com/sites/default/files/-towers\\_ponzi\\_scheme\\_complaint.pdf](http://www.classactionsreporter.com/sites/default/files/-towers_ponzi_scheme_complaint.pdf)

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Stewart Oldfield, CFA, CAIA  
Director

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Deutsche Bank Wealth Management  
345 Park Avenue, New York, NY 10154

Email [REDACTED]

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