

Subject: Updated KYC - Recent Press [C]  
From: Troy-D Williams <[REDACTED]>  
Date: Mon, 05 Jan 2015 10:07:58 -0500  
To: Paul Morris <[REDACTED]>,  
Amanda Kirby <[REDACTED]>  
Cc: Roddy Moore <[REDACTED]>,  
Nicholas Haigh <[REDACTED]>,  
Daniel Sabba <[REDACTED]>

Classification: Confidential

Paul,

I am sure you have seen the recent press about Mr. Epstein. Can you please ensure an updated KYC is completed and that there are no new issues?

Thanks

Here is something from WSJ.com that might interest you:

Britain's Prince Andrew and U.S. lawyer Alan Dershowitz deny sex-scandal claim  
<http://www.wsj.com/articles/financier-epsteins-sex-case-leads-to-new-claims-1420242564>

Troy D Williams  
Credit Risk Management  
Deutsche Asset & Wealth Management  
Tel: [REDACTED]

From: Daniel Sabba  
Sent: Monday, January 05, 2015 9:50 AM  
To: Renee Maxey  
Cc: jeevacation@gmail.com; [REDACTED]; Paul Morris; Vahe Stepanian; Amanda Kirby; Roddy Moore; Troy-D Williams; Mary Breslin; Kristine Pecunia; Lorna Barrow-Austin; Brendan Droge  
Subject: Fw: Southern Financial LLC/Deutsche Bank AG - GMRA [Document Attached] [C]

Classification: Confidential

Renee - please liaise with Richard Kahn for communication regarding this matter. I have CCed Rich here.

Rich - please see below.

Best regards,  
Daniel

Daniel Sabba  
Key Client Partners  
Deutsche Bank Securities Inc.  
Tel. [REDACTED]  
Mobile [REDACTED]  
Email [REDACTED]

----- Forwarded by Daniel Sabba/db/dbcom on 01/05/2015 09:47 AM -----

From:

Renee Maxey/db/dbcom@DBC0EX

To:

"[REDACTED]" <[REDACTED]>, "jeevacation@gmail.com" <jeevacation@gmail.com>,

Cc:

Roddy Moore/db/dbcom, Troy-D Williams/db/dbcom, Daniel Sabba/db/dbcom@DBAMERICAS, Paul Morris/db/dbcom@DBAMERICAS, Mary Breslin/db/dbcom, Kristine Pecunia/ext/dbcom, Lorna Barrow-Austin/ext/dbcom@DBAMERICAS, Brendan Droge/db/dbcom

EFTA01403587

Date:

12/31/2014 12:41 PM

Subject:

Southern Financial LLC/Deutsche Bank AG - GMRA [Document Attached] [C]

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Classification: Confidential

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Dear Harry and Jeffrey,

Please find attached a GMRA between Deutsche Bank AG and Southern Financial LLC. Please let me (Renée Maxey - [REDACTED]) know if you have any comments or questions.

If there are no questions or comments to the GMRA, please:

- (i) insert the required information in Annex I, sections 1(g), 1(o)(iii), and 1(p)(ii) and confirm that no Counterparty assets are subject to ERISA. Please note, in Section 1(p)(ii), we require an "English" process agent, "English" affiliate, or "English" law firm (as the GMRA is governed by English law);
- (ii) print out TWO (2) single-sided originals;
- (iii) arrange for appropriate execution by an authorized signatory on the signature page, 20;
- (iv) provide evidence of signing authority and specimen signature for the signatory of the GMRA , and
- (v) return TWO (2) sets of executed GMRA's to the attention of Kristine Pecunia:

Repo & Securities Lending Legal Group  
Deutsche Bank AG, New York

EFTA01403588

60 Wall Street, 36th Floor  
New York, NY 10005

Deutsche Bank will then have the originals signed and will forward a fully executed original to you.

Best regards,  
Renée

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{<https://brandportal.intranet.db.com/img/modules/logo.gif>}

Renée J. Maxey  
Assistant Vice President | Legal

DB Services New Jersey, Inc.  
5022 Gate Parkway  
Suite 400  
Jacksonville, Florida 32256  
United States of America  
Tel. [REDACTED]  
Mobile [REDACTED]  
Email [REDACTED]

{<https://brandportal.intranet.db.com/img/modules/claim.gif>}  
(See attached file: Southern Financial - GMRA (DB1 12.31.2014).doc)