

Subject: Re: Your KYC has been rejected [I]
From: Amanda Kirby <[REDACTED]>
Date: Wed, 16 Oct 2013 14:29:07 -0400
To: [REDACTED]

Classification: For internal use only

Hi Janice -- Wanted to follow up on your question regarding Richard Kahn. I don't understand why we need more information on his alert when he has already been cleared by Compliance and was approved in a KYC back in September. Please let me know

Best,
Amanda Kirby

Amanda Kirby
Associate

Deutsche Bank Trust Company Americas
345 Park Avenue, 27th Fl
New York, NY, USA, 10154-0004
Tel. +1(212)454-6439
Fax +1(646)863-9836
Email [REDACTED]

From: Janice Franklin <[REDACTED]> To: Amanda Kirby/db/-dbcom@DBAMERICAS, Date: 10/15/2013 03:56 PM Subject: Your KYC has been rejected

Your KYC has been rejected.

Case #: 01133377
Relationship: EPSTEIN, JEFFREY RELATIONSHIP

EFTA01403721

Customer Name: Indyke, Darren

Customer Number: 00000486405

Reason for Rejection: Please address the following:

For The 2007 Jeffrey E. Epstein Insurance Trust #3:

1. Please complete Wealth Profile
2. Re-run searches with correct country. Should be US Virgin Islands, not United States. (Resolved - J. Lightbody)

For HBRK Associates, Inc. (both accounts)

1. Please check the Signatory or Full POA box for the signers. (Resolved - J. Lightbody)

For Darren K. Indyke PLLC:

1. Please check the Signatory or Full POA box for the signers. (Resolved - J. Lightbody)
2. For the Attorney Trust Account. Is this a IOLA account, and if so, please explain purpose of the checking. Currently it's noted that it will be "for the daily use of the company's needs". If this is a clients esrow account this purpose would not apply. (Resolved - J. Lightbody)

For New York Strategy Group, LLC (both account);

1. Please check the Signatory or Full POA box for the signers. (Resolved - J. Lightbody)

Additional questions/comments:

1. I did not clearly understand whether Richard Kahn was permanently barred from NYSE Arca membership. Please add more details.
2. For HBRK Associates Inc, please have secretary certify Schedule G of Form 1120 showing ownership of the company
3. For NY Strategy Group LLC, please provide us with the following:
 - i. evidence of powers
 - ii. ownership
 - iii. signing authority (resolved: J. Franklin)
4. Is Mr. Indyke an accountant (per KYC) as well as a lawyer? Please correct KYC if he is not an accountant and please run Martindale-Hubbell.

To view the KYC click here <https://na4.salesforce.com/5006000000TLJGX>