NAME SEARCHED: █████████████

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches
on your subject name(s) in the required databases and have attached the search results under the correct heading below.
Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search: Result:
RDC
PCR
Yes
BIS
No Hit
Hit
No Hit
Hit
Not Required
Not Required
No
Not Required
D&B
Smartlinx
Court Cases
Results?
Yes
Not Required
Results?
be Required
Yes
Not Required
Review by Legal May
No Results
Search not required
Prepared by: Urvashi Lavania Date:12/06/2013
Research Analyst
Instructions:
1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience:Martindale-Hubbellhttp://www.martindale.com/-xp/Martindale/home.xml
3. As needed, provide comment for any negative results.
4. If applicable, please obtain clearance from Compliance for all alerts.
5. Save any changes you make to this document and attach file to your KYC. Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.
No
VII. Smartlinx
No Information found

VIII. Court Cases
No
Click here for results:
I. RDC Results
II. PCR Results
III. Negative Media
Reviewer Comments (as necessary):
No RDC alert (Please see attached)
No PCR alert (Please see attached)
No Information found
IV. Non-Negative Media No Information found
V. Other Language Media Not Required
VI. D&B
Not Required
Result Found(please see attached)
For internal use only

OFAC RESULTS
RDC:
███████ No Match Found ██████ ███████████████ Country:United States
Date of Birth: ███████████
PCR:
███████████████ / █████████████████ ████████████ NCA customised Auto-Closed No-Hit
06/12/2013
BIS RESULTS
Negative Media:
No Information Found
Non-Negative Media:
No Information Found
Other Language Media:
Not Required
Public Records:
1 OF 1 RECORD(S)
FOR INFORMATIONAL PURPOSES ONLY
Copyright 2013 LexisNexis
Full Name

████████████████

Address

County

██████████████████████
█
███████████████

ADDITIONAL PERSONAL INFORMATION
SSN
DOB
████████████████
Subject Summary
Name Variations
For internal use only

███████████

Phone
NEW YORK None Listed
Gender
Female
LexID(sm)

████████████

1: ███████████████
████████████████

SSNs Summary
No. SSN
1:
████████████

DOBs
Reported DOBs:
███████

Possible E-Mail Addresses
██████████████████

Address Summary - 10 records found
No. Address
1:
████████████████
█████████████████
████████████

2:
3:
4:
5:
6:
7:
8:
9:

█████████████
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██████████████

Address Details
1: ███████████████████████████████
For internal use only
State Iss.
█████████

Date Iss.
Warnings
Most frequent SSN attributed to subject:
█████████

Address

██████████████████
████████████████████
█████████████

Census Data for Geographical Region
Median Head of Household Age: 36
Median Income: $156,291
Median Home Value: $753,989
Median Education: 16 years
Household Members
None Listed
Other Associates
None Listed
2: ████████████████████████████████████
Address

████████████
█████████████████████
█████████████

Census Data for Geographical Region
Median Head of Household Age: 39
Median Income: $126,728
Median Home Value: $329,229
Median Education: 16 years
Household Members
████████████
██████████████
█████████████
███████
█████████████████
Other Associates
███████████████████
3: ████████████████████████████████████
Address
██████████████████
███████████████████
█████████████

Census Data for Geographical Region
Median Head of Household Age: 36
Median Income: $156,291
Median Home Value: $753,989
Median Education: 16 years
Household Members
None Listed
Other Associates
███████████████
4: ██████████████████████████████████████
Address
█████████████████
███████████████████
█████████████

Census Data for Geographical Region
Median Head of Household Age: 32
Median Income: $93,351
Median Home Value: $940,789
Median Education: 16 years
Household Members
None Listed
Other Associates
For internal use only
Dates
8/2011 - 8/2011
Phone
Dates
10/2009 -
1/2012
Phone
Dates
9/2001 -
10/2013
Phone
█████████████
Dates
10/2009 -
10/2013
Phone

5: ██████████████████████████████████████████

Address

Census Data for Geographical Region
Median Head of Household Age: 54
Median Income: $47,576
Median Home Value: $617,089
Median Education: 14 years
Household Members
None Listed
Other Associates
None Listed

6: █████████████████████████████████

Address

Census Data for Geographical Region
Median Head of Household Age: 36
Median Income: $49,615
Median Home Value: $0
Median Education: 13 years
Household Members
None Listed
Other Associates
None Listed

7: ████████████████████████████

Address

Census Data for Geographical Region
Median Head of Household Age: 34
Median Income: $44,625
Median Home Value: $0
Median Education: 12 years
Household Members
None Listed
Other Associates
None Listed

8: ████████████████████████

Address

Census Data for Geographical Region
Median Head of Household Age: 46

Median Income: $101,809
Median Home Value: $557,143
Median Education: 16 years
Household Members
None Listed
Other Associates
None Listed
For internal use only
Dates
9/2005 -
10/2005
Phone
Dates
9/2005 -
10/2006
Phone
Dates
6/2004 -
12/2006
Phone
Dates
12/2008 -
11/2009
Phone
███████████

9: ██████████████████████████████
Address
Dates
████████████████
███████████████████
████████████

Census Data for Geographical Region
Median Head of Household Age: 37
Median Income: $92,857
Median Home Value: $408,173
Median Education: 15 years
Household Members
None Listed
Other Associates
None Listed
10: ██████████████████████████████
Address
████████████████
██████████████████
████████████

Census Data for Geographical Region
Median Head of Household Age: 36
Median Income: $156,291
Median Home Value: $753,989
Median Education: 16 years
Household Members
None Listed
Other Associates
None Listed
Driver Licenses - 8 records found
1: Florida Driver License
Driver Information
Name: ███████████████
Address: ████████████████████
██████████████████████
████████████████
Data source: Governmental: █
Personal Information
SSN: ███████████
DOB: ████████
Gender: Female
Height: █████''
License Information
License Type: ORIGINAL
License Class: Non-Commercial - Regular Operator License
Attention Flags: ORGAN DONOR
Issue Date: ██████████
Expiration Date: ██████████
Additional Driver Information
DOB: █████████

Gender: Female
Race: █████
History: Current
Height: █████''
2: █████ Driver License
For internal use only
Dates
11/2004 -
11/2004
Phone
1/2005 - 7/2005
Phone

Driver Information
Name: ███████████████
Address: ████████████
████████████████
█████████
Data source: Governmental: MN
Personal Information
SSN: ███████████
DOB: █████
Gender: Female
Height: █████''
Weight: ██████
License Information
License Type: REGULAR LICENSE
License Class: Valid for single unit vehicles designed to carry less than 15 passengers with a
GVW less than26000 lbs; vehicle combinations with a GVW less than 26000 lbs; farm trucks; fire trucks and emergency equipment or personal recreational
equipment.
Attention Flags: ORGAN DONOR
Issue Date: █████████
Expiration Date: ████████
Additional Driver Information
DOB: ██████
Gender: Female
History: Historical
Height: █████''
Weight: ██████.
3: █████████ Driver License
Driver Information
Name: ████████████████
Address: █████████████
█████████████████
█████████
Data source: Governmental: ██
Personal Information
SSN: ███████████
DOB: ██████
Gender: Female
Height: █████''
Weight: ██████.
License Information
License Type: REGULAR LICENSE
License Class: CONAX record.This could mean a conviction only, no driver license.
Attention Flags: ORGAN DONOR
Issue Date: █████████
Expiration Date: ██████
Additional Driver Information

DOB: ███████
Gender: Female
History: Historical
Height: █████ ''
Weight: ████████ .
4: ████████ Driver License
Driver Information
Name: ████████████████████
Address: █████████████████
████████████████████████
███████████████

For internal use only

Page 7
Data source: Governmental: █
Personal Information
SSN: ██████████
DOB: ████████
Gender: Female
Height: ████ ''
Weight: ██████ .
License Information
License Type: REGULAR LICENSE
License Class: CONAX record.This could mean a conviction only, no driver
license.
Attention Flags: ORGAN DONOR
Issue Date: ████████████
Expiration Date: ██████████
Additional Driver Information
DOB: ████████
Gender: Female
History: Historical
Height: ████ ''
Weight: ██████ .
5: ████████ Driver License
Driver Information
Name: ████████████████
Address: ████████████████
████████████████████████
██████████████
Data source: Governmental: █
Personal Information
SSN: ██████████
DOB: ██████
Gender: Female
Height: ████ ''
Weight: ██████ .
License Information
License Type: REGULAR LICENSE
License Class: CONAX record.This could mean a conviction only, no driver
license.
Attention Flags: ORGAN DONOR
Issue Date: ████████████
Expiration Date: ██████████
Additional Driver Information
DOB: ██████
Gender: Female
History: Historical
Height: ████ ''
Weight: ██████ .
6: ██████ Driver License
Driver Information
Name: ██████████████
Address: ████████████

Data source: Governmental: █
Personal Information
SSN: █████
DOB: ███
Gender: Female
Height: ███''
Weight: ████.
License Information
For internal use only

License Type: DUPLICATE PROVISIONAL LICENSE
License Class: Valid for single unit vehicles designed to carry less than 15 passengers with a
GVW less than26000 lbs; vehicle combinations with a GVW less than 26000 lbs; farm trucks; fire trucks and emergency equipment or personal recreational
equipment.
Attention Flags: ORGAN DONOR
Issue Date: ███████████
Expiration Date: ████████
Additional Driver Information
DOB: ██████
Gender: Female
History: Historical
Height: ████''
Weight: ██████.
7: ████████ Driver License
Driver Information
Name: ████████████
Address: ████████

████████████████

██████████
Data source: Governmental: ██
Personal Information
SSN: ████████
DOB: ██████
Gender: Female
Height: ██████
Weight: ██████.
License Information
License Type: PROVISIONAL LICENSE (MINOR)
License Class: Valid for single unit vehicles designed to carry less than 15 passengers with a
GVW less than26000 lbs; vehicle combinations with a GVW less than 26000 lbs; farm trucks; fire trucks and emergency equipment or personal recreational
equipment.
Attention Flags: ORGAN DONOR
Issue Date: █████████
Expiration Date: ████████
Additional Driver Information
DOB: ██████
Gender: Female
History: Historical
Height: ████''
Weight: ██████.
8: ████████ Driver License
Driver Information
Name: ██████████████
Address: ██████████

Data source: Non-Governmental: █

Personal Information

SSN: █████████

DOB: ██████

License Information

License Type: NO CLASS - USED FOR PERMITS

License Class: NO CLASS - USED FOR PERMITS

Additional Driver Information

DOB: ██████

For internal use only

Potential Relatives - 6 records found
1st Degree: 5, 2nd Degree: 1

No.
Full Name
1.
███████████████████████
█████████████████████
███████
██████████████████████
█████████████████

SSN: ███████████
DOB: ███████
(Age: ████ )
Address/Phone

███████████          ████████████████
███████████          ██████████
███████████          ████████████
███████████          █████████████
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███████████          ████████████
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███████████
███████████          █████████

1.A.
███████████

Deceased
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███████████          ██████████████
███████████          ████████████
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2.
██████████████          ████████████
███████████          ████████████
███████████          ████████████
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DOB: ██████████

(Age: █

3.

SSN:
DOB:
(Age:

For internal use only

No.
4.
Full Name
███████████████████████
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Address/Phone
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5.
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Person Associates - 3 records found
No. Full Name
Address
1: ██████████████████
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2: ██
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3:

For
internal use only

Page 11
No. Full Name
Address

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Neighbors - 10 records found

███████████████████████████████████████████

Name

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Phone

██████████████████

SSN
Phone
DOB

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███████████
█████████████████

EFTA01403835

Cellular & Alternate Phones - 4 records found
1:

Personal Information
Name: ██████████████
Address: ████████████████████████████
████████████████████████
Phone Number: ████████████████
Phone Type: Mobile
Carrier Information
Carrier: SPRINT SPECTRUM LP
Carrier City: ████████████
Carrier State: ███
2:
Personal Information
Name: ██████████████████
Address: ████████████████████
████████████████████
Phone Number: ████████████████
Phone Type: Mobile
Carrier Information
Carrier: SPRINT SPECTRUM LP
Carrier City: ████████████
Carrier State: ███
3:
Personal Information
Name: ██████████████████
Address: ██████████████████████
████████████████████
Phone Number: ████████████████
Phone Type: Mobile
Carrier Information
Carrier: NEW CINGULAR WRL IL
Carrier City: ██████████████
Carrier State: ███
4:
Personal Information
Name: ██████████████
Address: ████████████████████
████████████████████████
Phone Number: ████████████████
Phone Type:
Carrier Information
Carrier: QWEST CORPORATION
Carrier City: ████████████
Carrier State: ███
Sources - 44 records found
All Sources
Driver Licenses
Email addresses
Historical Person Locator
Person Locator 1
Person Locator 5

Person Locator 6
PhonesPlus Records
Utility Locator
44 Source Document(s)
4 Source Document(s)
1 Source Document(s)
9 Source Document(s)
9 Source Document(s)
9 Source Document(s)
4 Source Document(s)
4 Source Document(s)
4 Source Document(s)
Important: The Public Records and commercially available data sources used
on reports have errors. Data is sometimes
entered poorly, processed incorrectly and is generally not free from defect.
This system should not be relied upon as
definitively accurate. Before relying on any data this system supplies, it
should be independently verified. For Secretary
of State documents, the following data is for information purposes only and
is not an official record. Certified copies may
be obtained from that individual state's Department of State.
Your DPPA Permissible Use is: Debt Recovery/Fraud
For internal use only

Page 13
D&B:
Not Required
LEGAL RESULTS:
Court Cases:
No Information Found
For internal use only