

Subject: Re: CLEARED: PCR ALERT - [REDACTED] [I]
From: Amanda Kirby <[REDACTED]>
Date: Fri, 28 Nov 2014 13:27:05 -0500
To: PWMUS AMLKYC <[REDACTED]>
Cc: monifa.crawford@db.com,
PWMUS AMLKYC <[REDACTED]>

Classification: For internal use only

Thank you

Best,
Amanda Kirby

Amanda Kirby

Deutsche Bank Trust Company Americas
Deutsche Asset & Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA
Tel. [REDACTED]
Fax + [REDACTED]
Email [REDACTED]

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{Inactive hide details for PWMUS AMLKYC---11/28/2014 01:24:50 PM---
Classification: For internal use only Hi Amanda: AML Complian}PWMUS
AMLKYC---11/28/2014 01:24:50 PM---Classification: For internal use only Hi
Amanda: AML Compliance has reviewed the attached PCR alerts

From:

PWMUS AMLKYC/db/dbcom

To:

Amanda Kirby/db/dbcom@DBAMERICAS,

Cc:

PWMUS AMLKYC/db/dbcom@DBAmericas

Date:

11/28/2014 01:24 PM

Subject:

CLEARED: PCR ALERT - [REDACTED] [I]

Classification: For internal use only

Hi Amanda:

AML Compliance has reviewed the attached PCR alerts for [REDACTED]. The alerts are not a match as the first alert refers to an individual born in 1969 or 1970. Per the business, the client was born in [REDACTED]. The second alert pertains to an individual who is married to a Russian PEP named Oleg Vladimirovich. Per the attached; the prospective client has no relations with a Russian diplomat. Based on this information, AML Compliance clears this alert from an AML perspective.

Kind Regards,
MC

Monifa Crawford, JD
Associate | AML Compliance Officer

Deutsche Bank Securities Inc.
Asset Wealth Management - AML Compliance
5022 Gate Parkway, Suite 400.
Jacksonville, Florida 32256. USA
Tel. + [REDACTED]
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Visit us: <http://www.db.com>

----- Forwarded by Monifa Crawford/db/dbcom on 11/28/2014 01:19 PM -----

EFTA01403848

From:

Amanda Kirby/db/dbcom

To:

PWMUS AMLKYC/db/dbcom@DBAmericas,

Date:

11/28/2014 12:09 PM

Subject:

Fw: PCR ALERT - [REDACTED] [I]

Classification: For internal use only

HI,

The first alert pertains to a woman born in either 1969 or 1970 and our client was born in [REDACTED]. The second alert pertains to a woman with the same name who is married to a Russian ambassador. Per the attached email exchange our client has no relation (Richard Kahn is the manager of this account and sole authorized signer.) Please clear the alerts. Thank you

[attachment "PCR Response from RIch 11.28.2014.pdf" deleted by Amanda Kirby/db/dbcom]

Best,
Amanda Kirby

Amanda Kirby

Deutsche Bank Trust Company Americas
Deutsche Asset & Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA
Tel. + [REDACTED]
Fax +1 [REDACTED]
Email [REDACTED]

EFTA01403849

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----- Forwarded by Amanda Kirby/db/dbcom on 11/28/2014 12:06 PM -----

From:

PWM BIS-Research/db/dbcom@DBAPAC

To:

Cc:

PWMUS AMLKYC/db/dbcom@DBAmericas

Date:

11/19/2014 09:38 AM

Subject:

PCR ALERT - [REDACTED] [I]

Classification: For internal use only

Hello

Attached is an alert for the party mentioned above. Please note that clearance from compliance is required prior to KYC approval.

Please follow the instructions below:

Forward explanation of whether the alert pertains to your client directly to compliance.

Receive confirmation from compliance that alert can be removed from the queue.

Include copies of all responses along with your KYC.

[attachment "[REDACTED] PCR Alert 1.pdf" deleted by Amanda Kirby/db/dbcom] [attachment "[REDACTED] PCR Alert 2.pdf" deleted by Amanda Kirby/db/dbcom] [attachment "[REDACTED] PCR Alert.pdf" deleted by Amanda Kirby/db/dbcom]

Thanks
Ahsan

EFTA01403850

