

Subject: CLEARED: [REDACTED] rdc alert [I]
From: PWMUS AMLKYC <[REDACTED]>
Date: Fri, 06 Feb 2015 16:08:43 -0500
To: Amanda Kirby <[REDACTED]>
Cc: PWMUS AMLKYC <[REDACTED]>

Classification: For internal use only

Hi Amanda:

AML Compliance has reviewed the attached alerts for [REDACTED]. The alerts are a positive match to [REDACTED]. The first alert refers to a civil lawsuit, to which [REDACTED] was [REDACTED]. The second alert refers a [REDACTED] in which [REDACTED] alleged that Mr. Epstein sexually abused underage girls [REDACTED]. Mr. Epstein plead guilt to soliciting prostitution and served a year in jail.

The attached clearance and senior management approval issued by AML Compliance on 12/4/2013 is applicable as clearance for the alerts. The new alerts came about as there is an update to KYC [REDACTED]. AML Compliance also confirmed that the relationship is still marked as High Risk, and [REDACTED] is one of several [REDACTED] where Mr. Epstein is the Grantor of [REDACTED] account. As such, the attached clearance is still applicable for both alerts.

Kind Regards,
MC

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Monifa Crawford, JD
Associate | AML Compliance Officer

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----- Forwarded by Monifa Crawford/db/dbcom on 02/06/2015 03:23 PM -----

From: Amanda Kirby/db/dbcom@DBCOEX

To: PWMUS AMLKYC/db/dbcom@DBAmericas,

Date: 02/06/2015 12:59 PM

Subject: RE: FW: [REDACTED] rdc alert [I]

Classification: For internal use only
Hi,

Yes. This is part of the [REDACTED] [REDACTED] Both
alerts pertain to the same case. It was resolved and [REDACTED]

From: Monifa Crawford On Behalf Of PWMUS AMLKYC
Sent: Friday, February 06, 2015 12:55 PM
To: Amanda Kirby
Cc: PWMUS AMLKYC
Subject: RE: FW: [REDACTED] rdc alert [I]

Classification: For internal use only

Thanks.

Do you have any information on the second alert from the client? It does not appear that this was prior addressed in previous clearances. Has the [REDACTED] been resolved?

MC

(Embedded image moved to file: pic03573.gif)Inactive hide details for Amanda Kirby---02/06/2015 12:48:35 PM---Classification: For internal use only Yes. This is still corrAmanda Kirby---02/06/2015 12:48:35 PM---Classification: For internal use only Yes. This is still correct. [REDACTED] and not opening he

(Embedded image moved to file: pic05553.jpg) (Embedded image moved to file: pic14919.jpg)
to file: pic05553.jpg) cid:image004.png@01D0420C.C47C8500
cid:image003.png@01D042 Amanda Kirby/db/dbcom@DBCOEX
0C.C47C8500
From:

(Embedded image moved to file: pic05975.jpg) (Embedded image moved to file: pic25886.jpg)
to file: pic05975.jpg) cid:image004.png@01D0420C.C47C8500
cid:image003.png@01D042 PWMUS AMLKYC/db/dbcom@DBAmericas,
0C.C47C8500
To:

(Embedded image moved to file: pic15507.jpg) (Embedded image moved to file: pic25805.jpg)
cid:image003.png@01D0420C.C47C8500 cid:image004.png@01D0420C.C47C8500
02/06/2015 12:48 PM
0C.C47C8500

Date:

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cid:image003.png@01D0420C.C47C8500 cid:image004.png@01D0420C.C47C8500
RE: FW: [REDACTED] rdc alert [I]
0C.C47C8500

Subject:

Classification: For internal use only

Yes. This is still correct. [REDACTED] and not opening her own account.

From: Monifa Crawford On Behalf Of PWMUS AMLKYC
Sent: Friday, February 06, 2015 12:45 PM
To: Amanda Kirby
Cc: PWMUS AMLKYC
Subject: Re: FW: [REDACTED] rdc alert [I]

Classification: For internal use only

Hi Amanda:

The last time the alert was reviewed, [REDACTED] was named as [REDACTED] where Mr. Epstein was the Grantor of [REDACTED] [REDACTED] was not opening an account and has no authority on the [REDACTED] account.

Please advise if this is still her current status on the accounts with the Private Bank.

Kind Regards,
MC

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(Embedded image moved to file: pic23424.gif)Inactive hide details for Amanda Kirby---02/06/2015 09:56:42 AM---Classification: For internal use only These alerts are from 2Amanda Kirby---02/06/2015 09:56:42 AM---Classification: For internal use only These alerts are from [REDACTED] I requested research after October 2013 as the below had alre

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cid:image005.png@01D0420B.30F6D2A0 cid:image006.png@01D0420B.30F6D2A0
Amanda Kirby/db/dbcom@DBCOEX
From:

(Embedded image moved to file: pic11307.jpg) (Embedded image moved to file: pic25783.jpg)
cid:image005.png@01D0420B.30F6D2A0 cid:image006.png@01D0420B.30F6D2A0
PWMUS AMLKYC/db/dbcom@DBAmericas,
To:

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cid:image005.png@01D0420B.30F6D2A0 cid:image006.png@01D0420B.30F6D2A0
02/06/2015 09:56 AM
Date:

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cid:image005.png@01D0420B.30F6D2A0 cid:image006.png@01D0420B.30F6D2A0
FW: [REDACTED] rdc alert [I]
Subject:

Classification: For internal use only
These alerts are from [REDACTED] I requested research after October 2013 as the below had already been cleared in the last KYC. Please clear again. Attached is the prior clearance.

From: Urvashi Lavania On Behalf Of PWM BIS-Research
Sent: Friday, February 06, 2015 9:52 AM
To: Amanda Kirby
Cc: PWMUS AMLKYC
Subject: [REDACTED] rdc alert [I]

Classification: For internal use only

Hello

Attached is an alert for the party mentioned above. Please note that clearance from compliance is required prior to KYC approval.

Please follow the instructions below:

Forward explanation of whether the alert pertains to your client directly to compliance.

Receive confirmation from compliance that alert can be removed from the queue. Include copies of all responses along with your KYC.

(See attached file: [REDACTED] rdc alert.pdf) (See attached file: [REDACTED] rdc alert_1.pdf) (See attached file: [REDACTED] rdc alert_2.pdf)

Regards

Urvashi [attachment "[REDACTED] rdc alert.pdf" deleted by Monifa Crawford/db/dbcom] [attachment "[REDACTED] rdc alert_1.pdf" deleted by Monifa Crawford/db/dbcom] [attachment "[REDACTED] rdc alert_2.pdf" deleted by Monifa Crawford/db/dbcom] [attachment "[REDACTED] RDC Clearance.pdf" deleted by Monifa Crawford/db/dbcom]