

Subject: Re: Fw: Your KYC has been rejected
From: Janice Franklin <[REDACTED]>
Date: Fri, 13 Dec 2013 12:34:00 -0500
To: Amanda Kirby <[REDACTED]>

Classification: Public

I'm looking into this and will get back to you shortly.

Regards,
Janice P. Franklin

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Janice Franklin, CAMS, CFSA, CFIRS
Vice President | AML Compliance Officer

Deutsche Bank Trust Company Americas
Compliance
60 Wall Street, 10005-2836 New York, NY, USA
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

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| From: |
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|Amanda Kirby/db/-
dbcom |

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| To: |
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|Amanda Kirby/db/-
dbcom@DBAMERICAS, |

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| Cc: |
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| Andrea Klingenberg/db/dbcom@DBAMERICAS, Janice Franklin/db/-
dbcom@DBAMERICAS, Paul Morris/db/-
dbcom@DBAMERICAS |

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| Re: Fw: Your KYC has been
rejected

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Classification: Public

Apologies. Research attached here

[attachment "[REDACTED] Research Report.pdf" deleted by Janice
Franklin/db/dbcom]

Best,
Amanda Kirby

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Amanda Kirby
Associate

Deutsche Bank Trust Company Americas
345 Park Avenue, 27th Fl
New York, NY, USA, 10154-0004
Tel. [REDACTED]
Fax + [REDACTED]
Email [REDACTED]

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| From: |
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Amanda Kirby/db/-
dbcom |

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| To: |
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Janice Franklin/db/dbcom@DBAmericas, Andrea Klingenberg/db/-
dbcom@DBAMERICAS,

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| Cc: |
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|Paul Morris/db/-
dbcom@DBAMERICAS
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|12/13/2013 09:30
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|Subject: |
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|Fw: Your KYC has been
rejected
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Classification: Public

Good Morning,

I just saw that Jacqueline is out of the office today. Can you please help with this KYC issue? Jacqueline rejected one asking for AML clearance for negative information regarding our client but there is no negative information. Not on the research report nor when I Google her name. Below is her research report and the KYC # is [REDACTED]. This is my last day before I leave for my MTA so would like to get this resolved this morning so I can open the account this afternoon. Very much appreciate your help

Best,
Amanda Kirby

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Amanda Kirby
Associate

Deutsche Bank Trust Company Americas
345 Park Avenue, 27th Fl
New York, NY, USA, 10154-0004
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Fax + [REDACTED]
Email [REDACTED]

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----- Forwarded by Amanda Kirby/db/dbcom on 12/13/2013 09:27 AM -----

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| From: |
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|Amanda Kirby/db/-
dbcom |

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| To: |
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|Jacqueline Lightbody/db/-
dbcom@DBAMERICAS, |

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| Cc: |
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|Paul Morris/db/-
dbcom@DBAMERICAS |

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|12/13/2013 09:22
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|Fw: Your KYC has been
rejected |

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Classification: Public

Hi Jacqueline,

There wasn't any negative information that came back on [REDACTED] in relation to Jeffrey Epstein. There wasn't any negative information that came back at all. This is my last day before I leave for my MTA so would like to get this resolved this morning so I can open the account this afternoon.

Thank you

Best,
Amanda Kirby

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Amanda Kirby
Associate

Deutsche Bank Trust Company Americas
345 Park Avenue, 27th Fl
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Email [REDACTED]

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----- Forwarded by Amanda Kirby/db/dbcom on 12/13/2013 09:21 AM -----

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| From: |
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|Jacqueline Lightbody
[REDACTED] |

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| To: |
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|Amanda Kirby/db/-
dbcom@DBAMERICAS, |

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| Date: |
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|12/12/2013 07:59
PM |

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| Your KYC has been
rejected

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Your KYC has been rejected.

Case #: [REDACTED]
Relationship: SOUTHERN FINANCIAL RELATIONSHIP
Customer Name: [REDACTED]
Customer Number: [REDACTED]
Reason for Rejection:1. Has there been a client meeting with Karyna?
RESOLVED
2. Please confirm [REDACTED] occupation and position at [REDACTED]
RESOLVED
3. [REDACTED] and Karyna's SOW descriptions are not sufficient,
please
provide more information. Is Karyna's SOW the same as [REDACTED]? Is she a
dependent? Please specify.
RESOLVED

Additional Rejection:

Please attached AML Clearance for negative information related to [REDACTED]
connection to the Jeffrey Epstein criminal matter

To view the KYC click here <https://na4.salesforce.com/5006000000VDvNf>