

Subject: Re: ACATS for Southern Financial/Trust [I]  
From: Jay Lipman <[REDACTED]>  
Date: Mon, 09 Sep 2013 11:46:26 -0400  
To: Amanda Kirby <[REDACTED]>  
Cc: Tazia Smith <[REDACTED]>, Vahe Stepanian <[REDACTED]>

Classification: For internal use only

Hi Amanda,

I started putting together the ACAT's on Friday, and can get them to you by the end of the day. I will get you the brokerage docs for Jeepers too. Thanks

Kind Regards,

Jay Lipman

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From: Amanda Kirby/db/dbcom

To: Jay Lipman/db/dbcom@DBAMERICAS,

Cc: Tazia Smith, Vahe Stepanian/db/dbcom@DBAmericas

Date: 09/09/2013 10:59 AM

Subject: ACATS for Southern Financial/Trust [I]

Classification: For internal use only

Hi Jay,

Wanted to touch base in regards to the ACATS for Southern Trust and Southern Financial. I know you were working on them on Friday. Would like to send them to the client today, if possible.

Also, Jeepers Inc was KYC approved on our end. I am going to work on getting those account docs prepared and send them over today. Would you mind sending me Brokerage accounts for them?

Thank you

Best,  
Amanda Kirby

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