

Subject: NES LLC [I]
From: Amanda Kirby <[REDACTED]>
Date: Fri, 01 Nov 2013 17:49:08 -0400
To: Jacqueline Lightbody <[REDACTED]>

Classification: For internal use only

Hi Jacqueline,

Thank you so much for all of your help today. I really appreciate you and Janice pushing that KYC and helping to get the account opened.

I wanted to follow up on a voicemail I left yesterday regarding NES. What would be an acceptable piece of evidence for ownership? Please let me know so that I can instruct the client. They'd like to get this over to us asap.

Thank you and have a great weekend

Best,
Amanda Kirby

Amanda Kirby
Associate

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From: Jacqueline Lightbody <[REDACTED]> To: Amanda Kirby/db/dbcom@DBAMERICAS, Date: 10/31/2013 11:19 AM Subject: Your KYC has been rejected

Your KYC has been rejected.

Case #: 01141322

Relationship: SOUTHERN FINANCIAL RELATIONSHIP

Customer Name: NES, LLC

Customer Number: 00000487265

Reason for Rejection:1. Unable to locate evidence of ownership that Jeffrey is the ubo/sole member or NES, LLC. Please provide. (The letter is not acceptable)

2. Please re-do seaches for NES. LLC with the US instead of US Virgin Islands. (Resolved - J. Lightbody

To view the KYC click here <https://na4.salesforce.com/5006000000UF18Y>