

Subject: Re: KYC Question --  
From: Janice Franklin <[REDACTED]>  
Date: Fri, 25 Oct 2013 10:21:08 -0400  
To: Amanda Kirby <[REDACTED]>

Classification: Public

It does not have to be notarized.

Regards,  
Janice P. Franklin

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Janice Franklin, CAMS, CFSA, CFIRS  
Vice President | AML Compliance Officer

Deutsche Bank Trust Company Americas  
Compliance  
60 Wall Street, 10005-2836 New York, NY, USA  
Tel. [REDACTED]  
Fax [REDACTED]  
Email [REDACTED]

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From: |  
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| Amanda Kirby/db/dbcom  
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To: |  
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| Janice Franklin/db/dbcom@DBAmericas,  
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Date: |  
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| 10/25/2013 10:12 AM  
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| KYC Question --  
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Classification: Public

Hi Janice,

For the below rejection point does the secretary just need to sign Schedule G?  
Should the signature be notarized? Please let me know ASAP as the client wants  
to give me everything today

2. For HBRK Associates Inc, please have secretary certify Schedule G of Form 1120 showing ownership of the company

Best,  
Amanda Kirby

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Amanda Kirby  
Associate

Deutsche Bank Trust Company Americas  
345 Park Avenue, 27th Fl  
New York, NY, USA, 10154-0004  
Tel. [REDACTED]  
Fax [REDACTED]  
Email [REDACTED]

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----- Forwarded by Amanda Kirby/db/dbcom on 10/25/2013 10:10 AM -----

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> From: |<br/>>----->  
>----->  
> |Janice Franklin <[REDACTED]> |<br/>>----->  
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> |To: |<br/>>----->  
>----->  
> |Amanda Kirby/db/dbcom@DBAMERICAS, |<br/>>----->  
>----->  
> |Date: |<br/>>----->  
>----->  
> |10/15/2013 03:56 PM |<br/>>----->  
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> |Your KYC has been rejected |<br/>>----->  
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Your KYC has been rejected.

Case #: 01133377  
Relationship: EPSTEIN, JEFFREY RELATIONSHIP  
Customer Name: Indyke, Darren  
Customer Number: 00000486405  
Reason for Rejection: Please address the following:

For The 2007 Jeffrey E. Epstein Insurance Trust #3:

1. Please complete Wealth Profile
2. Re-run searches with correct country. Should be US Virgin Islands, not United States. (Resolved - J. Lightbody)

For HBRK Associates, Inc. (both accounts)

1. Please check the Signatory or Full POA box for the signers. (Resolved - J. Lightbody)

For Darren K. Indyke PLLC:

1. Please check the Signatory or Full POA box for the signers. (Resolved - J. Lightbody)

2. For the Attorney Trust Account. Is this a IOLA account, and if so, please explain purpose of the checking. Currently it's noted that it will be "for the daily use of the company's needs". If this is a clients esrow account this purpose would not apply. (Resolved - J. Lightbody)

For New York Strategy Group, LLC (both account);

1. Please check the Signatory or Full POA box for the signers. (Resolved - J. Lightbody)

Additional questions/comments:

1. I did not clearly understand whether Richard Kahn was permanently barred from NYSE Arca membership. Please add more details.

2. For HBRK Associates Inc, please have secretary certify Schedule G of Form 1120 showing ownership of the company

3. For NY Strategy Group LLC, please provide us with the following:

- i. evidence of powers
- ii. ownership

iii. signing authority (resolved: J. Franklin)

4. Is Mr. Indyke an accountant (per KYC) as well as a lawyer? Please correct KYC if he is not an accountant and please run Martindale-Hubbell.

To view the KYC click here <https://na4.salesforce.com/5006000000T1JGX>