

Subject: Re: DB Force Case# 01146170: Darren K. Indyke PLLC [I]
From: Amanda Kirby <[REDACTED]>
Date: Fri, 01 Nov 2013 12:55:11 -0400
To: Pema Sherpa <[REDACTED]>
Cc: Dhruv Yadav <[REDACTED]>, Margarita Perez <[REDACTED]>, PWM AOG <[REDACTED]>

Classification: For internal use only

Pema,

You are correct. I am unsure why there are 2 separate W-9's since they are both the same company. The W-9 you just sent is correct

Best,
Amanda Kirby

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Amanda Kirby
Associate

Deutsche Bank Trust Company Americas
345 Park Avenue, 27th Fl
New York, NY, USA, 10154-0004

[REDACTED]
[REDACTED]
Email [REDACTED]

(Embedded image moved to file: pic30372.gif)

From: Pema Sherpa/[REDACTED]
To: Amanda Kirby/[REDACTED],
Cc: Dhruv Yadav/[REDACTED], PWM AOG/[REDACTED], Margarita Perez/[REDACTED]
Date: 11/01/2013 12:52 PM
Subject: Re: DB Force Case# 01146170: Darren K. Indyke PLLC [I]

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Hi Amanda,

Is it a sole proprietor then? I looked into the KYC to get more details on the LLC and found a W-9 (attached) which has LLC checked off and refers to Schedule C which is filed out by a Sole Proprietor,

[attachment "Darren K. Indyke PLLC W-9.pdf" deleted by Amanda Kirby/[REDACTED]]

Kind regards,
Pema Sherpa

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Pema Sherpa

Deutsche Bank Trust Company Americas
60 Wall Street, New York, NY 10005-2836

[REDACTED]

[REDACTED]

[REDACTED]

Email [REDACTED]

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From: Amanda Kirby/[REDACTED]

To: PWM AOG/[REDACTED],

Cc: Dhruv Yadav/[REDACTED], Pema Sherpa/[REDACTED], PWM AOG/[REDACTED]
MERICAS

Date: 11/01/2013 10:59 AM

Subject: Re: DB Force Case# 01146170: Darren K. Indyke PLLC [I]

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HI,

Here are the updated docs. For the W-9 it checked Other because it is a "PLLC" (Professional LLC) does not file under an LLC C,S or P.

[attachment "0609_001.pdf" deleted by Pema Sherpa/[REDACTED]]

Best,
Amanda Kirby

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Amanda Kirby
Associate

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345 Park Avenue, 27th Fl
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[REDACTED]

[REDACTED]

Email [REDACTED]

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From: PWM AOG/[REDACTED]

To: Pema Sherpa/[REDACTED],
Cc: Amanda Kirby/[REDACTED], PWM AOG/[REDACTED]
Date: 11/01/2013 10:47 AM
Subject: Re: DB Force Case# 01146170: Darren K. Indyke PLLC [I]

Classification: For internal use only

Hi Pema,

FYI

Thanks,
Dhruv Yadav

PWM AOG
DBOI Global Services Private Limited
Email : [REDACTED]

From: PWM AOG/[REDACTED]
To: Amanda Kirby/[REDACTED],
Cc: PWM AOG/[REDACTED]
Date: 11/01/2013 10:42 AM
Subject: DB Force Case# 01146170: Darren K. Indyke PLLC [I]

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Hi Amanda,

While reviewing the account opening docs, we came across the below issues:

1. On Account Opening Application, both DB Private Wealth Online Plus and Link to Existing Online Relationship have been selected.

Please select the correct one only.

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2. This entity is Limited Liability Corporation, however on W-9, Federal Tax Classification is selected as Other: Atty Trust Account.

Please correct the same to LLC along with the Tax Classification (S,C or P)

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3. KYC is in pending status.

Please let us know, once it is approved.

Thanks,
Dhruv Yadav

PWM AOG
DBOI Global Services Private Limited
Email : ██████████