

Subject: RE: CONFIDENTIAL 314(b) Inquiry [C]  
From: Cherie Quigley <[REDACTED]>  
Date: Thu, 13 Aug 2015 13:03:36 -0400  
To: Peggy McGarvey <[REDACTED]>  
Cc: Wayne Salit <[REDACTED]>

Classification: Confidential

Peggy

See below for answers to your request. KYC is attached.

Cherie

From: Peggy McGarvey  
Sent: Monday, August 10, 2015 9:16 AM  
To: Cherie Quigley  
Subject: CONFIDENTIAL 314(b) Inquiry [C]  
Importance: High

Classification: Confidential

THIS COMMUNICATION IS STRICTLY CONFIDENTIAL. DETAILS OF THIS EMAIL MUST NOT BE SHARED WITH THE CLIENT, OR ANYONE ELSE OUTSIDE OF DEUTSCHE BANK US UNDER ANY CIRCUMSTANCES. DETAILS OF THIS EMAIL MUST ONLY BE SHARED WITH COLLEAGUES WITHIN DBUS WITHIN THE CONTEXT OF PROVIDING A RESPONSE TO THIS REQUEST.

DO NOT, UNDER ANY CIRCUMSTANCES, RECIRCULATE THE ATTACHMENTS TO THIS EMAIL OUTSIDE OF DBUS AML COMPLIANCE.

EFTA01404694

Cherie,

We have received a 314(b) inquiry from Citibank related to PB Customer Jeffrey Epstein (Account# [REDACTED]) During the period of 11/1/2013 through 12/16/2014, there were seventeen (17) wires totaling \$379,315.06 sent to various Citi accounts, originating from Epstein's account # [REDACTED]. (see the attached for examples). Please provide respondent information at your earliest convenience to the following questions:

1. What type of account is [REDACTED] 6 and when was it opened? NOW Account opened 9/12/13

2. Who are the signers, their addresses and listed occupations on the account? Jeffrey Epstein; LITTLE SAINT JAMES SAINT THOMAS 00802, US VIRGIN ISLANDS; Epstein began his financial career in 1976 as an options trader at Bear Stearns and became a partner in 1980. In 1982, Epstein founded his own financial management firm, J. Epstein & Co., managing the assets of clients with more than a billion in net worth. In 1996, Epstein changed the name of his firm to The Financial Trust Company and based it on the island of St. Thomas in the US Virgin Islands.

3. What is the current status of the account? If closed, when was the account closed and by whom was it closed? Open

4. What was the reason for the account closure? NA

5. What was the purpose for the 17 wires?

1) Rosalyn Fontanilla - household maid for 22 years (money for expense reimbursement)

2) Mihai Serban - painting contractor

3) [REDACTED] - friend for 20 years - money for her daughter's dentist

4) [REDACTED] - friend - money to pay for school

5) 301/66 Owners Corp - Condo fees on different apartments

6) SLK Designs LLC – Interior decorating company, payments for services

6. What is Epstein's relationship to the Citi clients? See above

7. What were the sources of funds used to originate the 17 wires?  
Personal Wealth

8. Have there been any patterns of incoming/outgoing check activity on any account related to the Deutsche Bank client? No

9. Who or what have been the predominant beneficiaries of checks originating from the account, and who have been the predominant sources of checks funding the account? IRS, Insurance Office, donations to schools, credit card payments, gifts

10. Have there been any patterns of incoming/outgoing wire activity on any account related to the Deutsche Bank client? If so, what geographic locations are the funds received from/sent to and during what time period?  
NO

11. Who or what have been the predominant beneficiaries of wires originating from the account, and who have been the predominant sources of wired funds funding the account? Primary funding is clients related accounts; Primary beneficiaries are LSJE LLC, UBS Financial Services Account, FJ Turbine Power, various companies.

12. Is there any cash activity in the account? If so, is the activity consistent with the client's profile? Yes, Yes

13. Has this account been utilized to pay any credit cards belonging to third parties? No

14. Are there or has there been any AML, TF or related concerns regarding

the client and account? No

Please also provide the KYC for Jeffrey Epstein along with your response. Additionally, although the request specifies wires from 11/1/2013 to 12/16/2014, please review the account activity to determine if there has been similar activity in the account (or any other concerning activity) since 12/16/2014.

Kind regards,  
Peggy McGarvey

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Peggy McGarvey  
Vice President

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Risk/US AML Compliance

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Kind regards,  
Peggy McGarvey

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