

Subject: Re: Fw: Your KYC has been rejected

From: Janice Franklin <[REDACTED]>

Date: Fri, 13 Dec 2013 13:36:00 -0500

To: Amanda Kirby <[REDACTED]>

Classification: Public

Approved.

Regards,  
Janice P. Franklin

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Janice Franklin, CAMS, CFSA, CFIRS  
Vice President | AML Compliance Officer

Deutsche Bank Trust Company Americas  
Compliance  
60 Wall Street, 10005-2836 New York, NY, USA

[REDACTED]  
Email [REDACTED]

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|Janice Franklin/db/-  
dbcom

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|Amanda Kirby/db/-  
dbcom@DBAMERICAS,

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|Re: Fw: Your KYC has been  
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Classification: Public

I'm looking into this and will get back to you shortly.

Regards,  
Janice P. Franklin

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Janice Franklin, CAMS, CFSA, CFIRS  
Vice President | AML Compliance Officer

Deutsche Bank Trust Company Americas  
Compliance



Email



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| dbcom |

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| Amanda Kirby/db/-  
| dbcom@DBAMERICAS, |

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| Andrea Klingenberg/db/dbcom@DBAMERICAS, Janice Franklin/db/-  
| dbcom@DBAMERICAS, Paul Morris/db/-  
| dbcom@DBAMERICAS |

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|Re: Fw: Your KYC has been  
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Classification: Public

Apologies. Research attached here

[attachment  Research Report.pdf" deleted by Janice  
Franklin/db/dbcom]

Best,  
Amanda Kirby

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Amanda Kirby  
Associate

Deutsche Bank Trust Company Americas



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|Amanda Kirby/db/-  
dbcom

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|Janice Franklin/db/dbcom@DBAmericas, Andrea Klingenberg/db/-  
dbcom@DBAMERICAS,  
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| Cc: |  
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|Paul Morris/db/-  
dbcom@DBAMERICAS

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| Fw: Your KYC has been  
rejected

Classification: Public

Good Morning,

I just saw that Jacqueline is out of the office today. Can you please help with this KYC issue? Jacqueline rejected one asking for AML clearance for negative information regarding our client but there is no negative information. Not on the research report nor when I Google her name. Below is her research report and the KYC # is 01161376. This is my last day before I leave for my MTA so would like to get this resolved this morning so I can open the account this afternoon. Very much appreciate your help

Best,  
Amanda Kirby

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Amanda Kirby  
Associate

Deutsche Bank Trust Company Americas



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----- Forwarded by Amanda Kirby/db/dbcom on 12/13/2013 09:27 AM -----

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|Amanda Kirby/db/-  
dbcom

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|Jacqueline Lightbody/db/-  
dbcom@DBAMERICAS,

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|Paul Morris/db/-  
dbcom@DBAMERICAS

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|Fw: Your KYC has been  
rejected

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Classification: Public

Hi Jacqueline,

There wasn't any negative information that came back on Jennifer in relation to Jeffrey Epstein. There wasn't any negative information that came back at all. This is my last day before I leave for my MTA so would like to get this resolved this morning so I can open the account this afternoon.

Thank you

Best,  
Amanda Kirby

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Amanda Kirby  
Associate

Deutsche Bank Trust Company Americas



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----- Forwarded by Amanda Kirby/db/dbcom on 12/13/2013 09:21 AM -----

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|Jacqueline Lightbody  
<jacqueline.lightbody+external@db.com>

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|Amanda Kirby/db/-  
dbcom@DBAMERICAS,

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|Your KYC has been  
rejected

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Your KYC has been rejected.

Case #: 01161376  
Relationship: SOUTHERN FINANCIAL RELATIONSHIP  
Customer Name: [REDACTED]  
Customer Number: 00000489505  
Reason for Rejection:1. Has there been a client meeting with Karyna?  
RESOLVED  
2. Please confirm Jennifer's occupation and position at NES, LLC  
RESOLVED  
3. Jennifer and Karyna's SOW descriptions are not sufficient,

please

provide more information. Is Karyna's SOW the same as Jennifer? Is she a dependent? Please specify.

RESOLVED

Additional Rejection:

Please attached AML Clearance for negative information related to Jennifer's connection to the Jeffrey Epstein criminal matter

To view the KYC click here <https://na4.salesforce.com/5006000000VDvNf>