

Subject: Re: Updated KYC - Recent Press [C]
From: Paul Morris <[REDACTED]>
Date: Mon, 05 Jan 2015 11:03:56 -0500
To: Troy-D Williams <[REDACTED]>,
Amanda Kirby <[REDACTED]>
Cc: Roddy Moore <[REDACTED]>,
Nicholas Haigh <[REDACTED]>,
Daniel Sabba <[REDACTED]>

Classification: Confidential

Yes will do.

From: Troy-D Williams
Sent: 01/05/2015 03:07 PM GMT
To: Paul Morris; Amanda Kirby
Cc: Roddy Moore; Nicholas Haigh; Daniel Sabba
Subject: Updated KYC - Recent Press [C]

Classification: Confidential

Paul,

I am sure you have seen the recent press about Mr. Epstein. Can you please ensure an updated KYC is completed and that there are no new issues?

Thanks

Here is something from WSJ.com that might interest you:

Britain's Prince Andrew and U.S. lawyer Alan Dershowitz deny sex-scandal claim

<http://www.wsj.com/articles/financier-epsteins-sex-case-leads-to-new-claims-1420242564>

,

Troy D Williams
Credit Risk Management

Deutsche Asset & Wealth Management

Tel: [REDACTED]

From: Daniel Sabba

Sent: Monday, January 05, 2015 9:50 AM

To: Renee Maxey

Cc: [REDACTED]; [REDACTED]; Paul Morris; Vahe Stepanian; Amanda Kirby; Roddy Moore; Troy-D Williams; Mary Breslin; Kristine Pecunia; Lorna Barrow-Austin; Brendan Droge

Subject: Fw: Southern Financial LLC/Deutsche Bank AG - GMRA [Document Attached] [C]

Classification: Confidential

Renee - please liaise with Richard Kahn for communication regarding this matter. I have CCed Rich here.

Rich - please see below.

Best regards,
Daniel

Daniel Sabba
Key Client Partners
Deutsche Bank Securities Inc.
Tel. [REDACTED]

Mobile [REDACTED]

Email [REDACTED]

----- Forwarded by Daniel [REDACTED] on 01/05/2015 09:47 AM -----

From:

Renee [REDACTED]

To:

" [REDACTED] " < [REDACTED] >, " [REDACTED] "

<[REDACTED]>

Cc:

Roddy [REDACTED], Troy-D [REDACTED], Daniel [REDACTED] -
[REDACTED], Paul [REDACTED], Mary [REDACTED],
Kristine [REDACTED], Lorna [REDACTED],
Brendan [REDACTED]

Date:

12/31/2014 12:41 PM

Subject:

Southern Financial LLC/Deutsche Bank AG - GMRA [Document Attached] [C]

Classification: Confidential

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Dear Harry and Jeffrey,

Please find attached a GMRA between Deutsche Bank AG and Southern Financial LLC. Please let me (Renée Maxey - [REDACTED]) know if you have any comments or questions.

If there are no questions or comments to the GMRA, please:

(i) insert the required information in Annex I, sections 1(g), 1(o)(iii),

EFTA01404803

and 1(p)(ii) and confirm that no Counterparty assets are subject to ERISA. Please note, in Section 1(p)(ii), we require an "English" process agent, "English" affiliate, or "English" law firm (as the GMRA is governed by English law);

(ii) print out TWO (2) single-sided originals;

(iii) arrange for appropriate execution by an authorized signatory on the signature page, 20;

(iv) provide evidence of signing authority and specimen signature for the signatory of the GMRA , and

(v) return TWO (2) sets of executed GMRAs to the attention of Kristine Pecunia:

Repo & Securities Lending Legal Group

Deutsche Bank AG, New York

60 Wall Street, 36th Floor

New York, NY 10005

Deutsche Bank will then have the originals signed and will forward a fully executed original to you.

Best regards,
Renée

{<https://brandportal.intranet.db.com/img/modules/logo.gif>}

Renée J. Maxey
Assistant Vice President | Legal

DB Services New Jersey, Inc.

5022 Gate Parkway

Suite 400

Jacksonville, Florida 32256

United States of America

Tel. [REDACTED]

Mobile [REDACTED]

Email [REDACTED]

{<https://brandportal.intranet.db.com/img/modules/claim.gif>}

(See attached file: Southern Financial - GMRA (DB1 12.31.2014).doc)