

Subject: Re: Your KYC has been rejected [I]
From: Amanda Kirby <[REDACTED]>
Date: Mon, 07 Oct 2013 15:09:03 -0400
To: Jacqueline Lightbody <[REDACTED]>

Classification: For internal use only

Hi Jacqueline,

Just called to ask you a quick question. Why do we need the addresses for the beneficiaries of an irrevocable trust and not a revocable trust if there are no distributions? I want to make sure I fully understand the answer before I reach out to the client for the beneficiary addresses. I have no doubt he'll ask!

Thank you

Best,
Amanda Kirby

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Amanda Kirby
Associate

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New York, NY, USA, 10154-0004
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From: Jacqueline [REDACTED]
To: Amanda [REDACTED],
Date: 10/07/2013 01:37 PM
Subject: Re: Your KYC has been rejected [I]

Classification: For internal use only

Hi Amanda,

This only applies to revocable trust. Thanks.

Kind regards,

Jacqueline Lightbody

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Jacqueline Lightbody
Vice President | AML Compliance Officer

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From: Amanda [REDACTED]

To: Jacqueline [REDACTED],

Date: 10/07/2013 01:28 PM

Subject: Re: Your KYC has been rejected [I]

Classification: For internal use only

Hi Jacqueline,

I was told that since this trust is not currently giving out any distributions that we do not need to have names or addresses for the beneficiaries. Is this not the case?

Best,
Amanda Kirby

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Amanda Kirby
Associate

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From: Jacqueline Lightbody <[REDACTED]>
To: Amanda [REDACTED],
Date: 10/07/2013 01:18 PM
Subject: Your KYC has been rejected

Your KYC has been rejected.

Case #: 01133113
Relationship: EPSTEIN, JEFFREY RELATIONSHIP
Customer Name: Butterfly Trust
Customer Number: 00000486426
Reason for Rejection: 1. Since this is an Irrevocable Trust, following needs to be done for the beneficiaries:
i: Name and address
ii: RDC & PCR searches (excluding minors)
2. Please complete Wealth Profile for Butterfly Trust

To view the KYC click here <https://na4.salesforce.com/5006000000T1EyC>