

Subject: Re: (Discrepancy) Case# 01115904: Southern Trust Company, Inc. [I]  
From: PWM AOG <[REDACTED]>  
Date: Tue, 27 Aug 2013 09:42:50 -0400  
To: Amanda Kirby <[REDACTED]>  
Cc: Kusam Saini <[REDACTED]>,  
PWM AOG <[REDACTED]>

Classification: For internal use only

Hi

As you confirmed that we need to disregard the on-line banking request. So, please remove tick mark from "DB Private Wealth Online Plus" and send us revised first page of deposit account opening applications for both the accounts.

(Embedded image moved to file: pic13623.gif)

Thanks,  
PWM AOG  
DBOI Global Services Private Limited  
Email : [REDACTED]

From: Amanda [REDACTED]

To: PWM [REDACTED],

Cc: Kusam [REDACTED], PWM [REDACTED]

Date: 27-08-2013 01:54

Subject: Re: (Discrepancy) Case# 01115904: Southern Trust Company, Inc. [I]

Classification: For internal use only

Hi Kusam,

Apologies. I was out of the office last week and am only just getting to this. I am a little unsure how to answer the below. The company is registered and resides in the US Virgin Islands. Due to that there is no state for the company. How can #1 and #2 be fixed?

For #3 please disregard the request for online banking. We will get them access to that after the account has been set up

From: PWM [REDACTED]

To: Amanda [REDACTED],

Cc: PWM [REDACTED]

Date: 08/21/2013 02:04 PM

Subject: (Discrepancy) Case# 01115904: Southern Trust Company, Inc. [I]

Classification: For internal use only

Hi Amanda,

1. State is missing on Deposit account opening application for both the accounts.
2. Please confirm us the state name and country name. As we have both options (foreign and US Virgin Islands).
3. For online banking, only online banking application is missing. Please provide us the online banking application.

Thanks,  
Kusam Saini  
PWM AOG  
DBOI Global Services Private Limited  
Email : [REDACTED]

From: Amanda [REDACTED]

To: PWM [REDACTED],

Cc: PWM [REDACTED]

Date: 21-08-2013 13:04

Subject: Re: (Banking Account) Case# 01115904 and 01115898 [I]

Classification: For internal use only

Hi,

It was just approved. Please let me know if there are any issues with the account docs.

----- Original Message -----

From: PWM AOG

Sent: 08/21/2013 12:57 PM EDT

To: Amanda Kirby

Cc: PWM AOG

Subject: Re: (Banking Account) Case# 01115904 and 01115898 [I]

Classification: For internal use only

Hi Amanda,

Any update on the KYC approval?

Thanks,

PWM AOG

DBOI Global Services Private Limited

Email : [REDACTED]

From: Amanda [REDACTED]

To: PWM [REDACTED],

Cc: PWM [REDACTED]

Date: 20-08-2013 13:18

Subject: Re: (Banking Account) Case# 01115904 and 01115898 [I]

Classification: For internal use only

Hi Kusam,

Thanks you. I know KYC is not approved but it should be shortly. Is there anyway you can look at the account opening documents now so that I can fix any issues there may be? This way the process can be expedited once the KYC is approved.

Thank you

----- Original Message -----

From: PWM AOG

Sent: 08/20/2013 10:38 PM ZE5B

To: Amanda Kirby

Cc: PWM AOG

Subject: (Banking Account) Case# 01115904 and 01115898 [I]

Classification: For internal use only

Hi Amanda,

KYC # 01082293 is not has been approved yet. Please notify us via email, once the KYC will approve so that we can review the accounts.

Thanks,

Kusam Saini

PWM AOG

DBOI Global Services Private Limited

Email : [REDACTED]