

Subject: Re: Fw: [REDACTED] rdc alert [I]  
From: Amanda Kirby <[REDACTED]>  
Date: Wed, 09 Oct 2013 15:55:47 -0400  
To: PWMUS AMLKYC [REDACTED]

Classification: For internal use only

Thank you!

Best,  
Amanda Kirby

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Amanda Kirby  
Associate

Deutsche Bank Trust Company Americas

[REDACTED]

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From: PWMUS AMLKYC/[REDACTED]  
To: Amanda Kirby/[REDACTED],  
Cc: PWMUS AMLKYC/[REDACTED], Jacqueline Lightbody/[REDACTED]  
Date: 10/09/2013 03:49 PM  
Subject: Re: Fw: [REDACTED] rdc alert [I]

Classification: For internal use only

Hi Amanda,

AML Compliance reviewed the attached PCR alerts dated 10/9/2013 relating to [REDACTED]. The alert pertains to the wife of a former [REDACTED], [REDACTED]. According to the business, our client is in her early 30's and lives in NYC; whereas, the alert pertains to a [REDACTED] that is in her 60's and lives in Wisconsin. Accordingly, AML Compliance CLEARS this alert as a false positive.

Kind regards,  
Andrew Kisz

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Andrew Kisz  
Associate | AML Compliance Officer  
DB Services New Jersey, Inc.

Asset & Wealth Management  
5022 Gate Parkway, Suite 400, 32256 Jacksonville, USA  
Tel. [REDACTED]  
Email [REDACTED]

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From: Amanda Kirby/[REDACTED]  
To: PWMUS AMLKYC/[REDACTED],  
Cc: Andrew Kisz/[REDACTED], Jacqueline Lightbody/[REDACTED], Paul Morris  
/ [REDACTED]  
Date: 10/08/2013 12:42 PM  
Subject: Fw: [REDACTED] rdc alert [I]

Classification: For internal use only

Hi,  
This PCR alert does not pertain to our client. This [REDACTED] is in her  
60's and lives in Wisconsin. Our [REDACTED] is in her early 30's and lives  
in NYC. Please clear alert  
Best,  
Amanda Kirby

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Amanda Kirby  
Associate

Deutsche Bank Trust Company Americas  
[REDACTED]

(Embedded image moved to file: pic28896.gif)

----- Forwarded by Amanda Kirby/[REDACTED] on 10/08/2013 12:39 PM -----

From: PWM BIS-Research/[REDACTED]  
To: Amanda Kirby/[REDACTED],  
Cc: PWMUS AMLKYC/[REDACTED]  
Date: 10/08/2013 10:00 AM

Subject: [REDACTED] rdc alert [I]

Classification: For internal use only

Hello

Attached is an alert for the party mentioned above. Please note that clearance from compliance is required prior to KYC approval.

Please follow the instructions below:

Forward explanation of whether the alert pertains to your client directly to compliance.

Receive confirmation from compliance that alert can be removed from the queue.

Include copies of all responses along with your KYC.

[attachment [REDACTED] pcr alert.pdf" deleted by Amanda Kirby/[REDACTED]]  
[attachment [REDACTED] pcr alert\_1.pdf" deleted by Amanda Kirby/[REDACTED]]

Regards  
Urvashi