

Subject: Fw: [REDACTED] pcr alert [I]
From: PWMUS AMLKYC <[REDACTED]>
Date: Fri, 06 Feb 2015 12:47:53 -0500
To: Amanda Kirby [REDACTED]
Cc: PWMUS AMLKYC [REDACTED]

Classification: For internal use only

Hi Amanda:

The prior clearance that was issued by AML Compliance on 10/9/2013 is applicable as clearance to the current PCR alert dated 2/5/2015. I have attached it for your review.

Kind Regards,
MC

(Embedded image moved to file: pic07746.gif)

Monifa Crawford, JD
Associate | AML Compliance Officer

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(Embedded image moved to file: pic22818.gif)

----- Forwarded by Monifa Crawford/[REDACTED] on 02/06/2015 12:45 PM -----

From: Amanda Kirby/[REDACTED]
To: PWMUS AMLKYC/[REDACTED]
Date: 02/06/2015 10:05 AM
Subject: FW: [REDACTED] pcr alert [I]

Classification: For internal use only

Hi,

This alert is also outdated in that it was cleared in October 2013. Please see that clearance and clear these alerts. Thank you.

Amanda

From: Urvashi Lavania On Behalf Of PWM BIS-Research
Sent: Friday, February 06, 2015 10:00 AM
To: Amanda Kirby
Cc: PWMUS AMLKYC
Subject: [REDACTED] pcr alert [1]

Classification: For internal use only

Hello

Attached is an alert for the party mentioned above. Please note that clearance from compliance is required prior to KYC approval.

Please follow the instructions below:

Forward explanation of whether the alert pertains to your client directly to compliance.

Receive confirmation from compliance that alert can be removed from the queue. Include copies of all responses along with your KYC.

(See attached file: [REDACTED] pcr alert.pdf) (See attached file: [REDACTED]
[REDACTED] pcr alert_1.pdf)

Regards

Urvashi (See attached file: [REDACTED] pcr alert.pdf) (See attached file:
[REDACTED] pcr alert_1.pdf) (See attached file: [REDACTED] PCR
Clearance.pdf)