

Subject: Fw: PCR ALERT - [REDACTED] [I]
From: Amanda Kirby [REDACTED]
Date: Fri, 28 Nov 2014 12:09:20 -0500
To: PWMUS AMLKYC [REDACTED]

Classification: For internal use only

HI,

The first alert pertains to a woman born in either 1969 or 1970 and our client was born in 1989. The second alert pertains to a woman with the same name who is married to a Russian ambassador. Per the attached email exchange our client has no relation (Richard Kahn is the manager of this account and sole authorized signer.) Please clear the alerts. Thank you

(See attached file: PCR Response from RIch 11.28.2014.pdf)

Best,
Amanda Kirby

(Embedded image moved to file: pic29617.gif)

Amanda Kirby

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Securities offered through Deutsche Bank Securities Inc.
----- Forwarded by Amanda Kirby/db/dbcom on 11/28/2014 12:06 PM -----

From: PWM BIS-Research/db/dbcom@DBAPAC

To: [REDACTED]

Cc: [REDACTED]

Date: 11/19/2014 09:38 AM

Subject: PCR ALERT - [REDACTED] [I]

Classification: For internal use only

Hello

Attached is an alert for the party mentioned above. Please note that clearance from compliance is required prior to KYC approval.

Please follow the instructions below:

Forward explanation of whether the alert pertains to your client directly to compliance.

Receive confirmation from compliance that alert can be removed from the queue. Include copies of all responses along with your KYC.

(See attached file: [REDACTED]_PCR Alert 1.pdf) (See attached file: [REDACTED]
[REDACTED]_PCR Alert 2.pdf) (See attached file: [REDACTED]_PCR Alert.pdf)

Thanks
Ahsan