

NAME SEARCHED: J. Epstein & Co

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches

on your subject name(s) in the required databases and have attached the search results under the correct heading below.

Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search: Result:

RDC

PCR

Yes

BIS

No Hit

Hit

No Hit

Hit

Not Required

Not Required

No

Not Required

D&B

Smartlinx

Court Cases

Results?

Yes

Not Required

Results?

be Required

Yes

Not Required

Review by Legal May

No Results

Search not required

Prepared by: Deepali Thakur Date: 08/14/2013

Research Analyst

Instructions:

1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience: <http://www.martindale.com/xp/Martindale/home.xml>
3. As needed, provide comment for any negative results.
4. If applicable, please obtain clearance from Compliance for all alerts.
5. Save any changes you make to this document and attach file to your KYC. Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

No

VII. Smartlinx

Results found. Please see attached.

VIII. Court Cases

No

[Click here for results:](#)

I. RDC Results

II. PCR Results

III. Negative Media

IV. Non-Negative Media

Reviewer Comments (as necessary):

No RDC alert (Please see attached)

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V. Other Language Media Not Required.

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VI. D&B

Results found. Please see attached.

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OFAC RESULTS

RDC:

11525802

No Match Found

6625072

Country:United States

J. Epstein & Co

PCR:

C20130818448232 J. Epstein & Co 6625072 NCA customised Auto-Closed No-Hit

14/08/2013

BIS RESULTS

Negative Media:

Check Name: (((J. Epstein Co) w/50 (abus! or allegati! or ambush!  
or apprehend! or arraign! or arrest! or assault or asset freez! or  
bankrupt or blackmail! or breach! or brib! or captiv! or class  
action or contrab! or convict! or corrupt! or counterf! or court  
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by Deepali Thakur

Source: News, All (English, Full Text)

Cost Code: 6625072

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Page 1

Palm Beach Post (Florida)

July 24, 2006 Monday

Correction Appended

FINAL EDITION

MYSTERY MONEY MAN FACES SOLICITING CHARGE

BYLINE: By NICOLE JANOK Palm Beach Post Staff Writer

SECTION: LOCAL; Pg. 1B

LENGTH: 368 words

A part-time Palm Beacher who has socialized with Donald Trump, Bill Clinton and Kevin

Spacey was jailed early Sunday with accused drug dealers, drunken drivers and wife

beaters after he was charged with soliciting a prostitute.

Manhattan money manager Jeffrey Epstein, 53, was picked up at his home on El Brillo

Way at 1:45 a.m. He was released hours later on \$3,000 bond.

Epstein was indicted last week by a state grand jury, according to state attorney's

spokesman Mike Edmondson. Despite Epstein's arrest, the indictment containing the

allegations remained sealed Sunday and Edmondson provided no details.

Unlike most accused johns, Epstein was charged with a third-degree felony instead of a

misdemeanor. Under state law, a solicitation charge usually is elevated to a more serious

felony when the defendant has at least two solicitation convictions.

However, checks of court records here and in New York Sunday turned up no such

convictions.

Epstein could not be reached. Edmondson said he was being represented by West Palm

Beach attorney Jack Goldberg, who declined comment.

Epstein is the president of J Epstein & Co., a money management company based in

Manhattan that caters to ultra-wealthy clientele, according to published reports. National

magazines have described him as a "mysterious billionaire" who lives in a 45,000-squarefoot

New York City mansion.

He has been in trouble before. In 1993, he and two other defendants were charged in

federal court with three counts of postal larceny and theft and one count of property theft.

Epstein plead guilty to a single charge of conspiring to steal U.S. Treasury checks from

residential mailboxes and received 5 years' probation. The remaining charges were

dropped.

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MYSTERY MONEY MAN FACES SOLICITING CHARGE Palm Beach Post (Florida) July 24, 2006 Monday Correction Appended

Since then, Epstein's name has turned up in New York City's tabloids. The New York Post

noted he flew President Clinton and Kevin Spacey to Africa on his private Boeing 727. In

2003, the paper dubbed him one of the Big Apple's "top studs."

In 2004, Epstein bid against Trump for a 43,000-square foot Palm Beach estate once

owned by health-care magnate Abe Gosman. Trump topped Epstein with a \$41.35 million

bid.

Staff Researcher Angelica Cortez contributed to this story.

nicole\_janok@pbpost.com

LOAD-DATE: July 27, 2006

LANGUAGE: ENGLISH

NOTES: Ran all editions.

CORRECTION-DATE: July 25, 2006

CORRECTION: Because of reporting errors, The Palm Beach Post Monday said that Jeffrey Epstein, a part-time Palm Beach resident, pleaded guilty in 1993 to a charge of

conspiring to steal U.S. Treasury checks. That was another Jeffrey Epstein, not the one

indicted here on a prostitution solicitation charge. Jeffrey Epstein of Palm Beach has never

been charged with postal larceny and theft of U.S. Treasury checks, has never pleaded

guilty and has never been on probation. The story also incorrectly said that Epstein was

arrested Sunday at his Palm Beach home. He surrendered at the Palm Beach County Jail,

according to his attorney, Jack Goldberger. Goldberger's name also was misspelled in the

article. The story appeared on the front page of the Local section.

GRAPHIC: PHOTO (C)

Jeffrey Epstein (mug) Indictment related to prostitution.

PUBLICATION-TYPE: Newspaper

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The Talented Mr. Epstein; Lately, Jeffrey Epstein's high-ying style has been drawing oohs and aahs: the bachelor nancier lives in New York's largest private residence, claims to take only billionaires as clients, and ies celebrities including Bill Clinton and Kevin Spacey on his Boeing 727. But pierce his air of mystery and the picture changes.

VICKY WARD

explores Epstein's investment career, his ties to retail magnate Leslie Wexner, and his complicated past  
Vanity Fair March 2003  
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March 2003

The Talented Mr. Epstein;

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BYLINE: Vicky Ward, Contributing Editor

SECTION: The Talented Mr. Epstein; No. 511; Pg. 300

LENGTH: 7494 words

On Manhattan's Upper East Side, home to some of the most expensive real estate on earth, exists the crown jewel of the city's residential town houses. With its 15-foot-high oak door, huge arched windows, and nine floors, it sits on-or, rather, commands-the block of 71st Street between Fifth and Madison Avenues. Almost ludicrously out of proportion with its four- and five-story neighbors, it seems more like an institution than a house. This is perhaps not surprising-until 1989 it was the Birch Wathen private school. Now it is said to be Manhattan's largest private residence. Inside, amid the flurry of menservants attired in sober black suits and pristine white gloves, you feel you have stumbled into someone's private Xanadu. This is no mere rich person's home, but a high-walled, eclectic, imperious fantasy that seems to have no boundaries. The entrance hall is decorated not with paintings but with row upon row of individually framed eyeballs; these, the owner tells people with relish, were imported from England, where they were made for injured soldiers. Next comes a marble foyer, which does have a painting, in the manner of Jean Dubuffet ... but the host coyly refuses to

tell visitors who  
painted it. In any case, guests are like pygmies next to the nearby twice-  
life-size sculpture  
of a naked African warrior.  
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Despite its eccentricity the house is curiously impersonal, the statement of someone who wants to be known for the scale of his possessions. Its occupant, financier Jeffrey Epstein, 50, admits to friends that he likes it when people think of him this way. A good-looking man, resembling Ralph Lauren, with thick gray-white hair and a weathered face, he usually dresses in jeans, knit shirts, and loafers. He tells people he bought the house because he knew he "could never live anywhere bigger." He thinks 51,000 square feet is an appropriately large space for someone like himself, who deals mostly in large concepts especially large sums of money. Guests are invited to lunch or dinner at the town house-Epstein usually refers to the former as "tea," since he likes to eat bite-size morsels and drink copious quantities of Earl Grey. (He does not touch alcohol or tobacco.) Tea is served in the "leather room," so called because of the cordovan-colored fabric on the walls. The chairs are covered in a leopard print, and on the wall hangs a huge, Oriental fantasy of a woman holding an opium pipe and caressing a snarling lionskin. Under her gaze, plates of finger sandwiches are delivered to Epstein and guests by the menservants in white gloves. Upstairs, to the right of a spiral staircase, is the "office," an enormous gallery spanning the width of the house. Strangely, it holds no computer. Computers belong in the "computer room" (a smaller room at the back of the house), Epstein has been known to say. The office features a gilded desk (which Epstein tells people belonged to banker J. P. Morgan), 18th-century black lacquered Portuguese cabinets, and a nine-foot ebony Steinway "D" grand. On the desk, a paperback copy of the Marquis de Sade's The

Misfortunes of Virtue

was recently spotted. Covering the floor, Epstein has explained, "is the largest Persian rug you'll ever see in a private home-so big, it must have come from a mosque."

Amid such

splendor, much of which reflects the work of the French decorator Alberto Pinto, who has

worked for Jacques Chirac and the royal families of Jordan and Saudi Arabia, there is one

particularly startling oddity: a stuffed black poodle, standing atop the grand piano. "No

decorator would ever tell you to do that," Epstein brags to visitors. "But I want people to

think what it means to stuff a dog." People can't help but feel it's

Epstein's way of saying

that he always has the last word.

In addition to the town house, Epstein lives in what is reputed to be the largest private

dwelling in New Mexico, on an \$18 million, 7,500-acre ranch which he named "Zorro." "It

makes the town house look like a shack," Epstein has said. He also owns Little St. James,

a 70-acre island in the U.S. Virgin Islands, where the main house is currently being

renovated by Edward Tuttle, a designer of the Amanresorts. There is also a \$6.8 million

house in Palm Beach, Florida, and a fleet of aircraft: a Gulfstream IV, a helicopter, and a

Boeing 727, replete with trading room, on which Epstein recently flew President Clinton,

actors Chris Tucker and Kevin Spacey, supermarket magnate Ron Burkle, Lew Wasserman's grandson, Casey Wasserman, and a few others, on a mission to explore the

problems of aids and economic development in Africa.

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Epstein is charming, but he doesn't let the charm slip into his eyes. They are steely and

calculating, giving some hint at the steady whir of machinery running behind them. "Let's

play chess," he said to me, after refusing to give an interview for this article. "You be white.

You get the first move." It was an appropriate metaphor for a man who seems to feel he

can win no matter what the advantage of the other side. His advantage is that no one

really seems to know him or his history completely or what his arsenal actually consists of.

He has carefully engineered it so that he remains one of the few truly baffling mysteries

among New York's moneyed world. People know snippets, but few know the whole.

"He's very enigmatic," says Rosa Monckton, the former C.E.O. of Tiffany & Co. in the U.K.

and a close friend since the early 1980s. "You think you know him and then you peel off

another ring of the onion skin and there's something else extraordinary underneath. He

never reveals his hand... He's a classic iceberg. What you see is not what you get."

Even acquaintances sense a curious dichotomy: Yes, he lives like a "modern maharaja,"

as Leah Kleman, one of his art dealers, puts it. Yet he is fastidiously, almost obsessively

private-he lists himself in the phone book under a pseudonym. He rarely attends society

gatherings or weddings or funerals; he considers eating in restaurants like "eating on the

subway"-i.e., something he'd never do. There are many women in his life, mostly young,

but there is no one of them to whom he has been able to commit. He describes his most

public companion of the last decade, Ghislaine Maxwell, 41, the daughter of the late,

disgraced media baron Robert Maxwell, as simply his "best friend." He says she is not on

his payroll, but she seems to organize much of his life-recently she was making telephone inquiries to find a California-based yoga instructor for him. (Epstein is still close to his two other long-term girlfriends, Paula Heil Fisher, a former associate of his at the brokerage firm Bear Stearns and now an opera producer, and Eva Andersson Dubin, a doctor and onetime model. He tells people that when a relationship is over the girlfriend "moves up, not down," to friendship status.) Some of the businessmen who dine with him at his home-they include newspaper publisher Mort Zuckerman, banker Louis Ranieri, Revlon chairman Ronald Perelman, real estate tycoon Leon Black, former Microsoft executive Nathan Myhrvold, Tom Pritzker (of Hyatt Hotels), and real-estate personality Donald Trump-sometimes seem not all that clear as to what he actually does to earn his millions. Certainly, you won't find Epstein's transactions written about on Bloomberg or talked about in the trading rooms. "The trading desks don't seem to know him. It's unusual for animals that big not to leave any footprints in the snow," says a high-level investment manager. Unlike such fund managers as George Soros and Stanley Druckenmiller, whose client lists and stock maneuverings act as their calling cards, Epstein keeps all his deals and clients secret, bar one client: billionaire Leslie Wexner, the respected chairman of Limited Brands. Epstein insists that ever since he left Bear Stearns in 1981 he has managed money only for billionaires-who depend on him for discretion. "I was the only person crazy enough, or arrogant enough, or misplaced enough, to make my limit a billion dollars or more," he tells

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explores Epstein's investment career, his ties to retail magnate Leslie Wexner, and his complicated past Vanity Fair March 2003 people freely. According to him, the flat fees he receives from his clients, combined with his skill at playing the currency markets "with very large sums of money," have afforded him the lifestyle he enjoys today.

Why do billionaires choose him as their trustee? Because the problems of the mega-rich, he tells people, are different from yours and mine, and his unique philosophy is central to understanding those problems: "Very few people need any more money when they have a billion dollars. The key is not to have it do harm more than anything else... You don't want to lose your money."

He has likened his job to that of an architect-more specifically, one who specializes in remodeling: "I always describe (a billionaire) as someone who started out in a small home and as he became wealthier had add-ons. He added on another addition, he built a room over the garage ... until you have a house that is usually a mess... It's a large house that has been put together over time where no one could foretell the financial future and their accompanying needs."

He makes it sound as though his job combines the roles of real-estate agent, accountant, lawyer, money manager, trustee, and confidant. But, as with Jay Gatsby, myths and rumor swirl around Epstein.

Here are some of the hard facts about Epstein-ones that he doesn't mind people knowing:

He grew up middle-class in Brooklyn. His father worked for the city's parks department. His parents viewed education as "the way out" for him and his younger brother, Mark, now working in real estate. Jeffrey started to play the piano-for which he maintains a passion-at five, and he went to Brooklyn's Lafayette High School. He was good at

mathematics, and  
in his early 20s he got a job teaching physics and math at Dalton, the elite  
Manhattan  
private school. While there he began tutoring the son of Bear Stearns  
chairman Ace  
Greenberg and was friendly with a daughter of Greenberg's. Soon he went to  
Bear  
Stearns, where, under the mentorship of both Greenberg and current Bear  
Stearns C.E.O.  
James Cayne, he did well enough to become a limited partner-a rung beneath  
full partner.  
He abruptly departed in 1981 because, he has said, he wanted to run his own  
business.  
Thereafter the details recede into shadow. A few of the handful of current  
friends who have  
known him since the early 1980s recall that he used to tell them he was a  
"bounty hunter,"  
recovering lost or stolen money for the government or for very rich people.  
He has a  
license to carry a firearm. For the last 15 years, he's been running his  
business, J. Epstein  
& Co.  
Since Leslie Wexner appeared in his life-Epstein has said this was in 1986;  
others say it  
was in 1989, at the earliest-he has gradually, in a way that has not  
generally made  
headlines, come to be accepted by the Establishment. He's a member of various  
commissions and councils: he is on the Trilateral Commission, the Council on  
Foreign  
Relations, the New York Academy of Sciences, and the Institute of  
International Education.  
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His current fan club extends to Cayne, Henry Rosovsky, the former dean of Harvard's

Faculty of Arts and Sciences, and Larry Summers, Harvard's current president. Harvard

law professor Alan Dershowitz says, "I'm on my 20th book... The only person outside of my

immediate family that I send drafts to is Jeffrey." Real-estate developer and philanthropist

Marshall Rose, who has worked with Epstein on projects in New Albany, Ohio, for Wexner,

says, "He digests and decodes the information very rapidly, which is to me terrific because

we have shorter meetings."

Also on the list of admirers are former senator George Mitchell and a gaggle of

distinguished scientists, most of whom Epstein has helped fund in recent years. They

include Nobel Prize winners Gerald Edelman and Murray Gell-Mann, and mathematical

biologist Martin Nowak. When these men describe Epstein, they talk about "energy" and

"curiosity," as well as a love for theoretical physics that they don't ordinarily find in laymen.

Gell-Mann rather sweetly mentions that "there are always pretty ladies around" when he

goes to dinner chez Epstein, and he's under the impression that Epstein's clients include

the Queen of England. Both Nowak and Dershowitz were thrilled to find themselves

shaking the hand of a man named "Andrew" in Epstein's house. "Andrew" turned out to be

Prince Andrew, who subsequently arranged to sit in the back of Dershowitz's law class.

Epstein gets annoyed when anyone suggests that Wexner "made him." "I had really rich

clients before," he has said. Yet he does not deny that he and Wexner have a special

relationship. Epstein sees it as a partnership of equals. "People have said it's like we have

one brain between two of us: each has a side."

"I think we both possess the skill of seeing patterns," says Wexner. "But Jeffrey sees patterns in politics and financial markets, and I see patterns in lifestyle and fashion trends. My skills are not in investment strategy, and, as everyone who knows Jeffrey knows, his are not in fashion and design. We frequently discuss world trends as each of us sees them."

By the time Epstein met Wexner, the latter was a retail legend who had built a \$3 billion empire-one that now includes Victoria's Secret, Express, and Bath & Body Works-from \$5,000 lent him by his aunt. "Wexner saw in Jeffrey the type of person who had the potential to realize his (Jeffrey's) dreams," says someone who has worked closely with both men. "He gave Jeffrey the ball, and Jeffrey hit it out of the park." Wexner, through a trust, bought the town house in which Epstein now lives for a reported \$13.2 million in 1989. In 1993, Wexner married Abigail Koppel, a 31-year-old lawyer, and the newlyweds relocated to Ohio; in 1996, Epstein moved into the town house. Public documents suggest that the house is still owned by the trust that bought it, but Epstein has said that he now owns the house.

Wexner trusts Epstein so completely that he has assigned him the power of fiduciary over all of his private trusts and foundations, says a source close to Wexner. In 1992, Epstein even persuaded Wexner to put him on the board of the Wexner Foundation in place of

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Wexner's ailing mother. Bella Wexner recovered and demanded to be reinstated. Epstein

has said they settled by splitting the foundation in two.

Epstein does not care that he comes between family members. In fact, he sees it as his

job. He tells people, "I am there to represent my client, and if my client needs protectingsometimes

even from his own family-then it's often better that people hate me, not the client."

"You've probably heard I'm vicious in my representation of my clients," he tells people

proudly; Leah Kleman describes his haggling over art prices as something like a scene out

of the movie Mad Max: Beyond Thunderdome. Even a former mentor says he's seen "the

dark side" of Epstein, and a Bear Stearns source recalls a meeting in which Epstein

chewed out a team making a presentation for Wexner as being so brutal as to be

"irresponsible."

One reporter, in fact, received three threats from Epstein while preparing a piece. They

were delivered in a jocular tone, but the message was clear: There will be trouble for your

family if I don't like the article.

On the other hand, Epstein is clearly very generous with friends. Joe Pagano, an Aspenbased

venture capitalist, who has known Epstein since before his Bear Stearns days, can't

say enough nice things: "I have a boy who's dyslexic, and Jeffrey's gotten close to him

over the years... Jeffrey got him into music. He bought him his first piano. And then as he

got to school he had difficulty ... in studying ... so Jeffrey got him interested in taking flying

lessons."

Rosa Monckton recalls Epstein telling her that her daughter, Domenica, who suffers from

Down syndrome, needed the sun, and that Rosa should feel free to bring her

to his house  
in Palm Beach anytime.  
Some friends remember that in the late 80s Epstein would offer to upgrade  
the airline  
tickets of good friends by affixing first-class stickers; the only problem  
was that the stickers  
turned out to be unofficial. Sometimes the technique worked, but other times  
it didn't, and  
the unwitting recipients found themselves exiled to coach. (Epstein has  
claimed that he  
paid for the upgrades, and had no knowledge of the stickers.) Many of those  
who benefited  
from Epstein's largesse claim that his generosity comes with no strings  
attached. "I never  
felt he wanted anything from me in return," says one old friend, who  
received a first-class  
upgrade.  
Epstein is known about town as a man who loves women-lots of them, mostly  
young.  
Model types have been heard saying they are full of gratitude to Epstein for  
flying them  
around, and he is a familiar face to many of the Victoria's Secret girls.  
One young woman  
recalls being summoned by Ghislaine Maxwell to a concert at Epstein's town  
house, where  
the women seemed to outnumber the men by far. "These were not women you'd  
see at  
Upper East Side dinners," the woman recalls. "Many seemed foreign and  
dressed a little

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explores Epstein's investment career, his ties to retail magnate Leslie Wexner, and his complicated past Vanity Fair March 2003 bizarrely." This same guest also attended a cocktail party thrown by Maxwell that Prince Andrew attended, which was filled, she says, with young Russian models. "Some of the guests were horrified," the woman says.

"He's reckless," says a former business associate, "and he's gotten more so. Money does

that to you. He's breaking the oath he made to himself-that he would never do anything that would expose him in the media. Right now, in the wake of the publicity following his trip with Clinton, he must be in a very difficult place."

According to S.E.C. and other legal documents unearthed by Vanity Fair, Epstein may

have good reason to keep his past cloaked in secrecy: his real mentor, it might seem, was

not Leslie Wexner but Steven Jude Hoffenberg, 57, who, for a few months before the

S.E.C. sued to freeze his assets in 1993, was trying to buy the New York Post. He is

currently incarcerated in the Federal Medical Center in Devens, Massachusetts, serving a

20-year sentence for bilking investors out of more than \$450 million in one of the largest

Ponzi schemes in American history.

When Epstein met Hoffenberg in London in the 1980s, the latter was the charismatic,

audacious head of the Towers Financial Corporation, a collection agency that was

supposed to buy debts that people owed to hospitals, banks, and phone companies. But

Hoffenberg began using company funds to pay off earlier investors and service a lavish

lifestyle that included a mansion on Long Island, homes on Manhattan's Sutton Place and

in Florida, and a fleet of cars and planes.

Hoffenberg and Epstein had much in common. Both were smart and obsessed with making money. Both were from Brooklyn. According to Hoffenberg, the two men were

introduced by Douglas Leese, a defense contractor. Epstein has said they were introduced by John Mitchell, the late attorney general. Epstein had been running International Assets Group Inc. (I.A.G.), a consulting company, out of his apartment in the Solo building on East 66th Street in New York. Though he has claimed that he managed money for billionaires only, in a 1989 deposition he testified that he spent 80 percent of his time helping people recover stolen money from fraudulent brokers and lawyers. He was also not above entering into risky, tax-sheltered oil and gas deals with much smaller investors. A lawsuit that Michael Stroll, the former head of Williams Electronics Inc., filed against Epstein shows that in 1982 I.A.G. received an investment from Stroll of \$450,000, which Epstein put into oil. In 1984, Stroll asked for his money back; four years later he had received only \$10,000. Stroll lost the suit, after Epstein claimed in court, among other things, that the check for \$10,000 was for a horse he'd bought from Stroll. "My net worth never exceeded four and a half million dollars," Stroll has said. Hoffenberg, says a close friend, "really liked Jeffrey... Jeffrey has a way of getting under your skin, and he was under Hoffenberg's." Also appealing to Hoffenberg were Epstein's social connections; they included oil mogul Cece Wang (father of the designer Vera) and

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Mohan Murjani, whose clothing company grew into Gloria Vanderbilt Jeans. Epstein lived

large even then. One friend recalls that when he took Canadian heiress [REDACTED]

[REDACTED]

on a date he hired a Rolls-Royce especially for the occasion. (Epstein has claimed he owned it.)

In 1987, Hoffenberg, according to sources, set Epstein up in the offices he still occupies in the Villard House, on Madison Avenue, across a courtyard from the restaurant Le Cirque.

Hoffenberg hired his new protege as a consultant at \$25,000 a month, and the relationship

flourished. "They traveled everywhere together-on Hoffenberg's plane, all around the world, they were always together," says a source. Hoffenberg has claimed that Epstein

confided in him, saying, for example, that he had left Bear Stearns in 1981 after he was

discovered executing "illegal operations."

Several of Epstein's Bear Stearns contemporaries recall that Epstein left the company very

suddenly. Within the company there were rumors also that he was involved in a technical

infringement, and it was thought that the executive committee asked that he resign after

his two supporters, Ace Greenberg and Jimmy Cayne, were outnumbered.

Greenberg

says he can't recall this; Cayne denies it happened, and Epstein has denied it as well.

"Jeffrey Epstein left Bear Stearns of his own volition," says Cayne. "It was never suggested

that he leave by any member of management, and management never looked into any

improprieties by him. Jeffrey said specifically, 'I don't want to work for anybody else. I want

to work for myself.'" Yet, this is not the story that Epstein told to the S.E.C. in 1981 and to

lawyers in a 1989 deposition involving a civil business case in Philadelphia.

In 1981 the S.E.C.'s Jonathan Harris and Robert Blackburn took Epstein's testimony and that of other Bear Stearns employees in part of what became a protracted case about insider trading around a tender offer placed on March 11, 1981, by the Seagram Company Ltd. for St. Joe Minerals Corp. Ultimately several Italian and Swiss investors were found guilty, including Italian financier Giuseppe Tome, who had used his relationship with Seagram owner Edgar Bronfman Sr. to obtain information about the tender offer. After the tender offer was announced, the S.E.C. began investigating trades involving St. Joe at continued on page 343 continued from page 305 Bear Stearns and other firms. Epstein resigned from Bear Stearns on March 12. The S.E.C. was tipped off that Epstein had information on insider trading at Bear Stearns, and it was therefore obliged to question him. In his S.E.C. testimony, given on April 1, 1981, Epstein claimed that he had found "offensive" the way Bear Stearns management had handled a disciplinary action following its discovery that he had committed a possible "Reg D" violation-evidently he had lent money to his closest friend. (In the 1989 deposition he said that he'd lent approximately \$20,000 to Warren Eisenstein, to buy stock.) Such an action could have been considered improper, although Epstein claimed he had not realized this until afterward. According to Epstein, Bear Stearns management had questioned him about the loan around March 4. The questioners, Epstein said, were Michael (Mickey) Tarnopol and Alvin Einbender. In his 1989 deposition Epstein recalled that the partner who had made an ABR Research Profile Last modified 6/18/2007 For internal use only

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"issue" of the matter was Marvin Davidson. On March 9, Epstein said, he had met with

Tarnopol and Einbender again, and the two partners told him that the executive committee

had weighed the offense, together with previous "carelessness" over expenses, and he would be fined \$2,500.

"There was discussion whether, in fact, I had ever put in an airline ticket for someone else

and not myself and I said that it was possible, ... since my secretary handles my

expenses," Epstein told the S.E.C. In his 1989 testimony he stated that the "Reg D"

incident had cost him a shot at partnership that year.

What the S.E.C. seemed to be especially interested in was whether there was a connection between Epstein's leaving and the alleged insider trading in St. Joe Minerals by

other people at Bear Stearns:

Q: Sir, are you aware that certain rumors may have been circulating around your firm in

connection with your reasons for leaving the firm?

A: I'm aware that there were many rumors.

Q: What were the rumors you heard?

A: Nothing to do with St. Joe.

Q: Can you relate what you heard?

A: It was having to do with an illicit affair with a secretary.

Q: Have you heard any other rumors suggesting that you had made a presentation or

communication to the Executive Committee concerning alleged improprieties by other

members or employees of Bear Stearns?

A: I, in fact, have heard that rumor, but it's been from Mr. Harris in our conversation last week.

Q: Have you heard it from anyone else?

A: No.

A little later the interview focuses on James Cayne:

Q: Did you ever hear while you were at Bear Stearns that Mr. Cayne may have trader or

insider information in connection with St. Joe Minerals Corporation?

A: No.

Q: Did Mr. Cayne ever have any conversation with you about St. Joe Minerals?

A: No.

Q: Did you happen to overhear any conversations between Mr. Cayne and anyone else

regarding St. Joe Minerals?

A: No.

And still later in the questioning comes this exchange:

Q: Have you had any type of business dealings with Mr. Cayne?

A: There's no relationship with Bear Stearns.

Q: Pardon?

A: Other than Bear Stearns, no.

Q: Have you been a participant in any type of business venture with Mr. Cayne?

A: No.

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Q: Do you have any expectation of participating in any business venture with Mr. Cayne?

A: No.

Q: Have you had any business participations with Mr. Theram?

A: No; nor do I anticipate any.

Q: Mr. Epstein, did anyone at Bear Stearns tell you in words or substance that you should not divulge anything about St. Joe Minerals to the staff of the Securities and Exchange Commission?

A: No.

Q: Has anyone indicated to you in any way, either directly or indirectly, in words or substance, that your compensation for this past year or any future monies coming to you from Bear Stearns will be contingent upon your not divulging information to the Securities and Exchange Commission?

A: No.

Despite the circumstances of Epstein's leaving, Bear Stearns agreed to pay him his annual bonus-which he anticipated as being approximately \$100,000.

The S.E.C. never brought any charges against anyone at Bear Stearns for insider trading

in St. Joe, but its questioning seems to indicate that it was skeptical of Epstein's answers.

Some sources have wondered why, if he was such a big producer at Bear Stearns, he

would have given it up over a mere \$2,500 fine.

Certainly the years after Epstein left the firm were not obviously prosperous ones. His luck

didn't seem to change until he met Hoffenberg.

One of Epstein's first assignments for Hoffenberg was to mastermind doomed bids to take

over Pan American World Airways in 1987 and Emery Air Freight Corp. in 1988.

Hoffenberg claimed in a 1993 hearing before a grand jury in Illinois that Epstein came up

with the idea of financing these bids through Towers's acquisition of two ailing Illinois

insurance companies, Associated Life and United Fire. "He was hired by us to work on the securities side of the insurance companies and Towers Financial, supposedly to make a profit for us and for the companies," Hoffenberg reportedly told the grand jury. He also alleged that Epstein was the "technician," executing the schemes, although, having no broker's license, he had to rely on others to make the trades. Much of Hoffenberg's subsequent testimony in his criminal case has proven to be false, and Epstein has claimed he was merely asked how the bids could be accomplished and has said he had nothing to do with the financing of them. Yet Richard Allen, the former treasurer of United Fire, recalls seeing Epstein two or three times at the company. He and another executive say they had direct dealing with Epstein over the finances. And in his deposition of 1989, Epstein stated that he was the one who executed "all" Hoffenberg's instructions to buy and sell the stock. He called it "making the orders." He could not recall whether he had chosen the brokers used.

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To win approval from the Illinois insurance regulators for Towers's acquisition of the companies, Hoffenberg promised to inject \$3 million of new capital into them. In fact, in his grand-jury testimony Hoffenberg claimed that he, his chief operating officer, Mitchell Brater, and Epstein came up with a scheme to steal \$3 million of the insurance companies'

bonds to buy Pan Am and Emery stock. "Jeffrey Epstein and Mitch Brater arranged the various brokerage accounts for the bonds to be placed with in New York, and I think one in Chicago, Rodman & Renshaw," Hoffenberg reportedly said. Then, said Hoffenberg, while making it appear as though they were investing the bonds in much safer financial instruments, they used them as collateral to buy the stock. "Epstein was the person in charge of the transactions, and Mitchell Brater was assisting him with it in coordination on behalf of the insurance companies' money," Hoffenberg claimed at the time. At one point, according to Hoffenberg, a broker forged the documents necessary for a \$1.8 million check to be written on insurance-company funds. The check was used to buy more

stock in the takeover targets. Meanwhile, in order to throw the insurance regulators off, the \$1.8 million was reported as being safely invested in a money-market account. United Fire's former chief financial officer Daniel Payton confirms part of Hoffenberg's account. He says he recalls making one or two telephone calls to Epstein (at Hoffenberg's direction) about the missing bonds. "He said, 'Oh, yeah, they still exist.' But we found out later that he had sold those assets ... leveraged them ... (and) used some margin account to take some positions in ... Emery and Pan Am," says Payton. Epstein's extraordinary creativity was, according to Hoffenberg, responsible for the

purchase by the insurance companies of a \$500,000 bond, with no money down.

"Epstein

created a great scheme to purchase a \$500,000 treasury bond that would not be shown ...

(as) margined or collateralized," he reportedly told the grand jury. "It looked like it was free

and clear but it actually wasn't," he said.

Epstein has denied he ever had any dealings with anyone from the insurance companies.

But Richard Allen says he recalls talking to Epstein at Hoffenberg's direction and telling

him it was urgent they retrieve the missing bonds for a state examination.

According to

Allen, Epstein said, "We'll get them back." He had "kind of a flippant attitude," says Allen.

"They never came back."

Epstein, according to Hoffenberg, also came up with a scheme to manipulate the price of

Emery Freight stock in an attempt to minimize the losses that occurred when Hoffenberg's

bid went wrong and the share price began to fall. This was alleged to have involved

multiple clients' accounts controlled by Epstein.

Eventually, in 1991, insurance regulators in Illinois sued Hoffenberg. He settled the case,

and Epstein, who was only a paid consultant, was never deposed or accused of any

wrongdoing. Barry Gross, the attorney who was handling the suit for the regulators, says of

Epstein, "He was very elusive... It was hard to really track him down. There were a

substantial number of checks for significant dollars that were paid to him, I remember... He

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explores Epstein's investment career, his ties to retail magnate Leslie Wexner, and his complicated past Vanity Fair March 2003 was this character we never got a handle on. Again we presumed that he was involved with the Pan Am and Emery run that Hoffenberg made, but we never got a chance to depose him."

"From the government's discovery in the main sentencing against Hoffenberg it would

seem the government was perhaps a bit lazy," says David Lewis, who represented Mitchell

Brater. "They went for what they knew they could get ... and that was the fraudulent

promissory notes (i.e., the much larger and unrelated part of Hoffenberg's fraud, based in

New York State)... What they couldn't get, they didn't bother with."

Another lawyer involved in the criminal prosecution of Hoffenberg says, "In a criminal

investigation like that, when there is a guilty plea, to be quick and dirty about it, discovery

is always incomplete... They don't have to line up witnesses; they don't have to learn every

fact that might come out on cross-examination."

Epstein was involved with Hoffenberg in other questionable transactions.

Financial records

show that in 1988 Epstein invested \$1.6 million in Riddell Sports Inc., a company that

manufactures football helmets. Among his co-investors were the theater mogul Robert

Nederlander and attorney Leonard Toboroff. A source close to this transaction claims that

Epstein told Nederlander and Toboroff that he had raised his share of the money from a

Swiss banker, whose identity they could not be allowed to know. But Hoffenberg has

claimed the money came from him, and Towers's financial statements for that year show a

loan to Epstein of \$400,000. (Epstein has said he can't remember the details and has

disputed the accuracy of the Towers financial reports.)

Around the same time, Nederlander and Toboroff let Epstein come in with them

on a scheme to make money out of Pennwalt, a Pennsylvania chemical company. The plan was to group together with two other parties to take a substantial declared position in the stock. According to a source, Epstein was supposed to help Nederlander and Toboroff raise \$15 million. He seemed to fail to find other investors, say those familiar with the deal. (Epstein has said he was merely an investor.) He invested \$1 million, which he told his co-investors was his own money. But in his 1989 deposition he said that he put in only \$300,000 of his own money. Where did the rest come from? Hoffenberg has said it came from him, in a loan that Nederlander and Toboroff didn't know about. Two things happened that alarmed Nederlander and Toboroff. After the group signaled a possible takeover, the Pennwalt management threatened to sue the would-be raiders. Epstein was reluctant initially to give a deposition about his share of the money, telling Toboroff there were "reasons" he didn't want to. Then, after the opportunity for new investors was closed, co-investors recall Epstein announcing that he'd found one at last: Dick Snyder, then C.E.O. of the publisher Simon & Schuster, who wanted to put up approximately \$500,000. (Neither Epstein nor Snyder can now recall the investment. Yet in the 1989 deposition Epstein said that he had recruited Snyder, whom he had met socially, into the deal.)

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According to a source, Toboroff and Nederlander told Epstein that Snyder was too late, but, without their realizing it, Hoffenberg has claimed, Snyder wrote a check to Hoffenberg and bought out some of his investment. But then Snyder wanted out. "Nederlander started to get these irate calls from (Snyder,) who wasn't part of the deal, saying he was owed all this money," says someone close to the deal. Toboroff and Nederlander were baffled.

Eventually, a source close to Hoffenberg says, Hoffenberg paid Snyder off. Just as Nederlander and Toboroff were growing wary of Epstein, he became increasingly involved with Leslie Wexner, whom he had met through insurance executive Robert Meister and his late wife. Epstein has told people that he met Wexner in 1986 in Palm Beach, and that he won his confidence by persuading him not to invest in the stock market, just as the 1987 crash was approaching. His story has subsequently changed.

When asked if Wexner knew about his connection to Hoffenberg, Epstein said that he began working for Wexner in 1989, and that "it was certainly not the same time."

Wherever and whenever it was that Epstein and Wexner actually met, there was an immediate and strong personal chemistry. Wexner says he thinks Epstein is "very smart with a combination of excellent judgment and unusually high standards. Also, he is always a most loyal friend."

Sources say Epstein proved that he could be useful to Wexner as well, with "fresh" ideas about investments. "Wexner had a couple of bad investments, and Jeffrey cleaned those up right away," says a former associate of Epstein's.

Before he signed on with Wexner, Epstein had several meetings with Harold Levin, then

head of Wexner Investments, in which he enunciated ideas about currencies that Levin found incomprehensible. "In fact," says someone who used to work very closely with Wexner, "almost everyone at the Limited wondered who Epstein was; he literally came out of nowhere."

"Everyone was mystified as to what his appeal was," says Robert Morosky, a former vicechairman of the Limited.

Much of Epstein's work is related to cleaning up, tightening budgets, and efficiencies. One person who worked for Wexner and who saw a contract drawn up between the two men says Epstein is involved in "everything, not just a little here, a little there. Everything!" In addition, he says, "Wexner likes having a hatchet man... Whenever there is dirty work to be done he'd stick Jeffrey on it... He has a reputation for being ruthless but he gets the job done."

Epstein has evidently been asked to fire personal-staff members when needed. "He was that mysterious person that everyone was scared to death of," says a former employee.

Meanwhile, he is also less than popular with some people outside Wexner's company with whom he now deals. "He 'inserted' himself into the construction process of Leslie Wexner's yacht... That resulted in litigation down the road between Mr. Wexner and the shipyard that

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eventually built the vessel," says Lars Forsberg, a lawyer whose firm at the time,

Dickerson and Reily, was hired to deal with litigation stemming from the construction of

Wexner's Limitless-at 315 feet, one of the largest private yachts in the world. Evidently,

Epstein stalled on paying Dickerson and Reily for its work. "It's probably once or twice in

my legal career that I've had to sue a client for payment of services that he'd requested

and we'd performed ... without issue on the performance," says Forsberg. In the end the

matter was settled, but Epstein claims he now has no recollection of it.

The incident is one of a number of disputes Epstein has become embroiled in.

Some are

for sums so tiny as to be baffling; for instance, Epstein sued investment adviser Herbert

Glass, who sold him the Palm Beach house in 1990, for \$13,444-Epstein claimed this was

owed him for furnishings removed by Glass.

In 1998 the U.S. Attorney's Office sued Epstein for illegally subletting the former home of

the deputy consul general of Iran to attorney Ivan Fisher and others.

Epstein paid \$15,000

a month in rent to the State Department, but he charged Fisher and his colleagues

\$20,000. Though the exact terms of the agreement are sealed, the court ruled against

Epstein.

Wexner offers some insight into his friend's combative style. "Many times people confuse

winning and losing," Wexner says. "Jeffrey has the unusual quality of knowing when he is

winning. Whether in conversations or negotiations, he always stands back and lets the

other person determine the style and manner of the conversation or negotiation. And then

he responds in their style. Jeffrey sees it in chivalrous terms. He does not pick a fight, but if

there is a fight, he will let you choose your weapon."

One case is rather more serious. Currently, Citibank is suing Epstein for defaulting on loans from its private-banking arm for \$20 million. Epstein claims that Citibank

"fraudulently induced" him into borrowing the money for investments. Citibank disputes this charge.

The legal papers for another case offer a rare window into Epstein's finances. In 1995,

Epstein stopped paying rent to his landlord, the nonprofit Municipal Arts Society, for his office in the Villard House. He claimed that they were breaking the terms of the lease by

not letting his staff in at night. The case was eventually settled. However, one of the

papers filed in this dispute is Epstein's financial statement for 1988, in which he claimed to

be worth \$20 million. He listed that he owned \$7 million in securities, \$1 million in cash,

zero in residential property (although he told sources that he had already bought the home

in Palm Beach), and \$11 million in other assets, including his investment in Riddell. A coinvestor

in Riddell says: "The company had been bought with a huge amount of debt, and it wasn't public, so it was meaningless to attach a figure like that to it ... the price it cost

was about \$1.2 million." The co-investors bought out Epstein's share in Riddell in 1995 for

approximately \$3 million. At that time, when Epstein was asked, as a routine matter, to

sign a paper guaranteeing he had access to a few million dollars in case of any

subsequent disputes over the sale price, Wexner signed for him. Epstein has explained

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that this was because the co-investors wanted an indemnity against being sued by

Wexner. One of the investors calls this "bullshit."

Epstein's appointment to the board of New York's Rockefeller University in 2000 brought

him into greater social prominence. Boasting such social names as Nancy Kissinger,

Brooke Astor, and Robert Bass, the board also includes such pre-eminent scientists as

Nobel laureate Joseph Goldstein. "Epstein was thrilled to be elected," says someone who

knows him.

After one term Epstein resigned. According to New York magazine, this was because he

didn't like to wear a suit to meetings. A spokesperson for the Rockefeller board says

Epstein left because he had insufficient time to commit; a board member recalls that he

was "arrogant" and "not a good fit." The spokesperson admits that it is "infrequent" for

board members not to be renominated after only one term.

Still, the recent spate of publicity Epstein has inspired does not seem to have fazed him. In

November he was spotted in the front row of the Victoria's Secret fashion show at New

York's Lexington Avenue Armory; around the same time the usual coterie of friends and

beautiful women were whisked off to Little St. James (which he tells people has been

renamed Little St. Jeff) for a long weekend.

Thanks to Epstein's introductions, says Martin Nowak, the biologist finds himself moving

from Princeton to Harvard, where he is assuming the joint position of professor of

mathematics and professor of biology. Epstein has pledged at least \$25 million to Harvard

to create the Epstein Program for Mathematical Biology and Evolutionary Dynamics, and

Epstein will have an office at the university. The program will be dedicated

to searching for nature's algorithms, a pursuit that is a specialty of Nowak's. For Epstein this must be the summit of everything he has worked toward: he has been seen proudly displaying Harvard president Larry Summers's letter of commitment as if he can't quite believe it is real. He says he was reluctant to have his name attached to the program, but Summers persuaded him. He rang his mentor Wexner about it, and Wexner told him it was all right.

An insatiable, restless soul, always on the move, Epstein builds a tremendous amount of downtime into his hectic work schedule. Yet there is something almost programmed about his relaxation: it's as if even pleasure has to be measured in terms of self-improvement.

Nowak says that, when he goes to stay with Epstein in the Caribbean, they'll get up at six and, as the sun rises, have three-hour conversations about theoretical physics. "Then he'll go off and do some work, re-appear, and we'll talk some more."

Another person who went to the island with Epstein, Maxwell, and several beautiful women remembers that the women "sat around one night teasing him about the kinds of grasping women who might want to date him. He was amused by the idea... He's like a king in his own world."

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Many people comment there is something innocent, almost childlike about Jeffrey Epstein.

They see this as refreshing, given the sophistication of his surroundings.

Alan Dershowitz

says that, as he was getting to know Epstein, his wife asked him if he would still be close

to him if Epstein suddenly filed for bankruptcy. Dershowitz says he replied, "Absolutely. I

would be as interested in him as a friend if we had hamburgers on the boardwalk in Coney

Island and talked about his ideas." N

LOAD-DATE: January 24, 2005

LANGUAGE: ENGLISH

GRAPHIC: LEFT, BY JAMES ESTRIN; RIGHT, BY DUBLIN CAINE; MR. BIG Jeffrey Epstein in New York, 2001. Left, Epstein's nine-floor, 51,000-square-foot town house. He

also owns a 7,500-acre ranch in New Mexico, a house in Palm Beach, and a Caribbean

island.; TOP TO BOTTOM: BY ALBERTO PINTO, LISA HINGE, J. B. SCHMITKA; unreal estate From top: the "leather room" in Epstein's house, where "tea" is served to guests;

Epstein at his Zorro ranch in 1991 with his "best friend," Ghislaine Maxwell; Epstein in

1979.; TOP TO BOTTOM: BY LISA HINGE, [REDACTED], ADAM SCULL; SPOILS OF SUCCESS From top: Epstein's 70-acre island, Little St. James, in the U.S. Virgin Islandshe

now calls it Little St. Jeff; Epstein with President Clinton in Brunei,

2002; Leslie Wexner

with his future wife, Abigail, at the 1990 C.F.D.A. Fashion Awards, in New York, 1991.;

ALBERTO PINTO; OFFICE SPACE The "office" in Epstein's house. It has no computers,

but it does have a desk that Epstein tells people once belonged to banker J. P. Morgan,

and "the largest Persian rug you'll ever see in a private home."; Pages 300-301: Left, from

The New York Times. Page 304: Bottom, from Globe Photos.

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Subject Summary

Name

Address

J. EPSTEIN AND COMPANY 61 N 3RD ST  
PHILADELPHIA, PA 191064508

Name

Variations/DBAs

# Name Variations

1. EPSTEIN, J. AND COMPANY

2.

J. EPSTEIN AND COMPANY

Addresses - 1 records found

# Address

1.

61 N 3RD ST  
PHILADELPHIA, PA 19106

Dates

2001 -

7/2/2013

Profile Information - 3 records found

1: J. EPSTEIN AND COMPANY

Company Name: J. EPSTEIN AND COMPANY

Date Of Incorporation: 2/8/1918

State Of Incorporation: PA

Charter Number: 2193904

Status Of Incorporation: ACTIVE

Corporation Structure: FICTITIOUS NAMES

2: J. EPSTEIN AND COMPANY

Company Name: J. EPSTEIN AND COMPANY

Date Of Incorporation: 2/8/1918

State Of Incorporation: PA

Charter Number: 2193904

Status Of Incorporation: ACTIVE

Corporation Structure: FICTITIOUS NAMES

3: EPSTEIN, J. AND COMPANY

Company Name: EPSTEIN, J. AND COMPANY

Date Of Incorporation: 2/8/1918

State Of Incorporation: PA

Charter Number: 2193904

Status Of Incorporation: ACTIVE

Corporation Structure: FICTITIOUS NAMES

Company ID Numbers - 1 records found

PA, 2193904

Company ID Numbers

Sec. of State Charter No(s):

Business Associates - 1 records found

# Name

1. EPSTEIN, J. AND COMPANY

Sources - 3 records found

All Sources  
ABR Research Profile  
Last  
modified 6/18/2007  
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Address  
61 N 3RD ST  
PHILADELPHIA, PA 19106-4508  
3 Source Document(s)  
County  
PHILADELPHIA  
MSA  
Philadelphia, PANJ  
- 6160  
Phone  
None Listed  
County/FIPS

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Business Finder

Corporate Filings

2 Source Document(s)

1 Source Document(s)

Important: The Public Records and commercially available data sources used on reports have errors. Data is sometimes entered poorly, processed incorrectly and is generally not free from defect. This system should not be relied upon as definitively accurate. Before relying on any data this system supplies, it should be independently verified. For Secretary of State documents, the following data is for information purposes only and is not an official record. Certified copies may be obtained from that individual state's Department of State.

Your DPPA Permissible Use is: Debt Recovery/Fraud

Your GLBA Permissible Use is: Legal Compliance

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D&B:

Check Name: (company(J. Epstein Co))

Report Created: Wednesday, August 14, 2013 16:59:40 EST (GMT5:00)

by Deepali Thakur

Source: D&B Company Group

Cost Code: 6625072

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May 20, 2013

Dun's Decision Makers

View the DMI Record

J Epstein & Co Inc

6100 Red Hook Qtrs St Thomas,

VI 00802-1348

United States

BUSINESS ADDRESS: 6100 Red Hook Qtrs, St Thomas, VI 00802-1348, United States

COUNTY: SAINT THOMAS

CONGRESSIONAL DISTRICT: Non-voting Congressional District - block face level

\* \* \* \* \* COMPANY IDENTIFIERS \* \* \* \* \*

DUNS NUMBER: 62-800-9623

\* \* \* \* \* EXECUTIVES \* \* \* \* \*

President:

Jeffrey E Epstein, Pres

Manager:

Ira Zicherman, Manager

Mark Epstein, Manager

\* \* \* \* \* DESCRIPTION \* \* \* \* \*

INDUSTRY TYPE: Finance, Insurance, And Real Estate; Investment Consultants

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Worldbase, 03/02/2013, J EPSTEIN & CO INC

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Worldbase

March 2, 2013

J EPSTEIN & CO INC

6100 RED HOOK QTRS

(registered address)

ST THOMAS, VI 008021348

USA

COUNTY: SAINT THOMAS

REGION: NORTH AMERICA

\* \* \* \* \* COMPANY IDENTIFIERS \* \* \* \* \*

DUNS: 62-800-9623

\* \* \* \* \* COMPANY INFORMATION \* \* \* \* \*

FOUNDED: 1982

LEGAL STATUS: Corporation

EMPLOYEES HERE: 12 - Actual

EMPLOYEES TOTAL: 12 - Actual

COMPANY TYPE: Private

\* \* \* \* \* EXECUTIVES \* \* \* \* \*

CEO:

JEFFREY E EPSTEIN, PRESIDENT

\* \* \* \* \* DESCRIPTION \* \* \* \* \*

INVESTMENT ADVICE

\* \* \* \* \* MARKET AND INDUSTRY \* \* \* \* \*

SIC CODES:

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Worldbase, 03/02/2013, J EPSTEIN & CO INC

6282 - Investment advisory service

\* \* \* \* \* OTHER FINANCIALS \* \* \* \* \*

FINANCIAL FIGURE DATE (not available)

ANNUAL SALES

LOAD-DATE: June 26, 2013

US DOLLARS

\$1,100,000

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Federal Employer Identification Numbers  
October 31, 2000  
J EPSTEIN FOUNDATION  
457 MADISON AVE  
NEW YORK, NY 10022  
UNITED STATES

\* \* \* \* \* COMPANY IDENTIFIERS \* \* \* \* \*

FEIN: 13-3643429

SOURCE REFERENCE NAME: DEPARTMENT OF TREASURY - TAX EXEMPT

\* \* \* \* \* EXECUTIVES \* \* \* \* \*

TOP CONTACT: President Jeffrey E Epstein

\* \* \* \* \* MARKET AND INDUSTRY \* \* \* \* \*

SIC CODES:

62829903

CROSS REFERENCE:

PRIMARY BUSINESS NAME: J Epstein & Co Inc

LOAD-DATE: June 20, 2012

LEGAL RESULTS:

Court Cases:

Check Name: ((J. Epstein Co))

Report Created: Wednesday, August 14, 2013 17:00:40 EST (GMT5:00)

by Deepali Thakur

Source: Combined State Civil and Criminal Filings

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Cost Code: 6625072

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SUPREME COURT CIVIL SUITS FOR NEW YORK COUNTY,  
NEW YORK

FOR WHICH AN INDEX NUMBER HAS BEEN PURCHASED

457 MADISON AVE CORP V. J EPSTEIN & CO INC

PLAINTIFF: 457 MADISON AVE CORP

DEFENDANT: J EPSTEIN & CO INC

INDEX NUMBER: 57002297

ACTION: OTHER REAL PROPERTY

INDEX NUMBER PURCHASE DATE: 01/10/1997

PLAINTIFF'S ATTORNEY: UNKNOWN

DEFENDANT'S ATTORNEY: UNKNOWN

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DICKERSON & REILLY v. PARCOR, INC.

\*\*\* THIS DATA IS FOR INFORMATIONAL PURPOSES ONLY \*\*\*

SUPREME COURT CIVIL SUITS FOR NEW YORK COUNTY,  
NEW YORK

CASE-NAME: DICKERSON & REILLY

v.

PARCOR, INC. , J. EPSTEIN & CO., INC., AND JEFFREY E. EPSTEIN

STATUS: DISPOSED ON 09/16/1998; OTHER FINAL DISP. (PRE-NOTE)

ACTION: CONTRACT

REQUEST FOR JUDICIAL INTERVENTION: 04/02/1993

INDEX-NUMBER: 1044121993

JUDGE: GAMMERMAN, IRA

PLAINTIFF ATTORNEY: DICKERSON & REILLY

70 WEST RED OAK LANE

WHITE PLAINS, N. Y. 10604

1-914 697-7679

DEFENDANT ATTORNEY: WACHTEL & MASYS L.L.P.,

110 EAST 59TH STREET

NEW YORK, NEW YORK 10022

212 909-9500

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