

Subject: Re: KYC Question --
From: Amanda Kirby <[REDACTED]>
Date: Fri, 25 Oct 2013 10:24:53 -0400
To: Janice Franklin <janice.franklin@db.com>

Classification: Public

Thank you!

Best,
Amanda Kirby

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Amanda Kirby
Associate

Deutsche Bank Trust Company Americas
345 Park Avenue, 27th Fl
New York, NY, USA, 10154-0004
Tel. [REDACTED]
Fax + [REDACTED]
Email [REDACTED]

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From: Janice Franklin/db/dbcom
To: Amanda Kirby/db/dbcom@DBAMERICAS,
Date: 10/25/2013 10:21 AM
Subject: Re: KYC Question --

Classification: Public

It does not have to be notarized.

Regards,
Janice P. Franklin

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Janice Franklin, CAMS, CFSA, CFIRS
Vice President | AML Compliance Officer

Deutsche Bank Trust Company Americas
Compliance
60 Wall Street, 10005-2836 New York, NY, USA
Tel. +
Fax +1
Email

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From: Amanda Kirby/db/dbcom
To: Janice Franklin/db/dbcom@DBAmericas,
Date: 10/25/2013 10:12 AM
Subject: KYC Question --

Classification: Public

Hi Janice,

For the below rejection point does the secretary just need to sign Schedule G?
Should the signature be notarized? Please let me know ASAP as the client wants
to give me everything today

2. For HBRK Associates Inc, please have secretary certify Schedule G of Form
1120 showing ownership of the company

Best,
Amanda Kirby

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Amanda Kirby
Associate

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----- Forwarded by Amanda Kirby/db/dbcom on 10/25/2013 10:10 AM -----

From: Janice Franklin <janice.franklin+external@db.com>

To: Amanda Kirby/db/dbcom@DBAMERICAS,

Date: 10/15/2013 03:56 PM

Subject: Your KYC has been rejected

Your KYC has been rejected.

Case #: 01133377

Relationship: EPSTEIN, JEFFREY RELATIONSHIP

Customer Name: Indyke, Darren

Customer Number: 00000486405

Reason for Rejection: Please address the following:

For The 2007 Jeffrey E. Epstein Insurance Trust #3:

1. Please complete Wealth Profile
2. Re-run searches with correct country. Should be US Virgin Islands, not United States. (Resolved - J. Lightbody)

For HBRK Associates, Inc. (both accounts)

1. Please check the Signatory or Full POA box for the signers. (Resolved - J. Lightbody)

For Darren K. Indyke PLLC:

1. Please check the Signatory or Full POA box for the signers. (Resolved - J. Lightbody)
2. For the Attorney Trust Account. Is this a IOLA account, and if so, please explain purpose of the checking. Currently it's noted that it will be "for the daily use of the company's needs". If this is a clients esrow account this purpose would not apply. (Resolved - J. Lightbody)

For New York Strategy Group, LLC (both account);

1. Please check the Signatory or Full POA box for the signers. (Resolved - J.

Lightbody)

Additional questions/comments:

1. I did not clearly understand whether Richard Kahn was permanently barred from NYSE Arca membership. Please add more details.
2. For HBRK Associates Inc, please have secretary certify Schedule G of Form 1120 showing ownership of the company
3. For NY Strategy Group LLC, please provide us with the following:
 - i. evidence of powers
 - ii. ownership
 - iii. signing authority (resolved: J. Franklin)
4. Is Mr. Indyke an accountant (per KYC) as well as a lawyer? Please correct KYC if he is not an accountant and please run Martindale-Hubbell.

To view the KYC click here <https://na4.salesforce.com/> 