

Subject: 2018 Periodic Review of High Risked Accounts Kalin, Jennifer Ann (GCIS#489505), Butterfly Trust (GCIS#486426) and Neptune, LLC (GCIS#487264) under the SOUTHERN FINANCIAL RELATIONSHIP  
From: Vijay-A Sawant <[REDACTED]>  
Date: Tue, 31 Jul 2018 09:01:09 -0400  
To: Bradley Gillin <[REDACTED]>, Stewart Oldfield <[REDACTED]>  
Cc: Mayur Rathod <[REDACTED]>

Hello Brad/Stewart,

This review relates to above high risked three accounts due for remediation 08/31/2018.

Upon review of the docs available in the repositories, we have completed the attached checklist, main RP's also listed below for reference –

Acct Holder – Butterfly Trust

Grantor – Jeffrey Epstein

Trustees – Harry Beller & Erika Kellerhals

UBO's – [REDACTED]

[REDACTED], Darren Indyke, Karyna Shuliak, Richard Kahn, [REDACTED] & [REDACTED]

Following are list of required items –

- Confirm whether material changes to account or not & RP's identified above are correct?
- Volcker Questionnaire completed reflects this trust as a "Family Trust" but this seems inaccurate. All UBO's have different surnames and some even different nationalities. Please advise if ok to accept? I have attached the questionnaire in this email for reference.
- Depending on the response to above bullet point, we might require CDD form if this trust is deemed to be Corporate trust.

Acct Holder – Neptune LLC

Sole Member & Shareholder – Jeffrey Epstein

Manager – Richard Kahn

Authorized Signers – Harry Beller & Darren Indyke

Following are list of required items –

- Confirm whether material changes to account or not & RP's identified above are correct?
- Fincen CDD form required, attached blank form for reference

Acct Holder & Authorized Signatory – 

Joint Acct Holder & Authorized Signatory – Karyna Shuliak

SOW Company (for Karyna Shuliak) – JSC Interiors LLC

Following are the list of required items –

- Confirm whether material changes to account or not?

Kindly advise at the earliest on the above so I can launch KYC for all accounts and begin remediation, thanks.

Kind Regards,

{cid:1\_\_=C7BBF707DF9B04F18f9e8a93df9386909@db.com}

Vijay Sawant

KYC Case Representative

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[REDACTED]

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